

# **Zero-Knowledge Proofs And ZK-SNARKs**

**Foundations Seminar**

**Helger Lipmaa, April, 2025**

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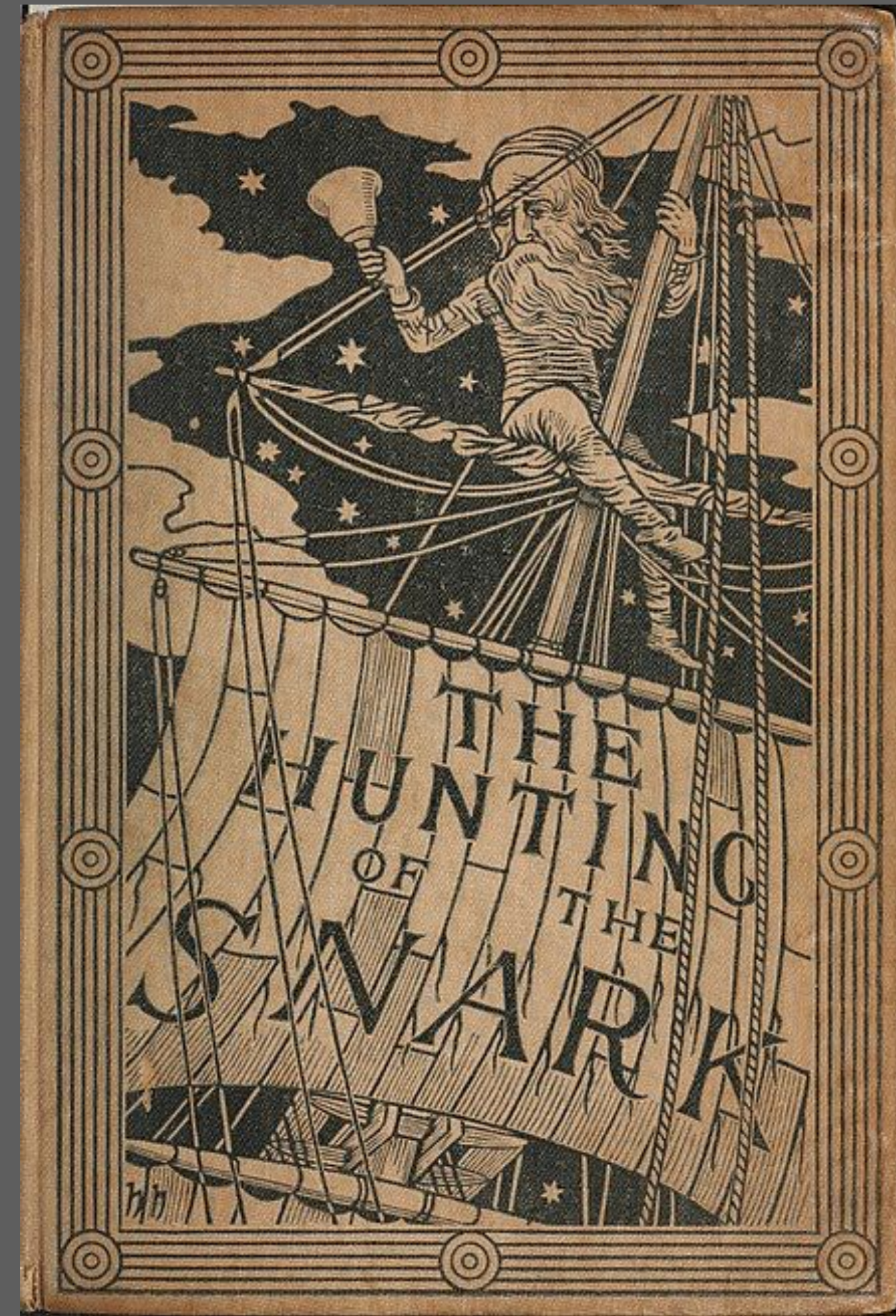
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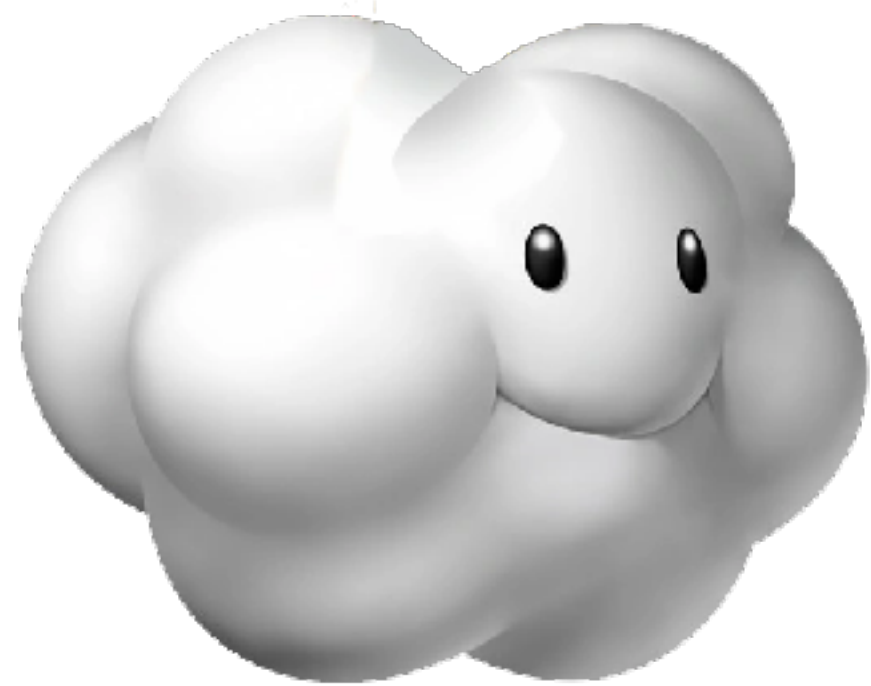
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  - Coding theory, ML, formal verification, ...

# ZK-SNARKs: Motivations



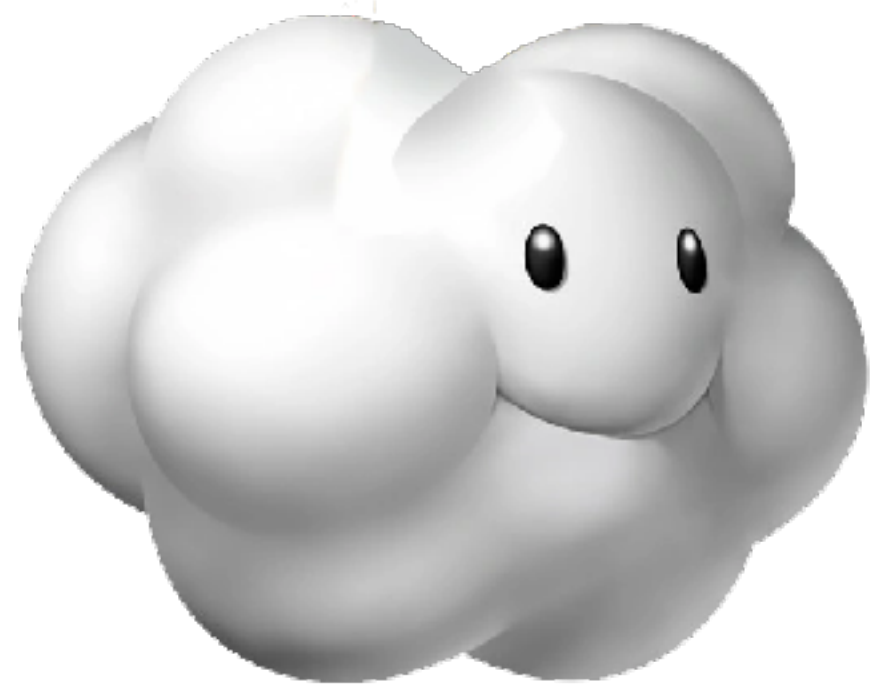
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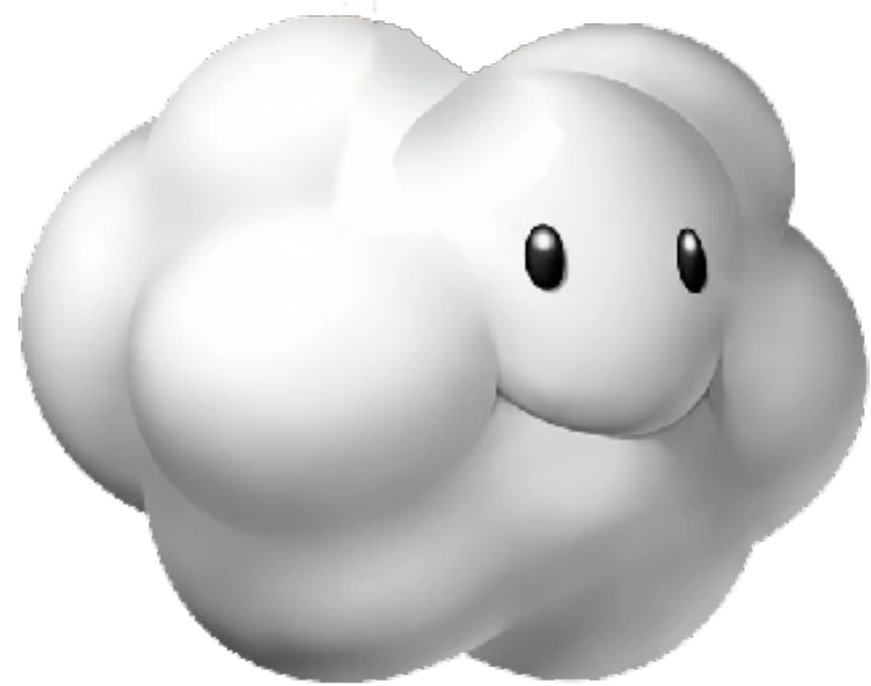


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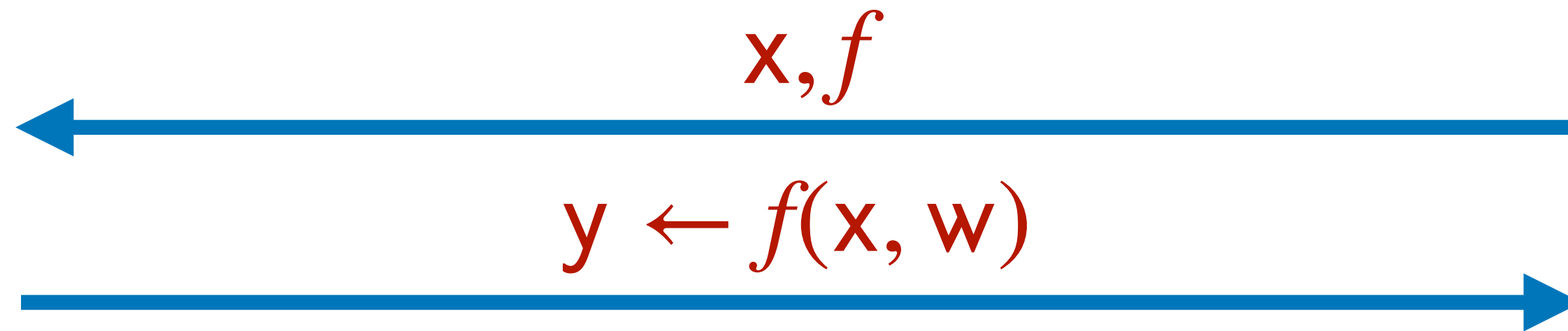
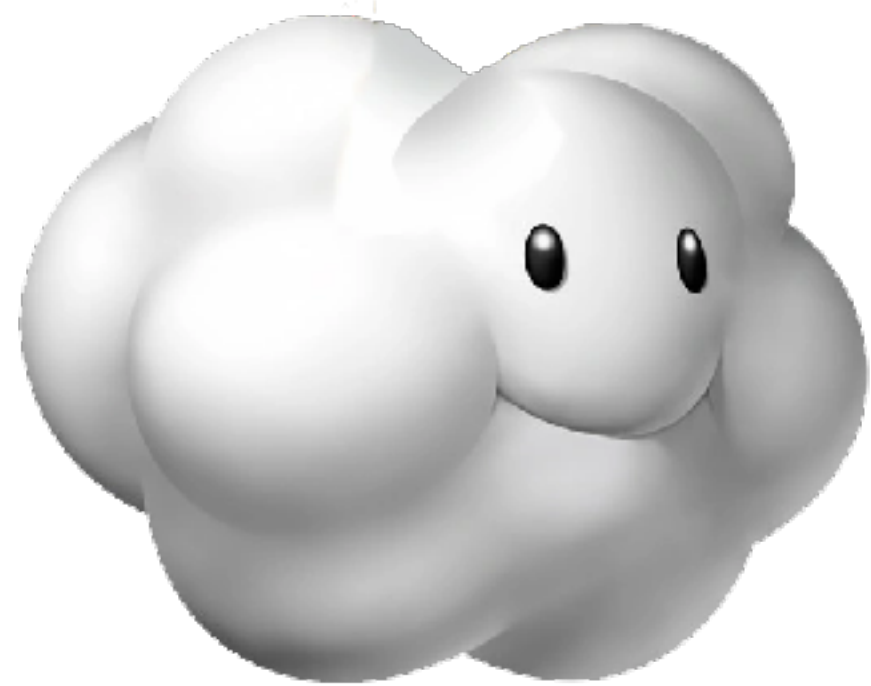
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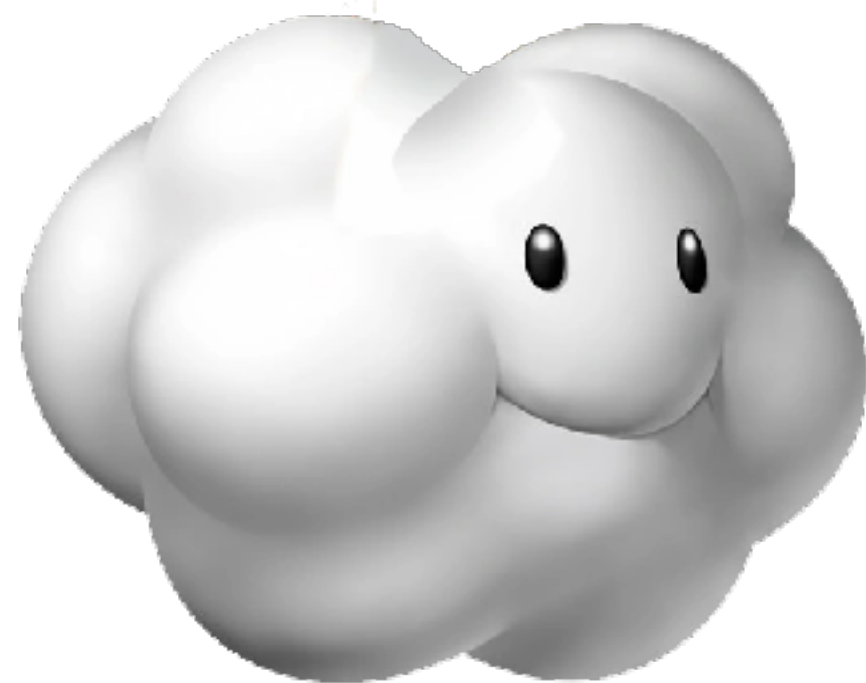
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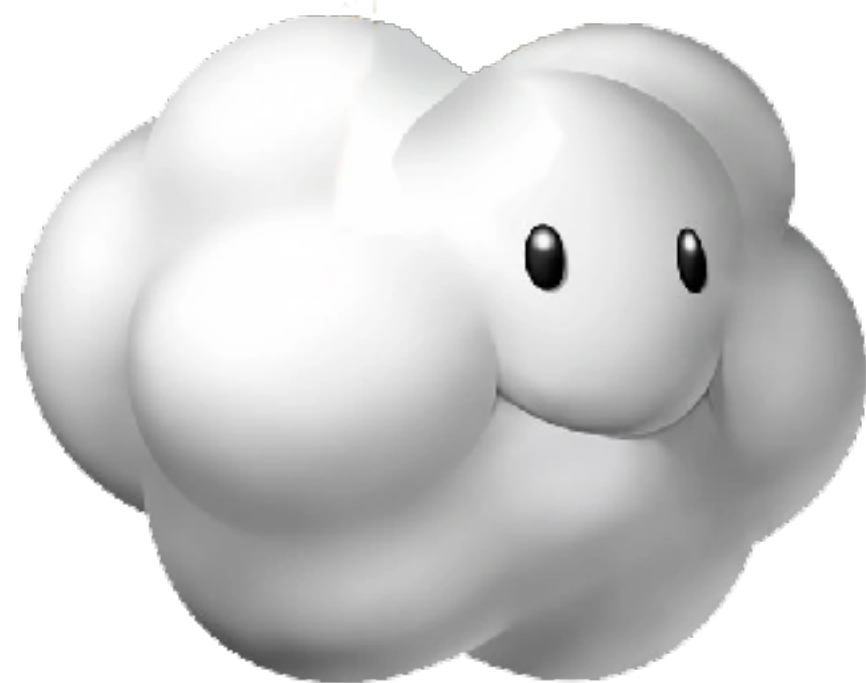
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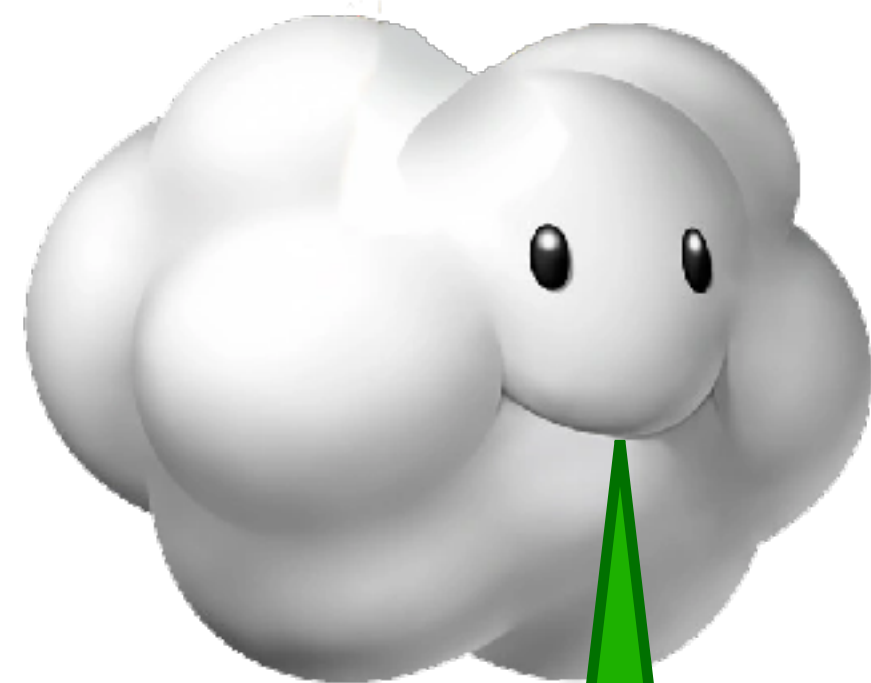
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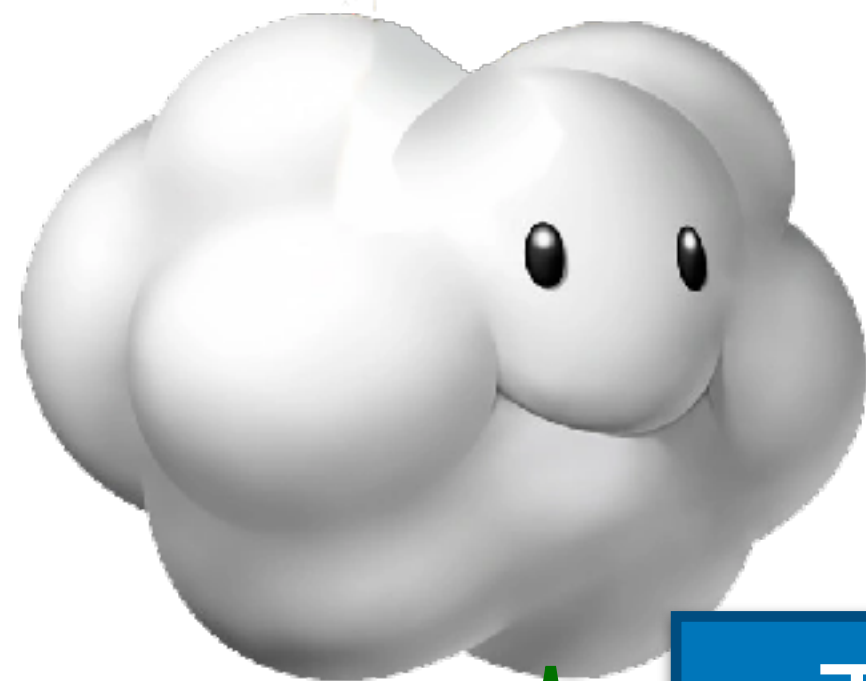
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- The whole **currently** popular ZK field could also be called “verifiable computation” since that is the driving application
- ZK is stuck as the “sexy” name
- ZK has other, more classical applications, like authentication, that currently get less attention
- Less money 😞 ...

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Proof can be interactive:  
• Consist of several message back and forth

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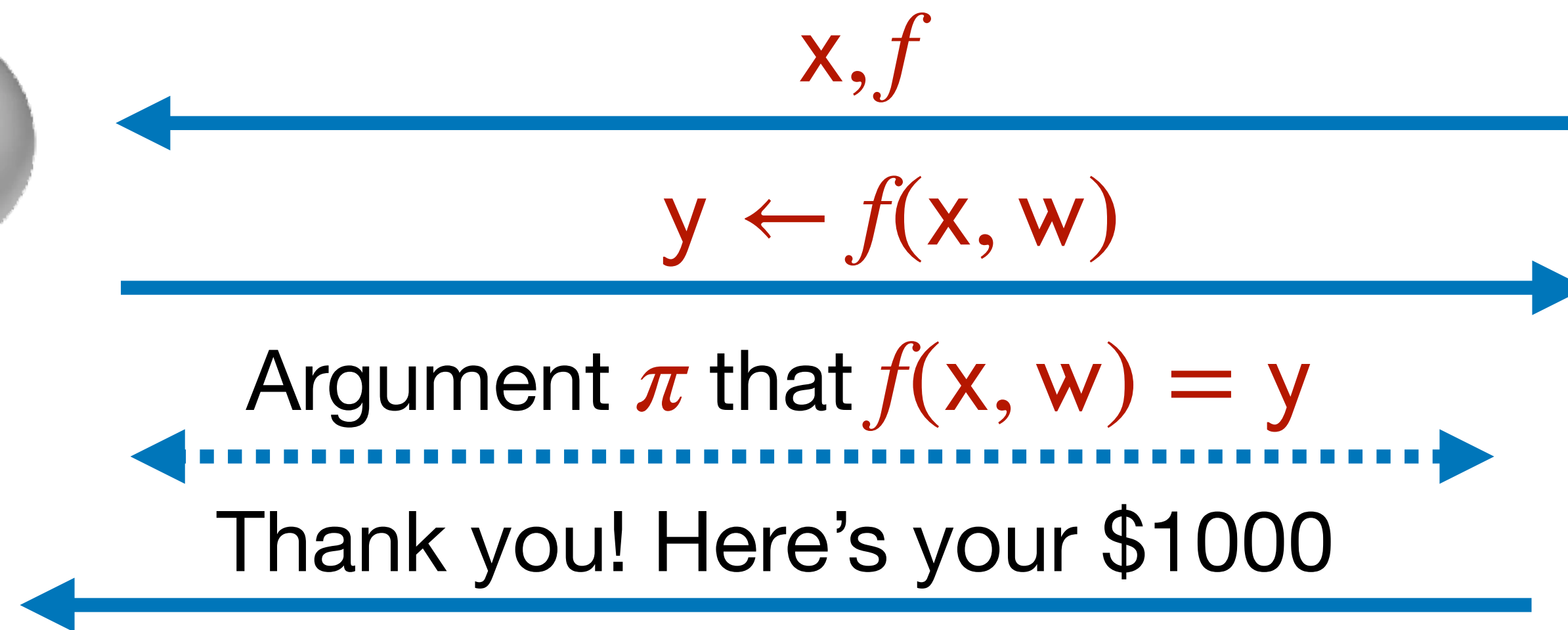
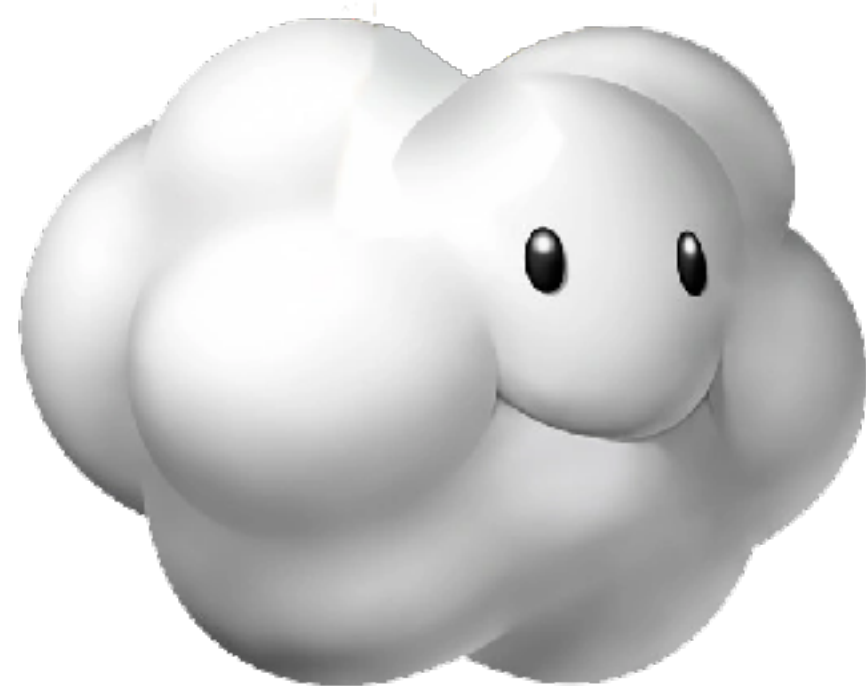
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# Efficiency

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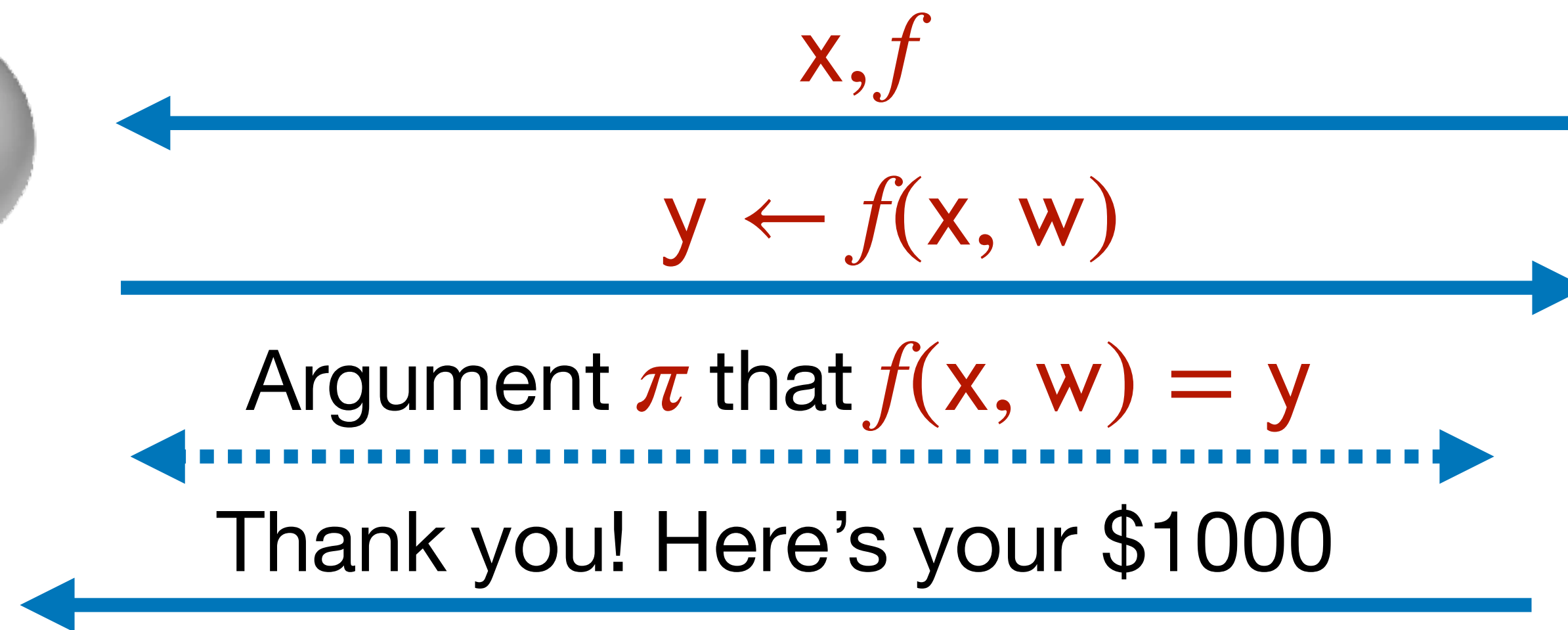
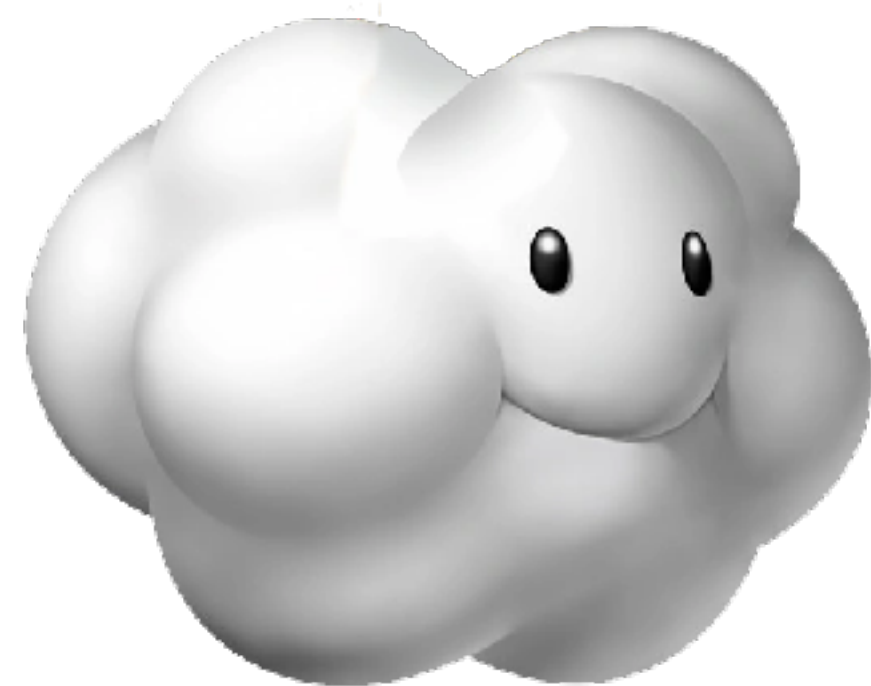
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If verification of a zk-SNARK takes as much time as recomputing, the application is less interesting!

The cloud still preserves her privacy

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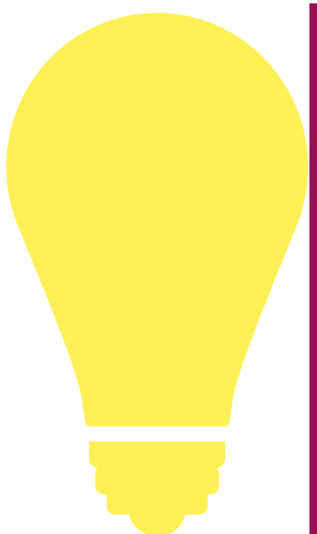
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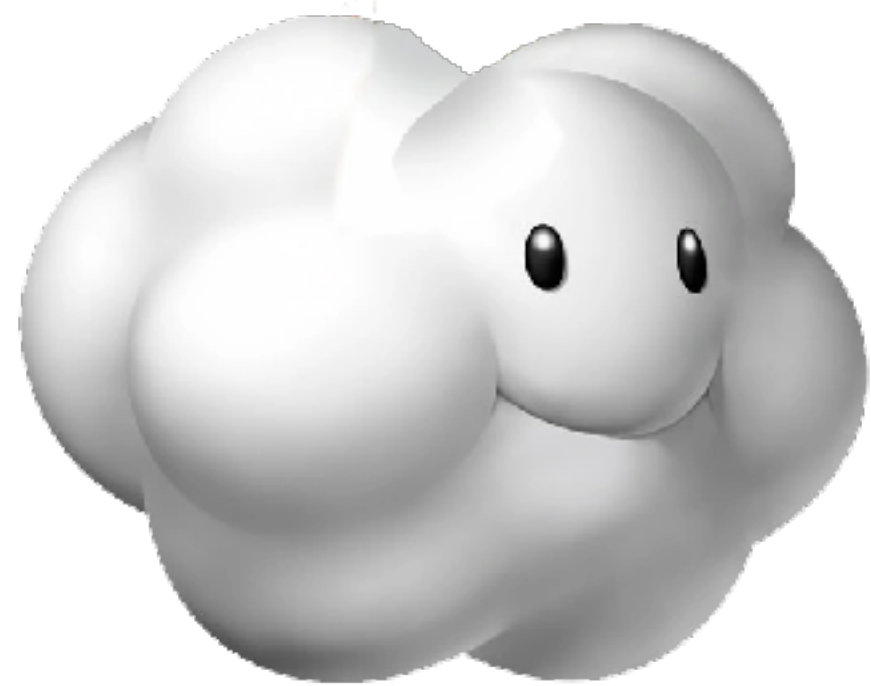
- **Scalability:** verifying argument is significantly faster than recomputation
- Important in many applications
  - even **without** privacy... — a lot of what is called ZK is actually **not** ZK but VC

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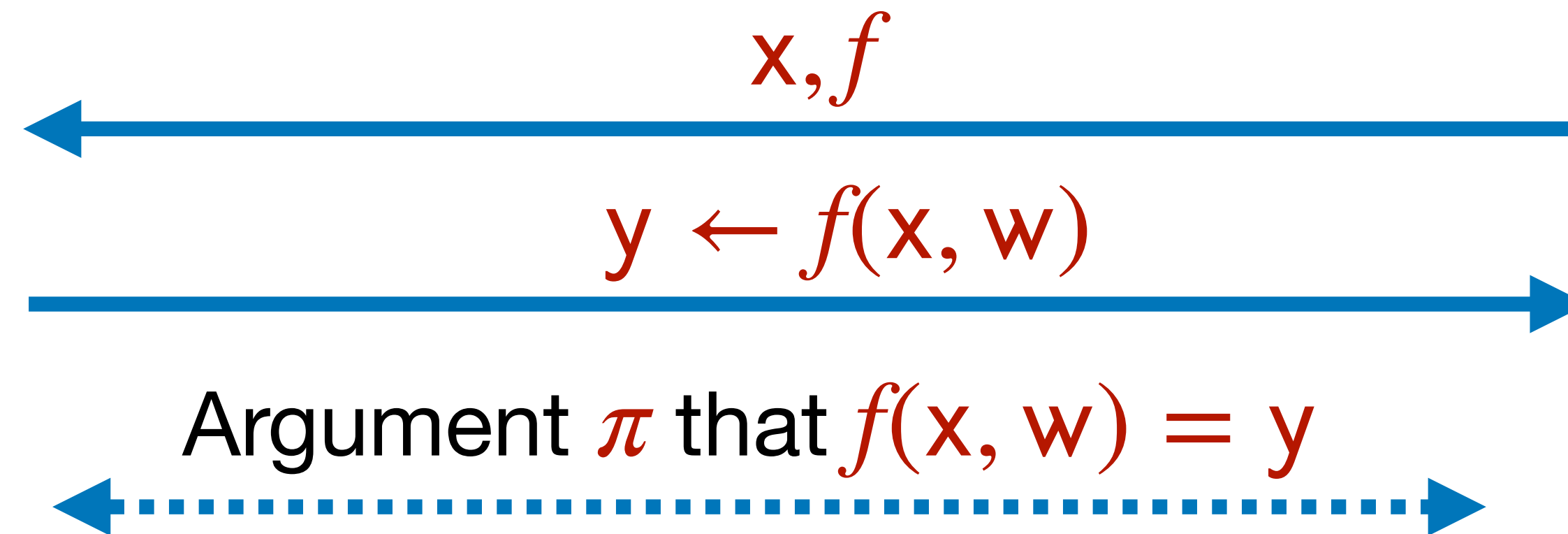
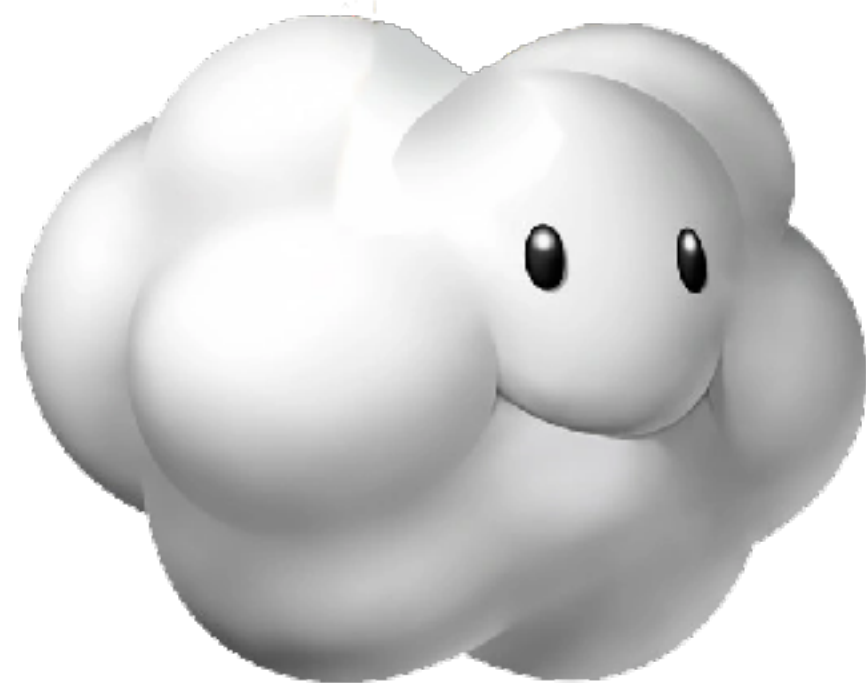
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- **Zero-Knowledge:** **nothing** about  $w$  is leaked
- **Efficiency:** verifying  $\pi$  should be **much faster** than redoing the computation

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- There is often an explicit trade-off between prover's and verifier's time
- **Very active** research topic — prover overhead decreases each year



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Computation  $f$ : computing transaction  $y$  from public info  
Public input:  $x$  (public information on blockchain)  
Private input:  $w$

- transaction amount, payer account, payee account, ...

Computation:  $f$   
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$$y \leftarrow f(x, w)$$

Argument  $\pi$  that  $f(x, w) = y$



- **Completeness**
- **Knowledge Soundness**
- **Zero-Knowledge**
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- The main source of R&D at this moment
- Spending \$50B on research can secure \$2T money

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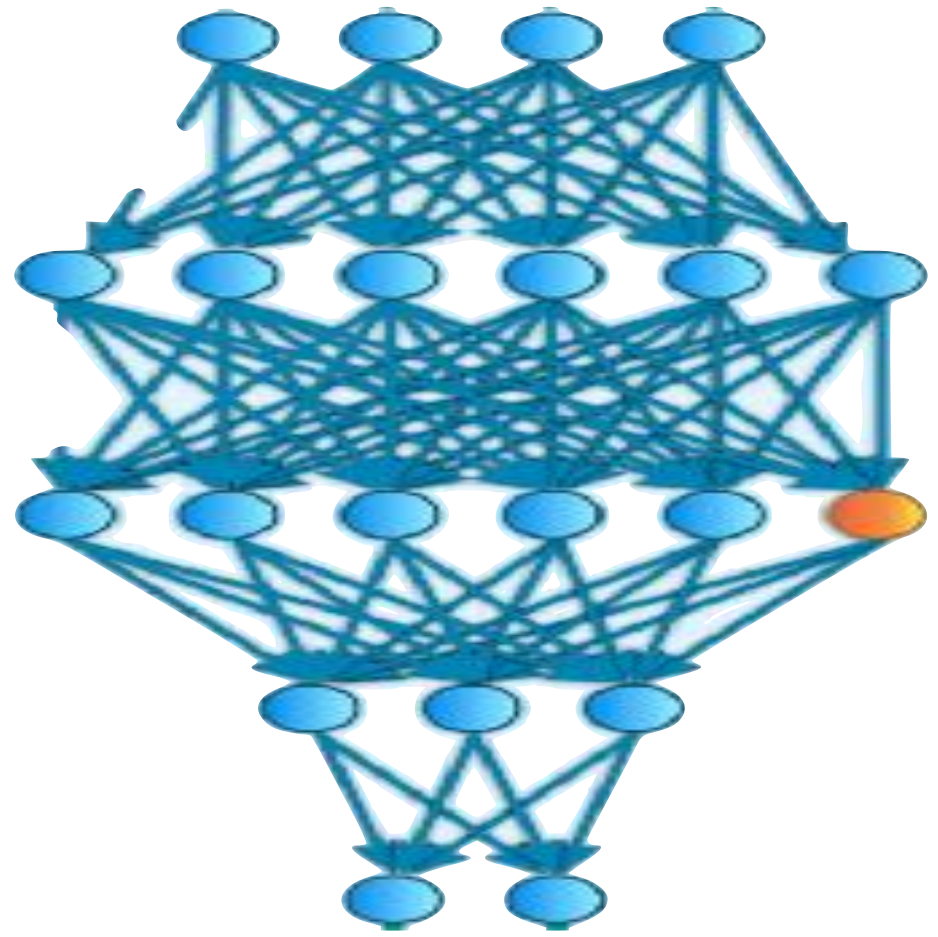
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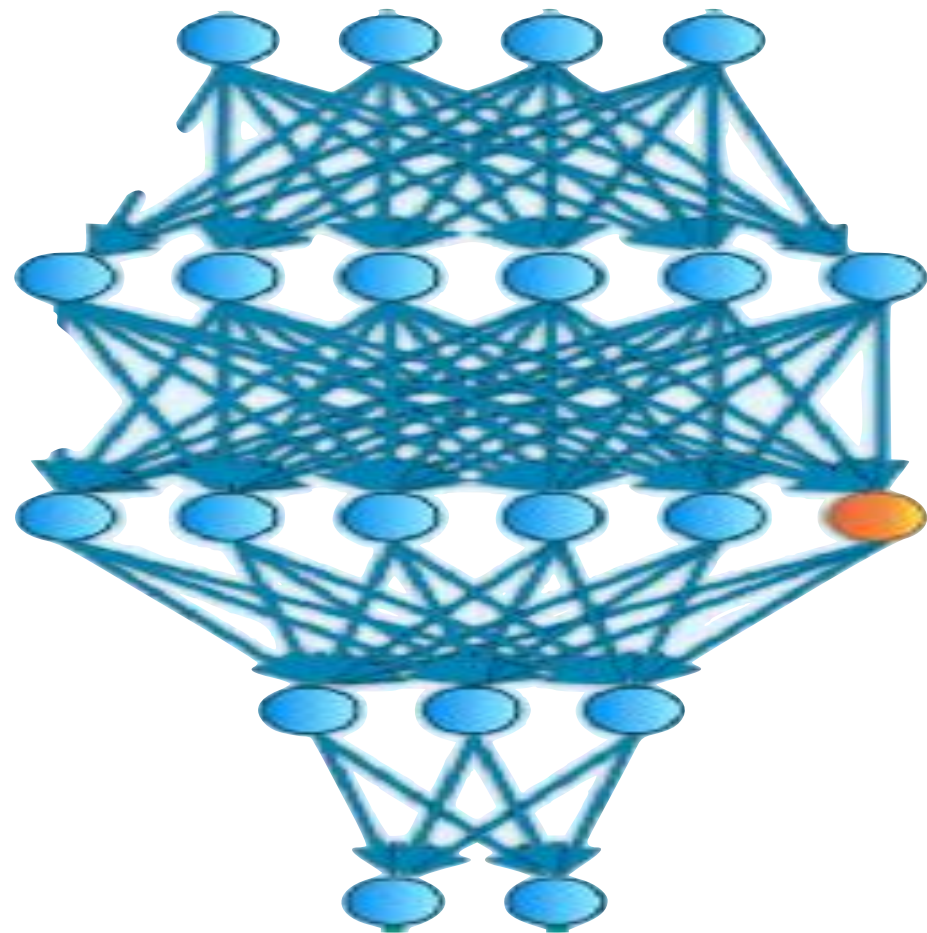
Computation  $f$ : inference was correct

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Private input:  $w$  (model)

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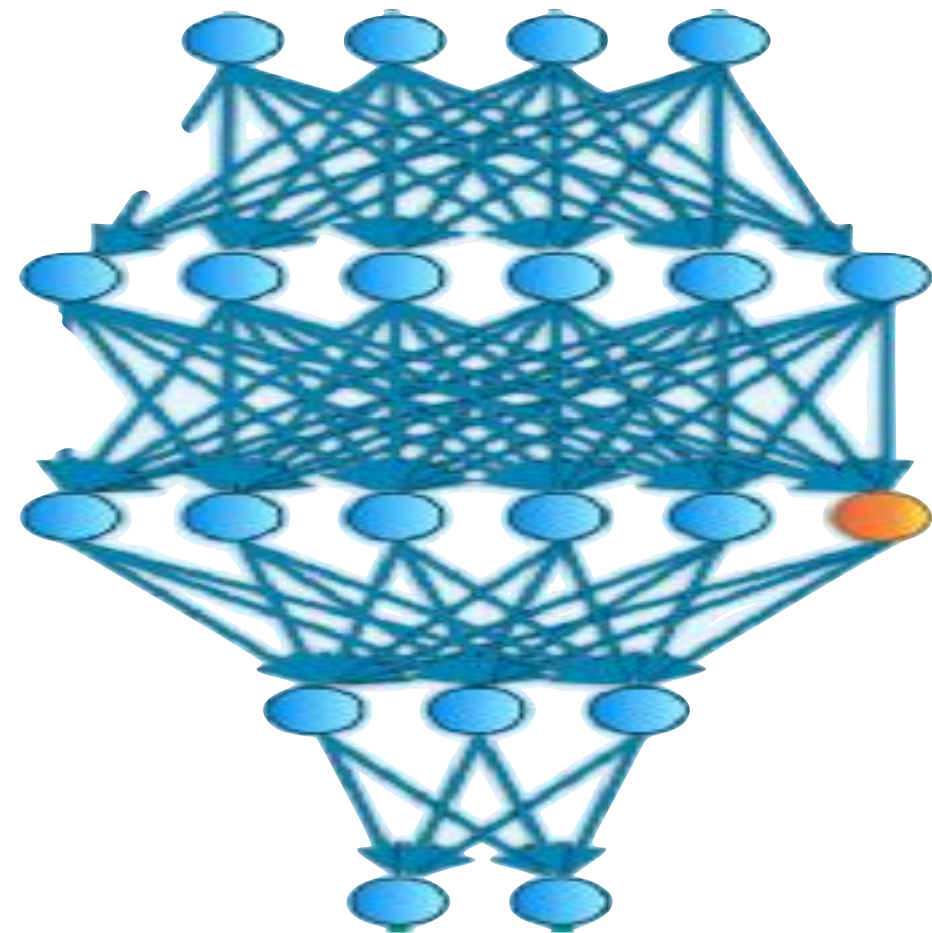


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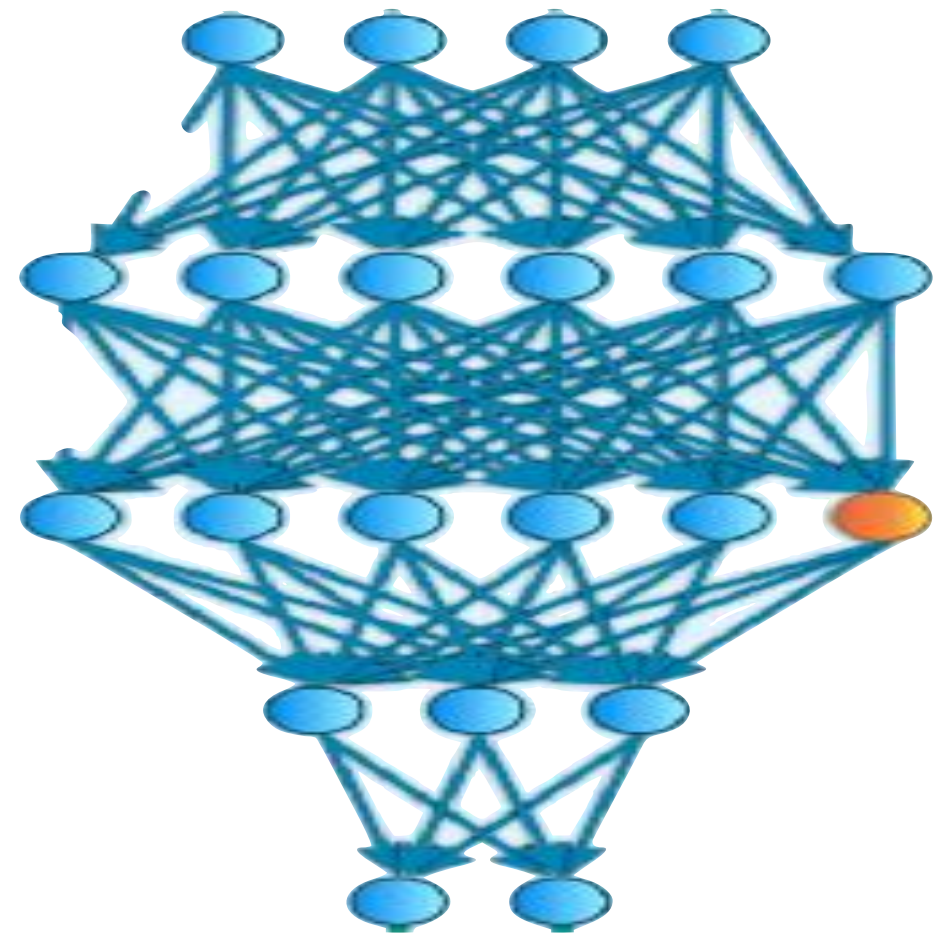
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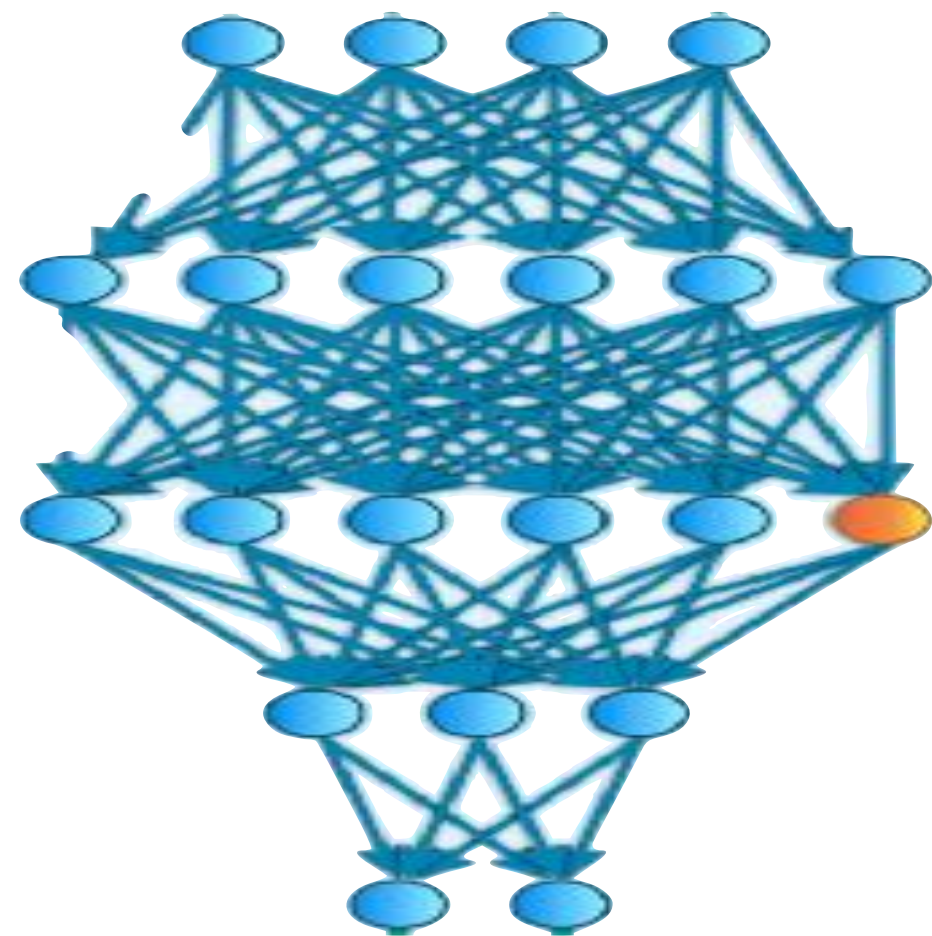
- Fairness: the same model was used in all cases
  - Property loans, ...

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- Collaboration questions:
- In which ML-related questions, ZK can help?
- How does the low-level ML computation look like, can it be made more “ZK-friendly”?



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It's who counts the votes."**

*—apocryphally attributed to  
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Computation  $f$ : tallying was correct  
Public input:  $x$  (all incoming signed encrypted ballots)  
Private input:  $w$ ; who voted for who

Computation:  $f$   
Public input:  $x$

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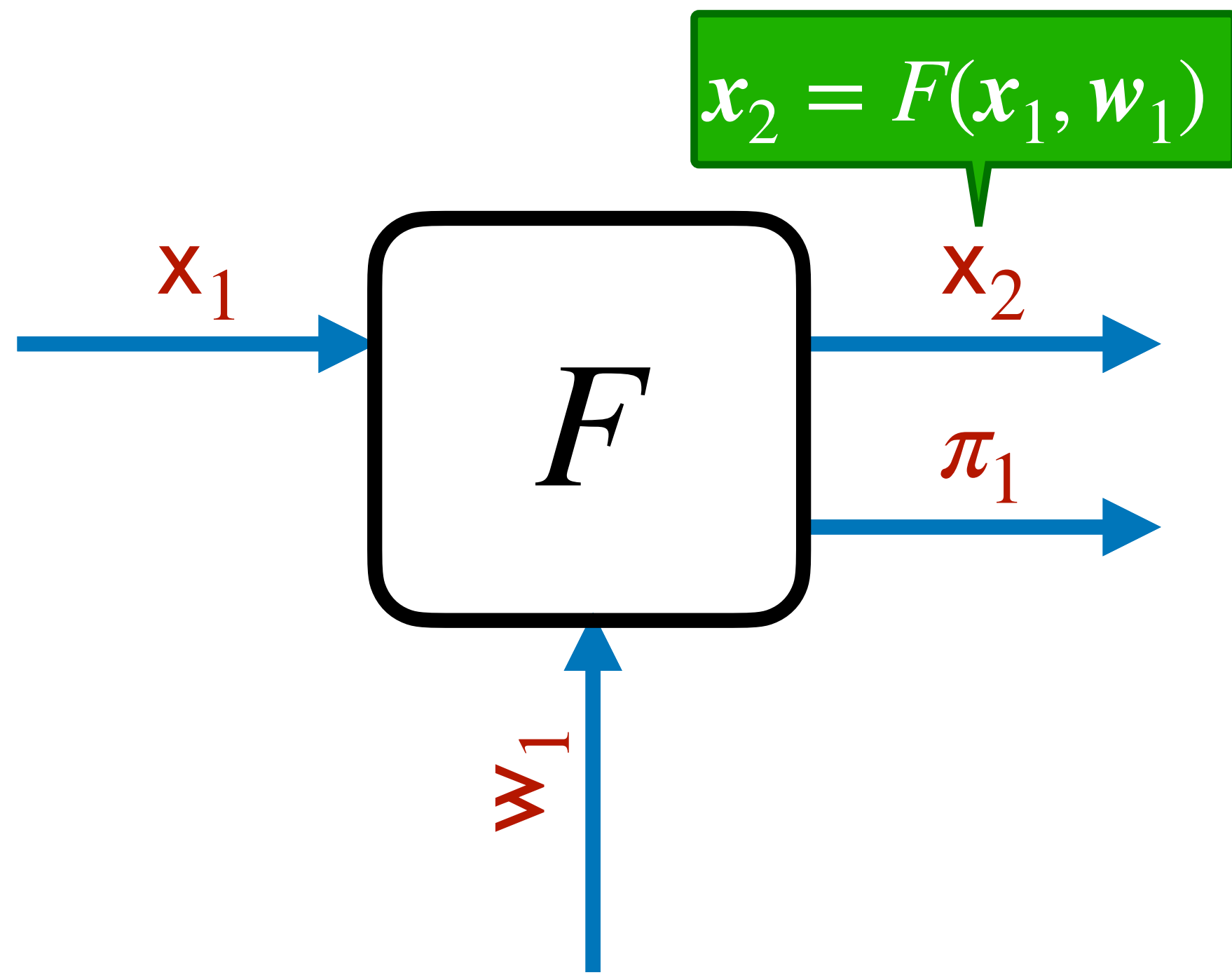
$\text{true} \leftarrow f(x, w)$

Argument  $\pi$  that  $f(x, w) = \text{true}$

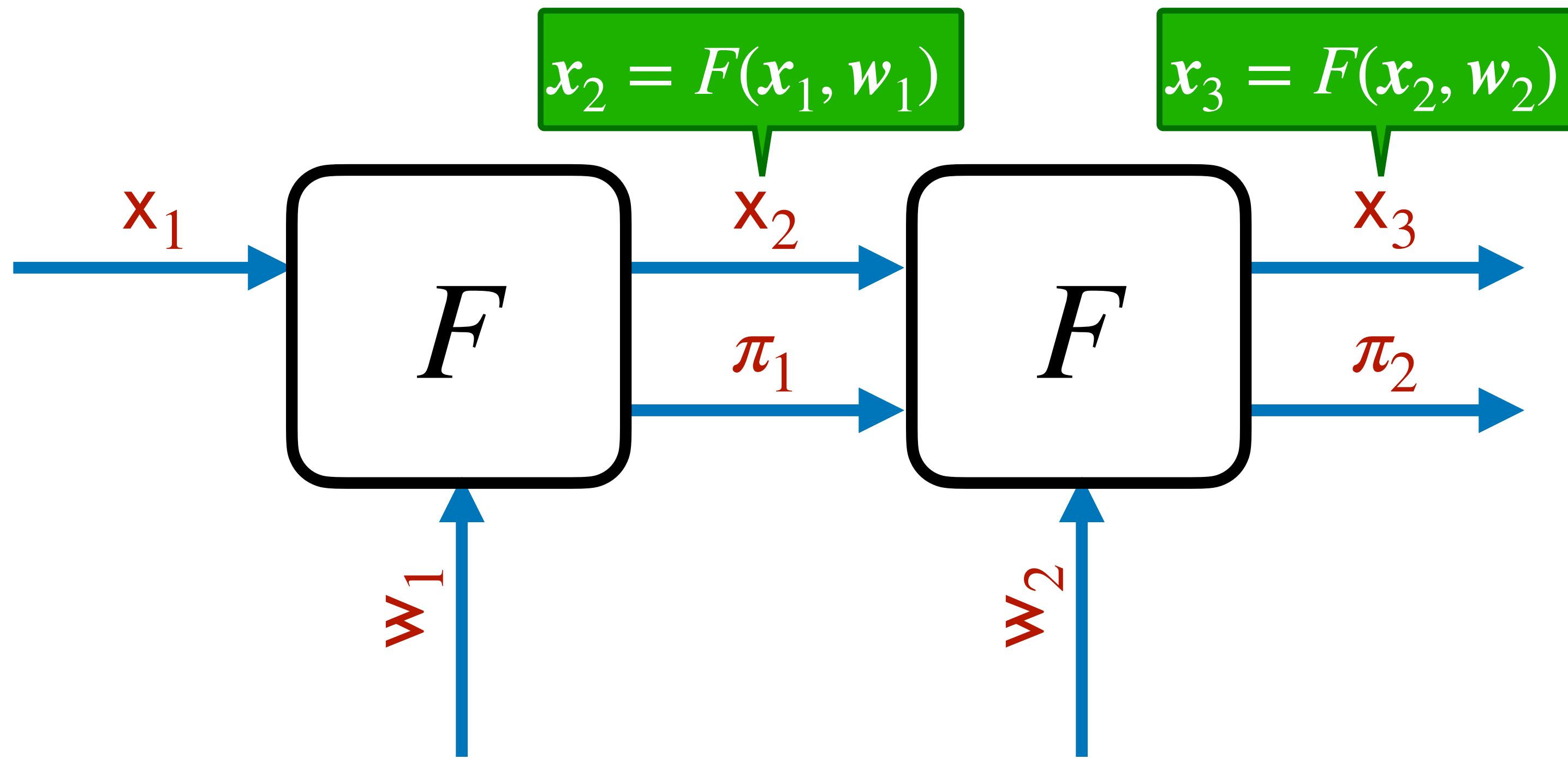


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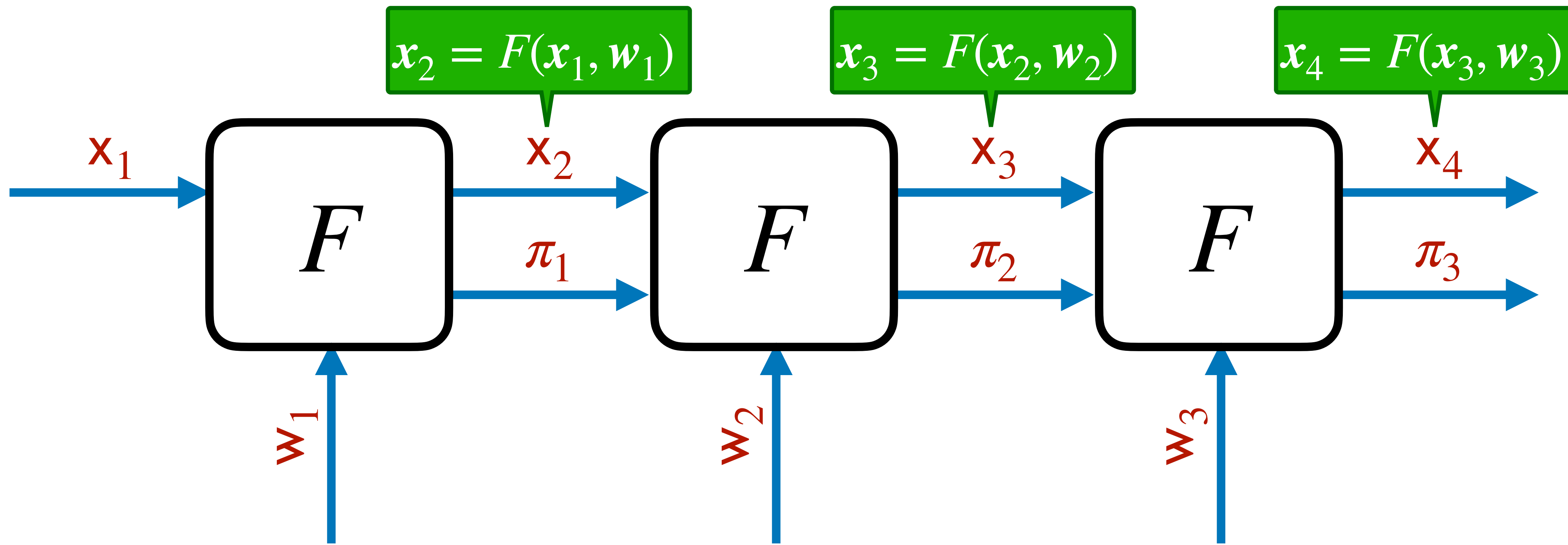
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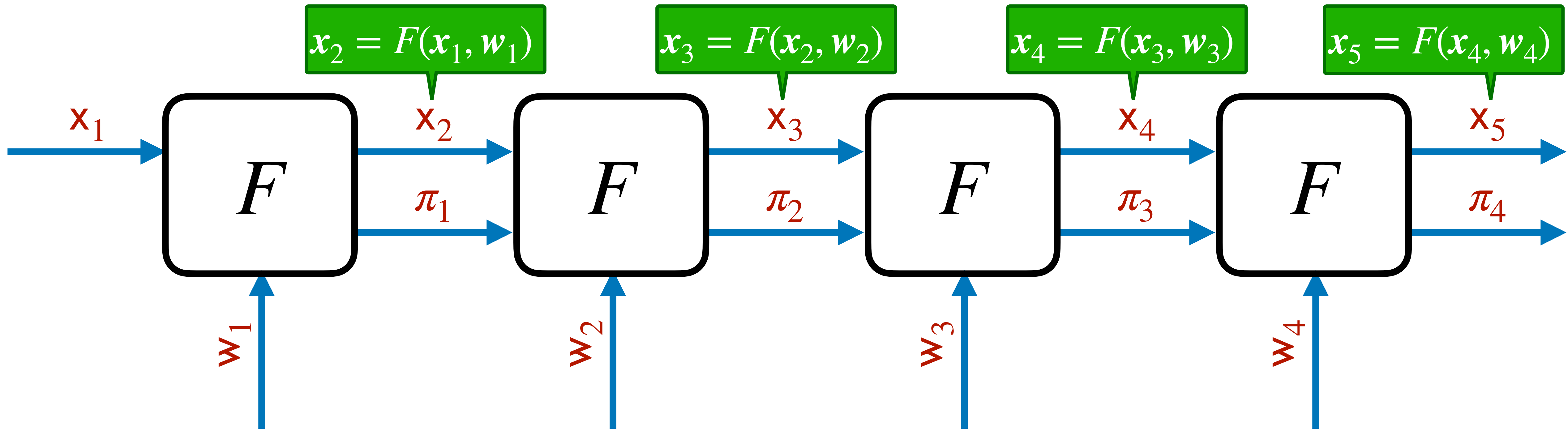
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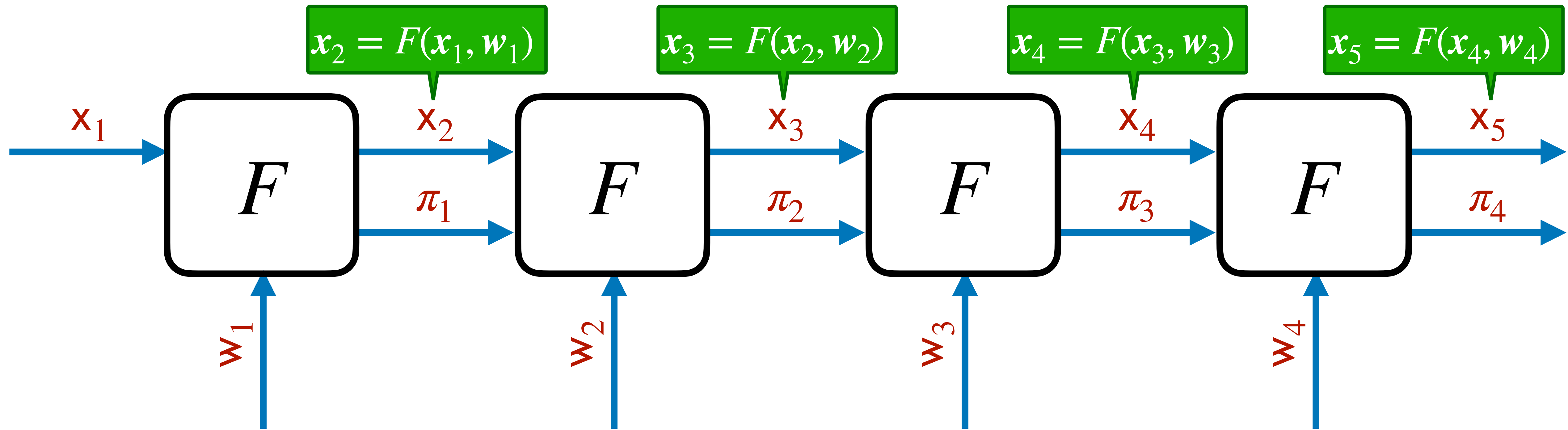
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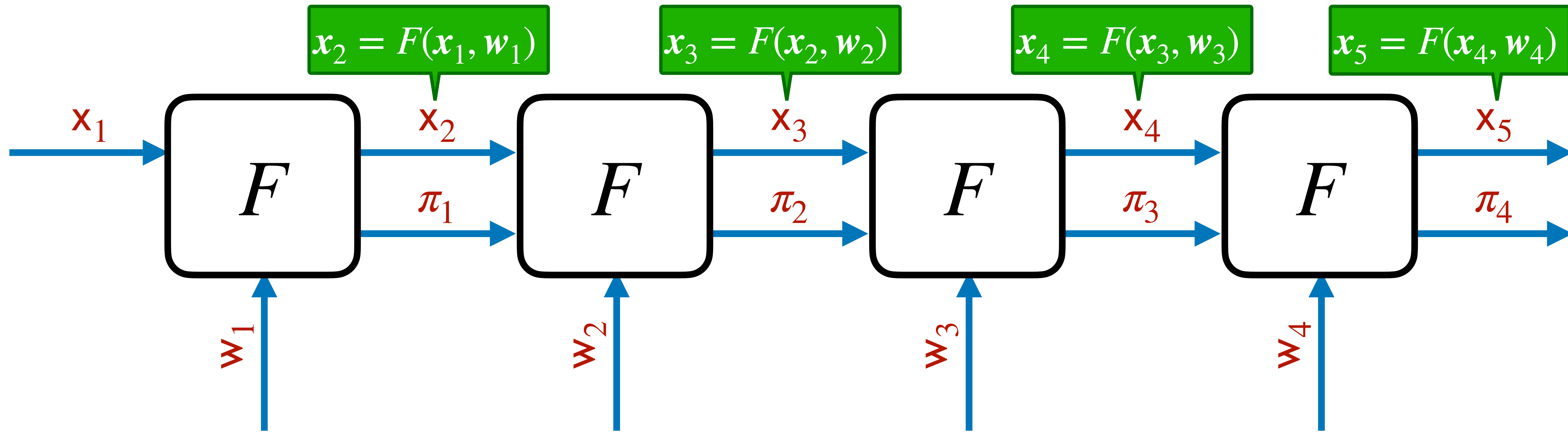


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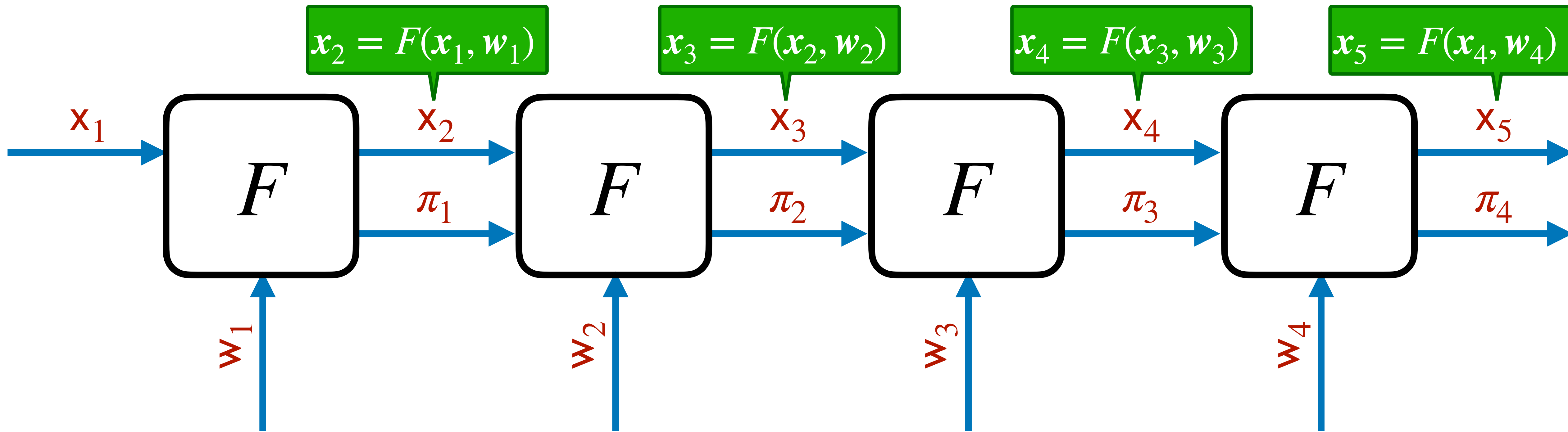
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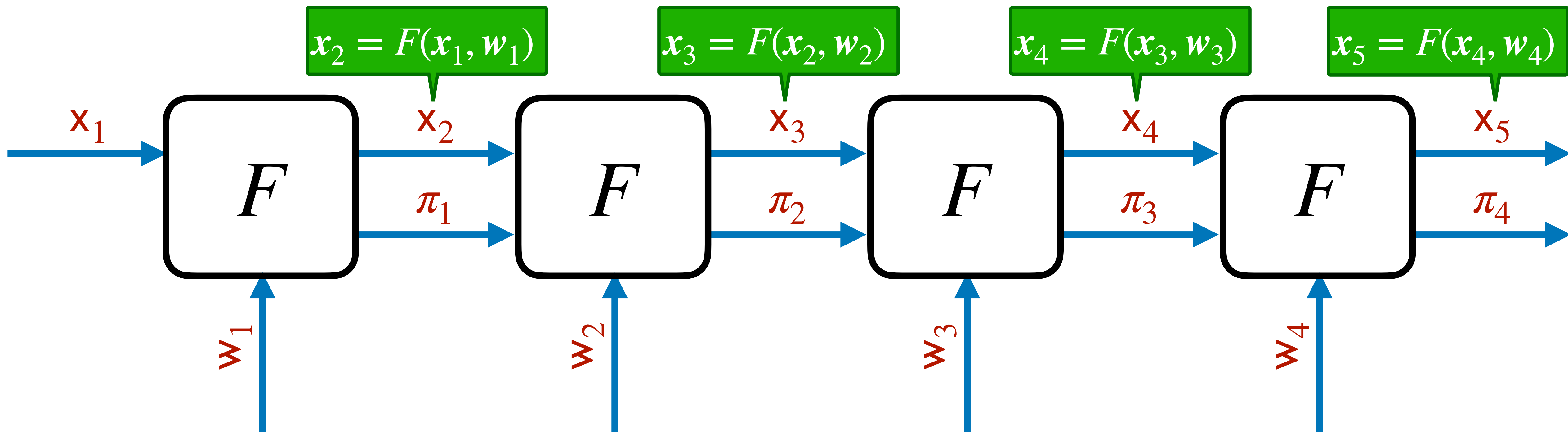


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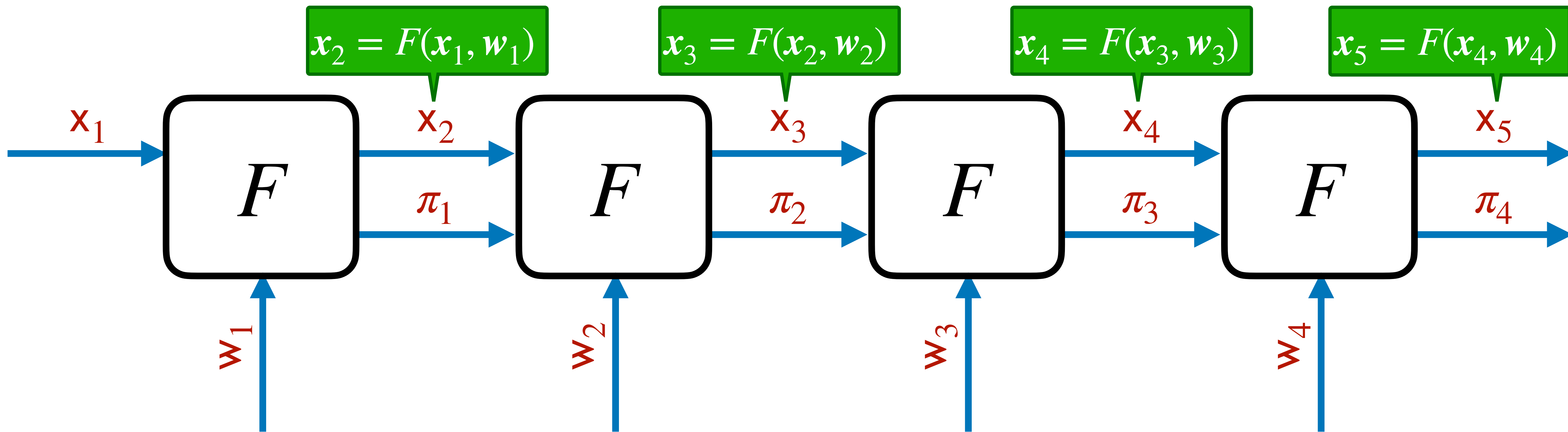
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# Current Machinery

# The Current Machinery of ZK-SNARKs

Computation  $f$



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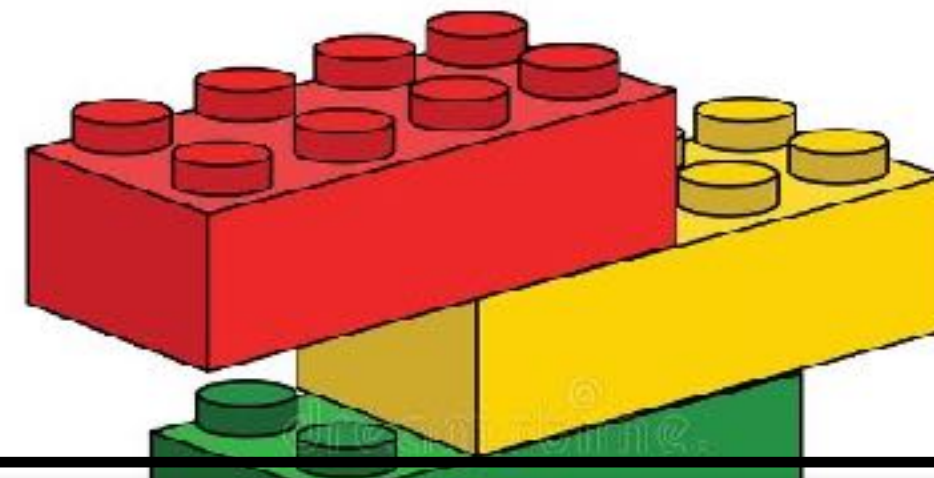
# The Current Machinery of ZK-SNARKs

Computation  $f$

Intermediate Representation



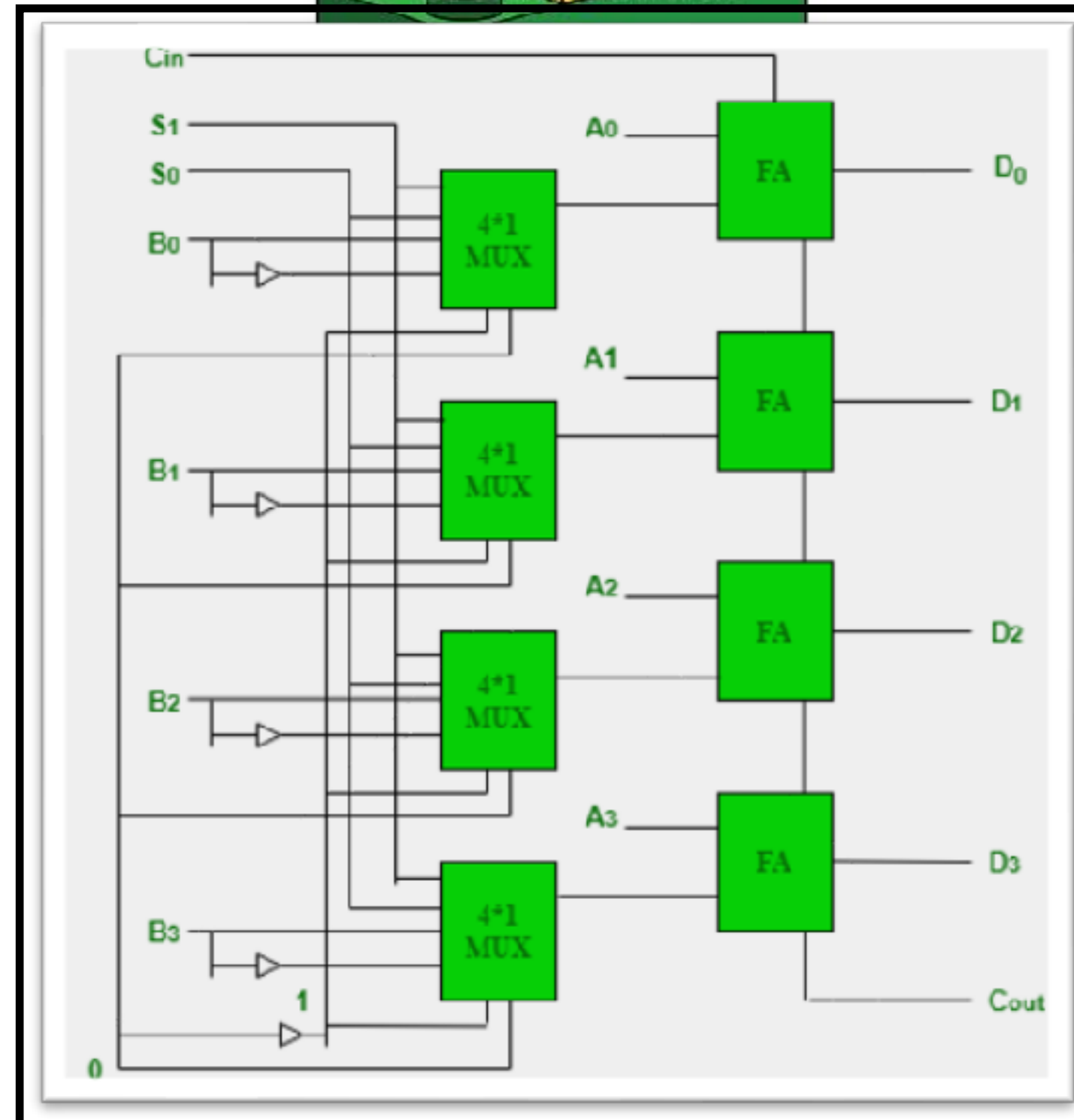
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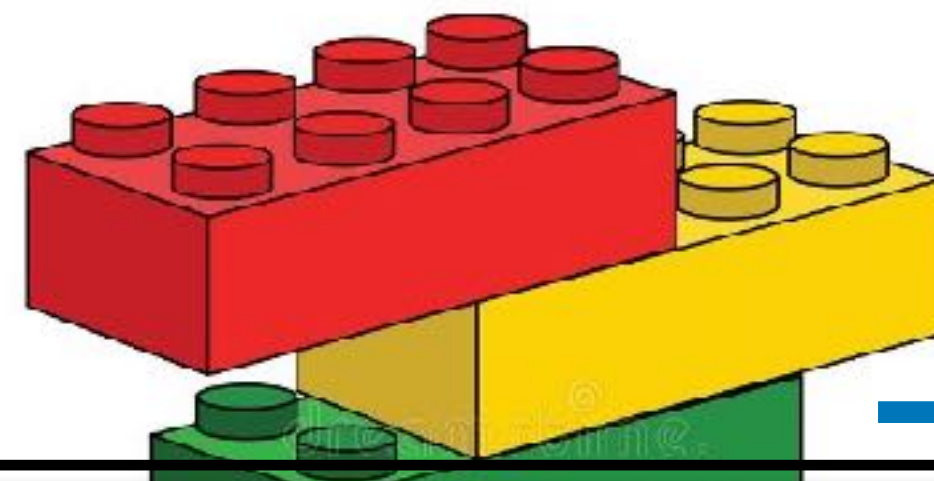


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Computation  $f$



Intermediate Representation



ZK-SNARKs



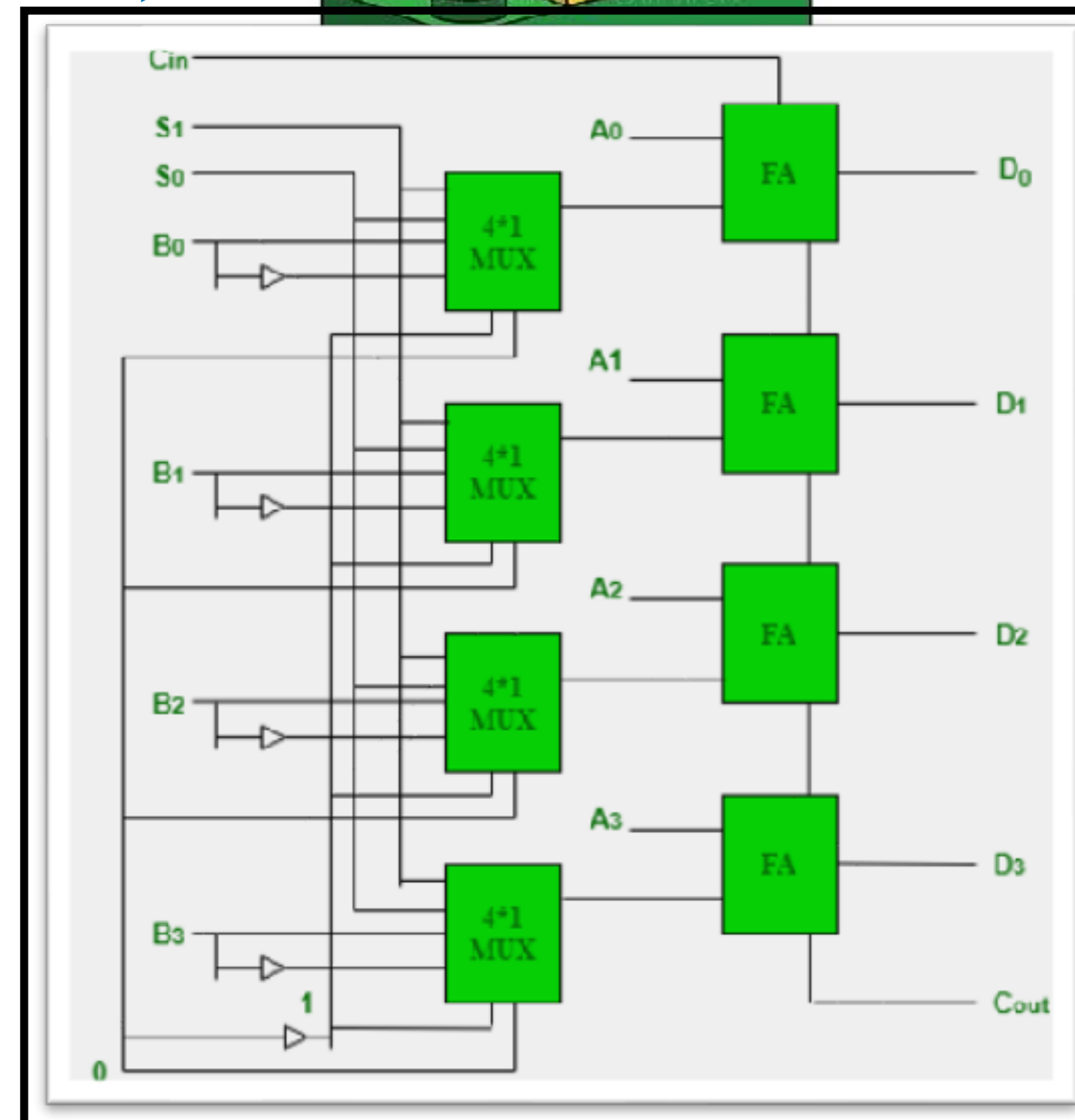
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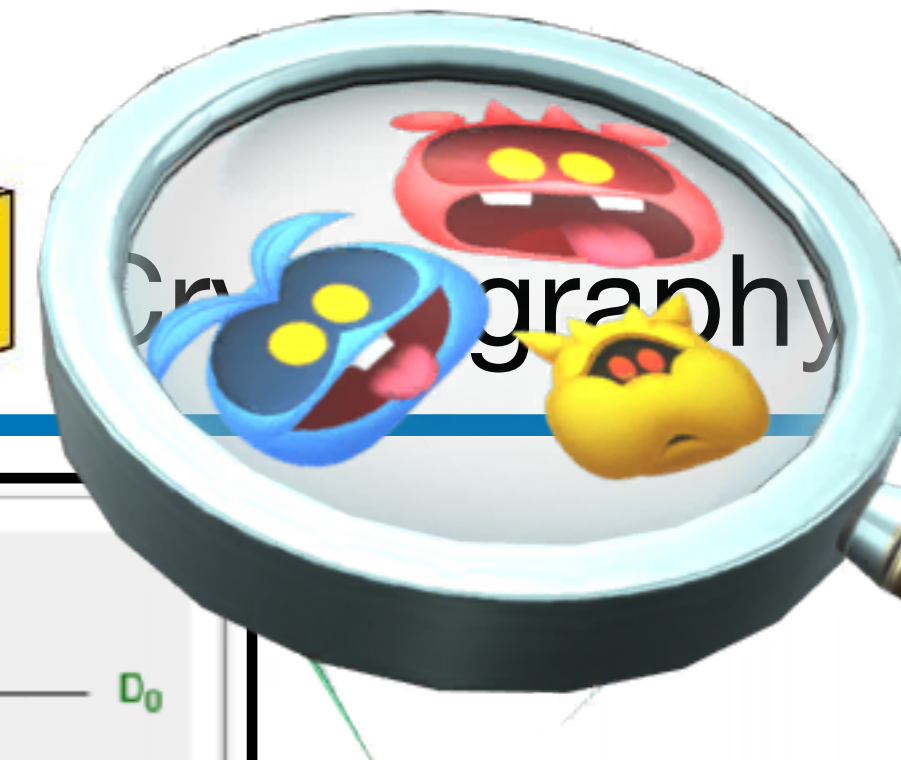
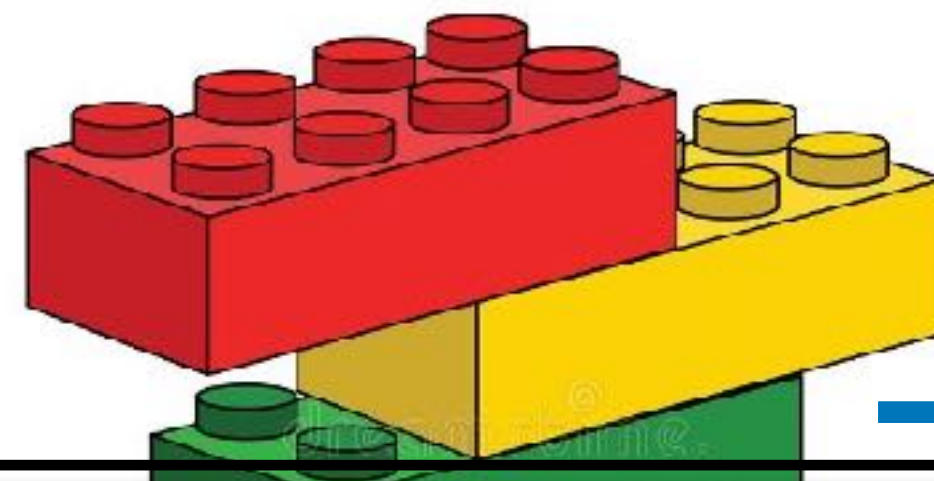


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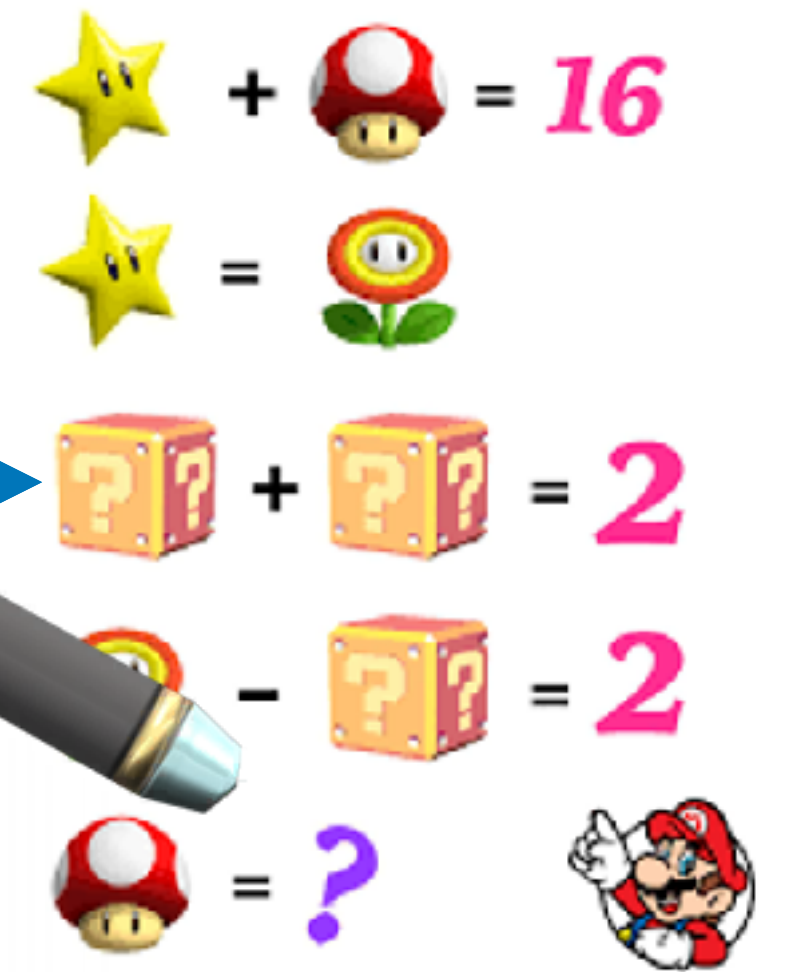
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ZK-SNARKs

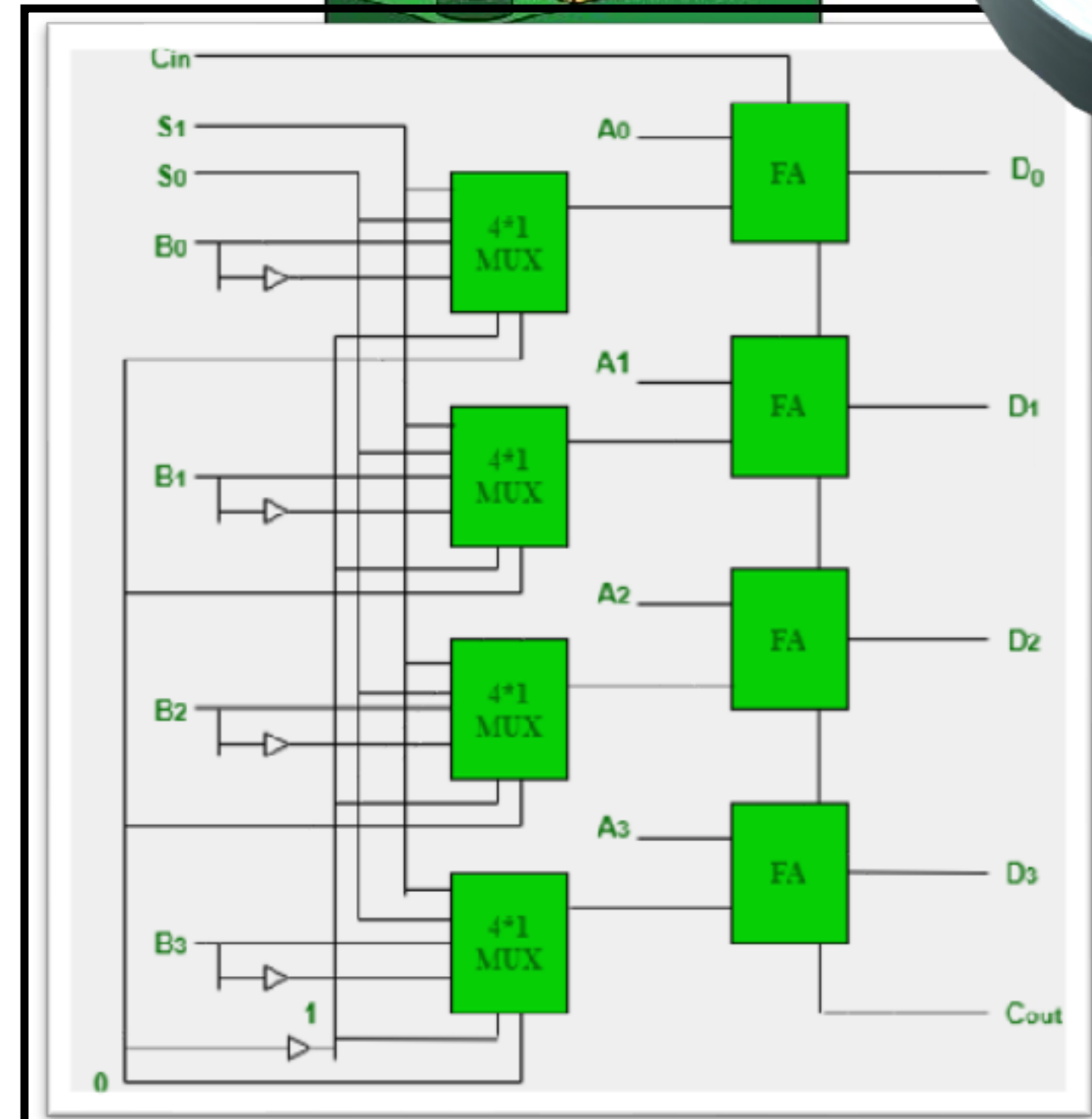


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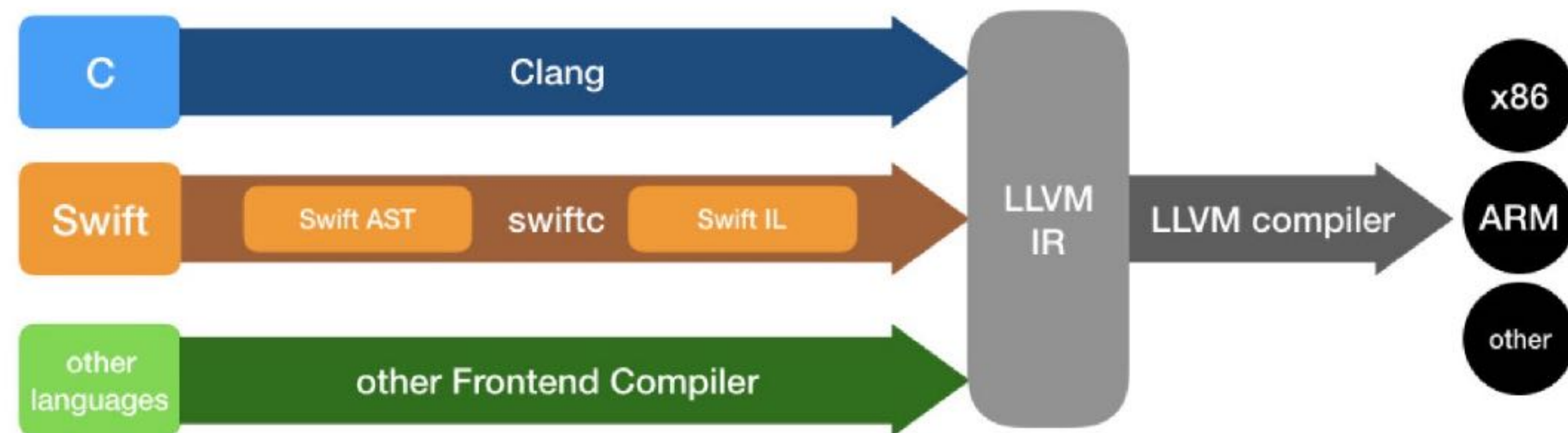
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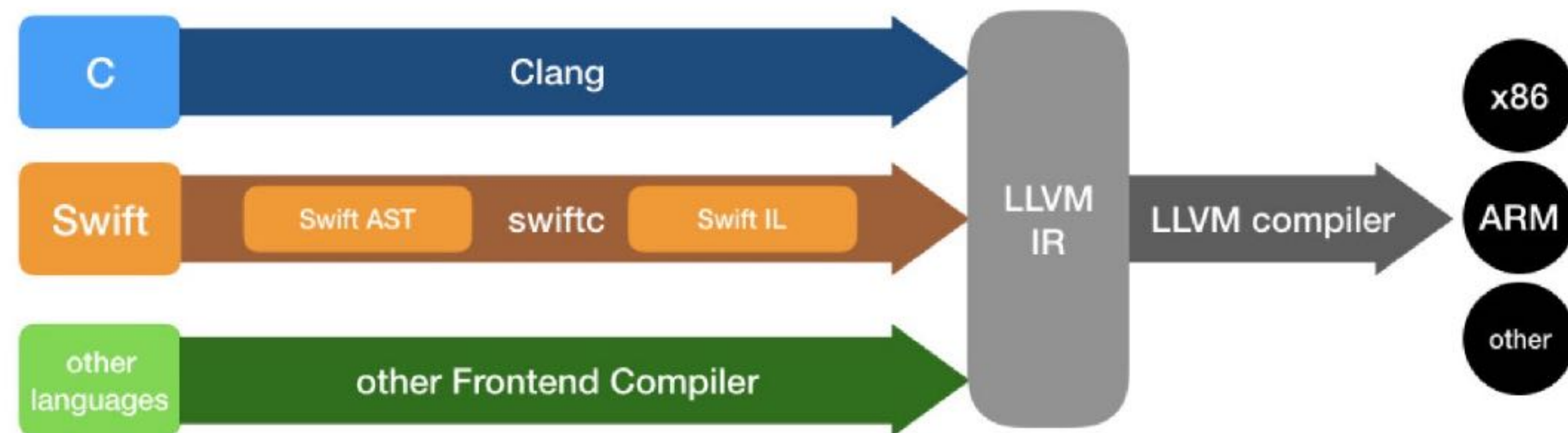
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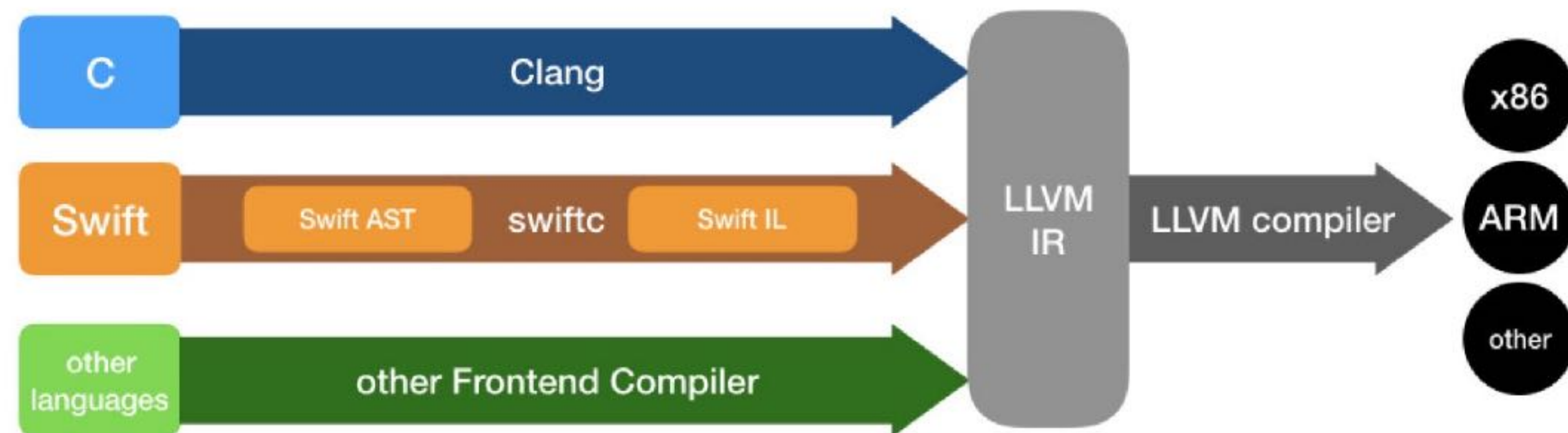
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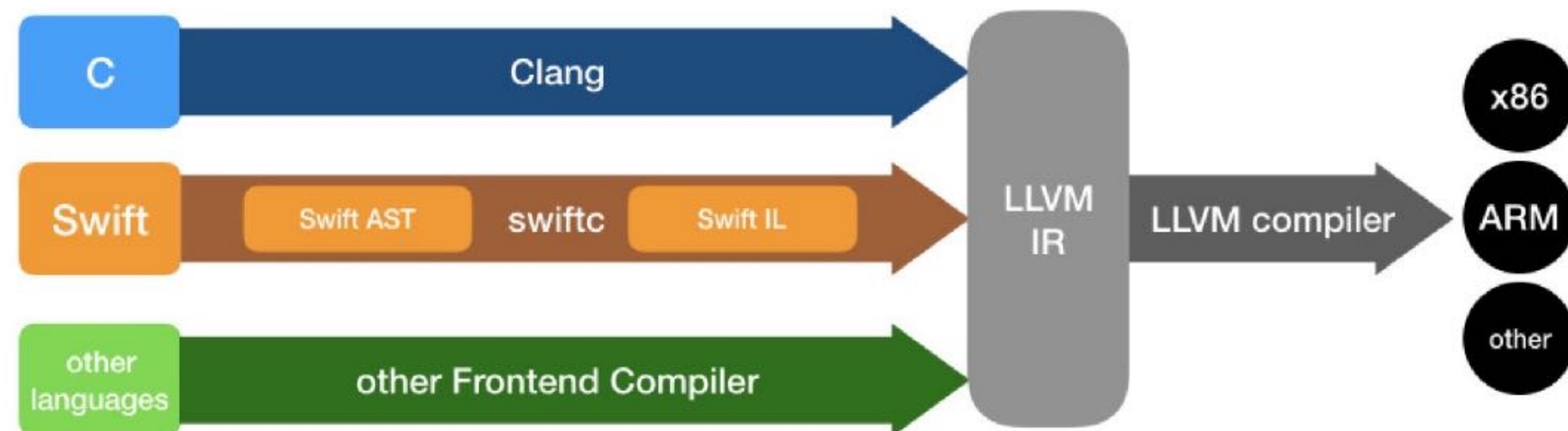
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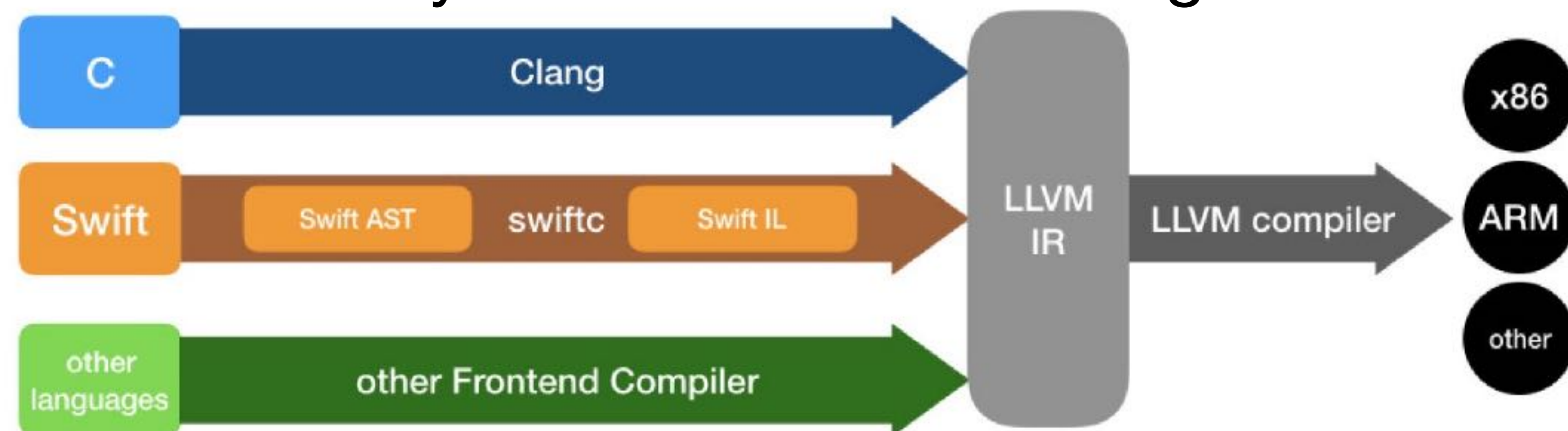
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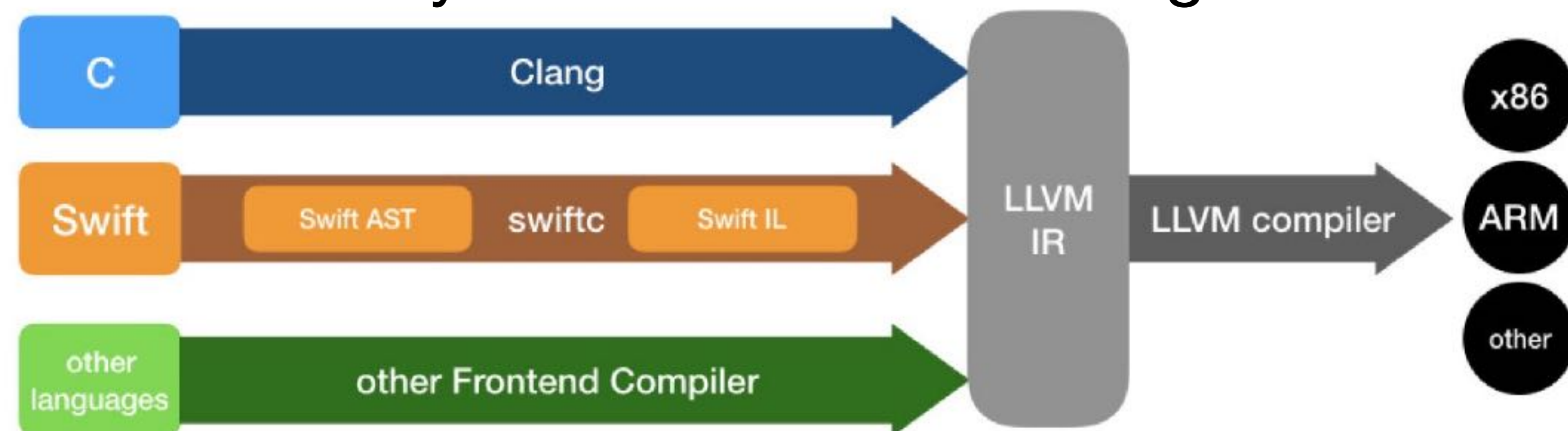
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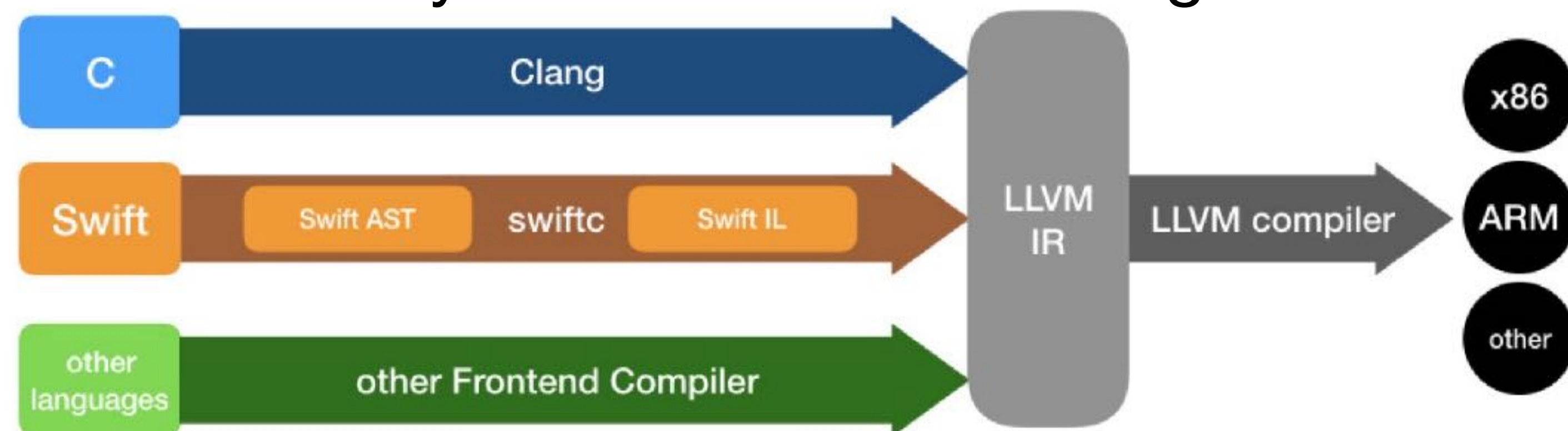
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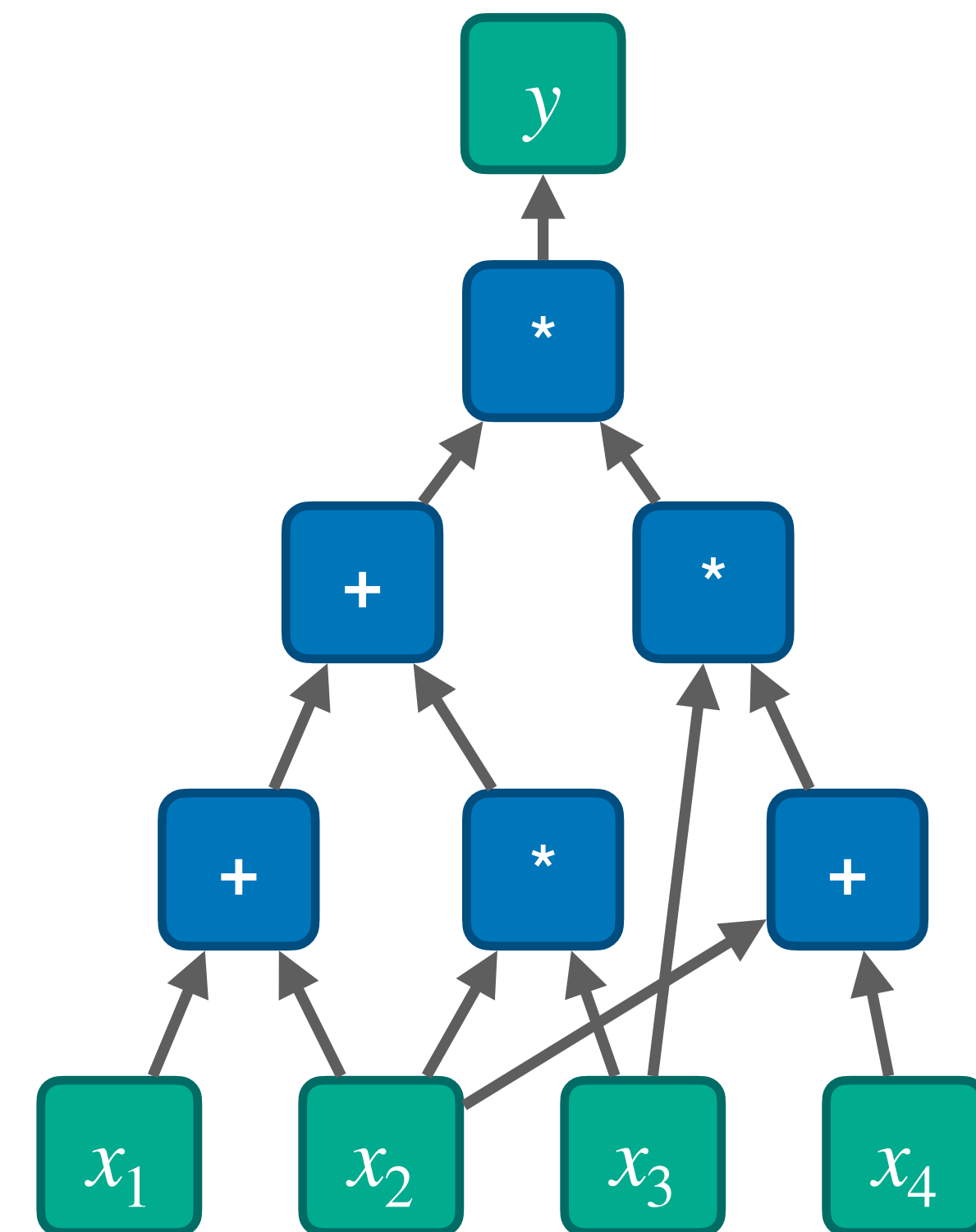


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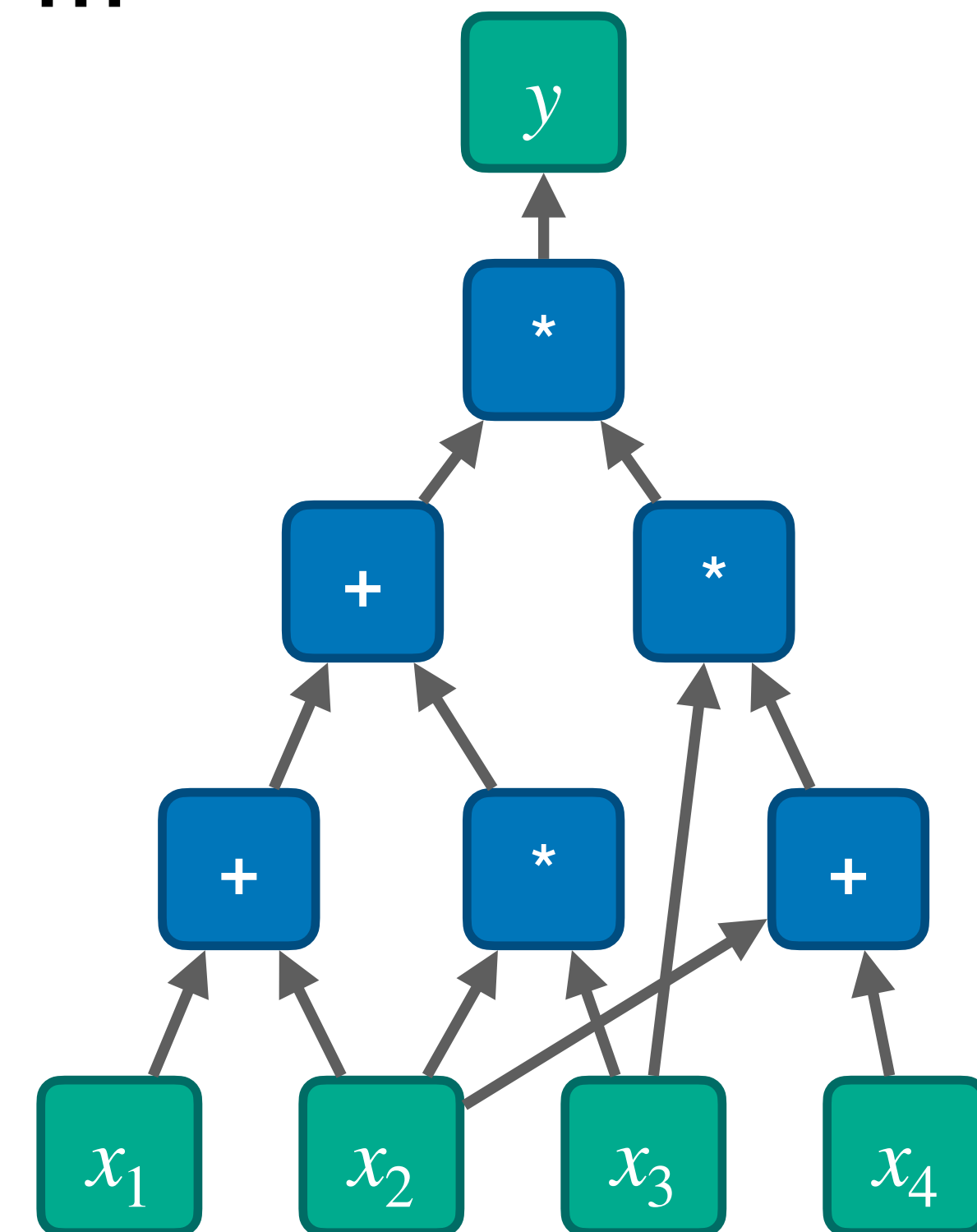
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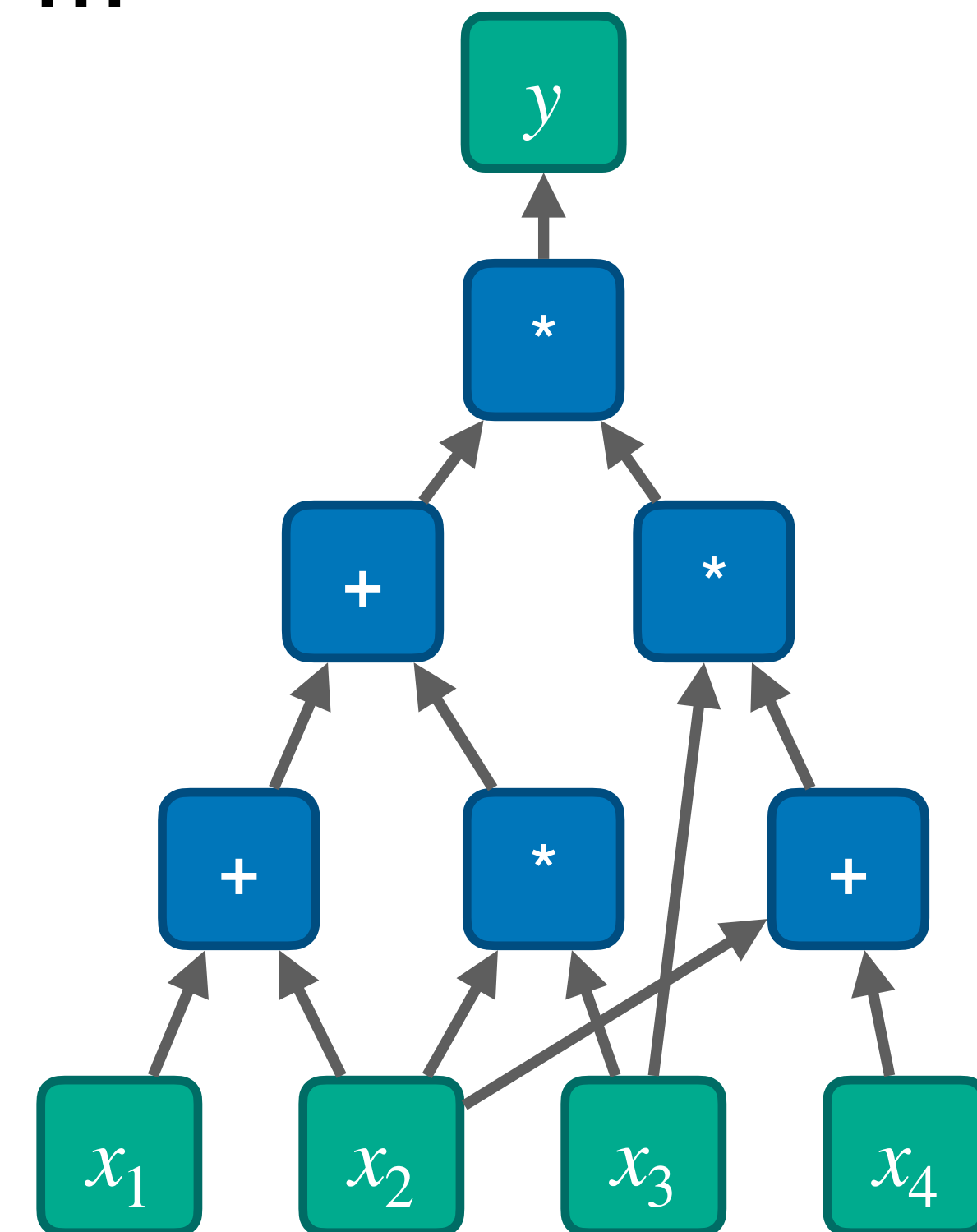
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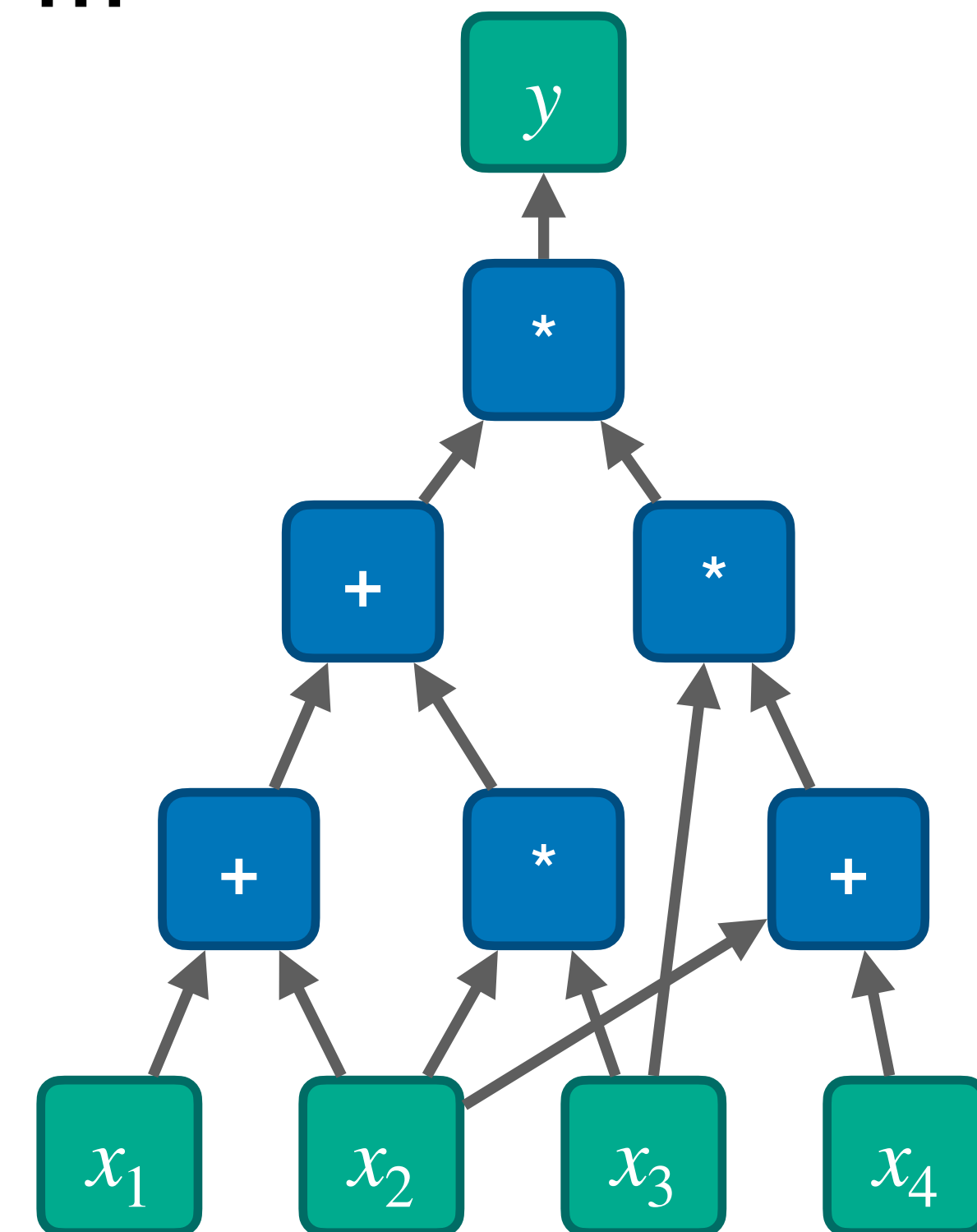
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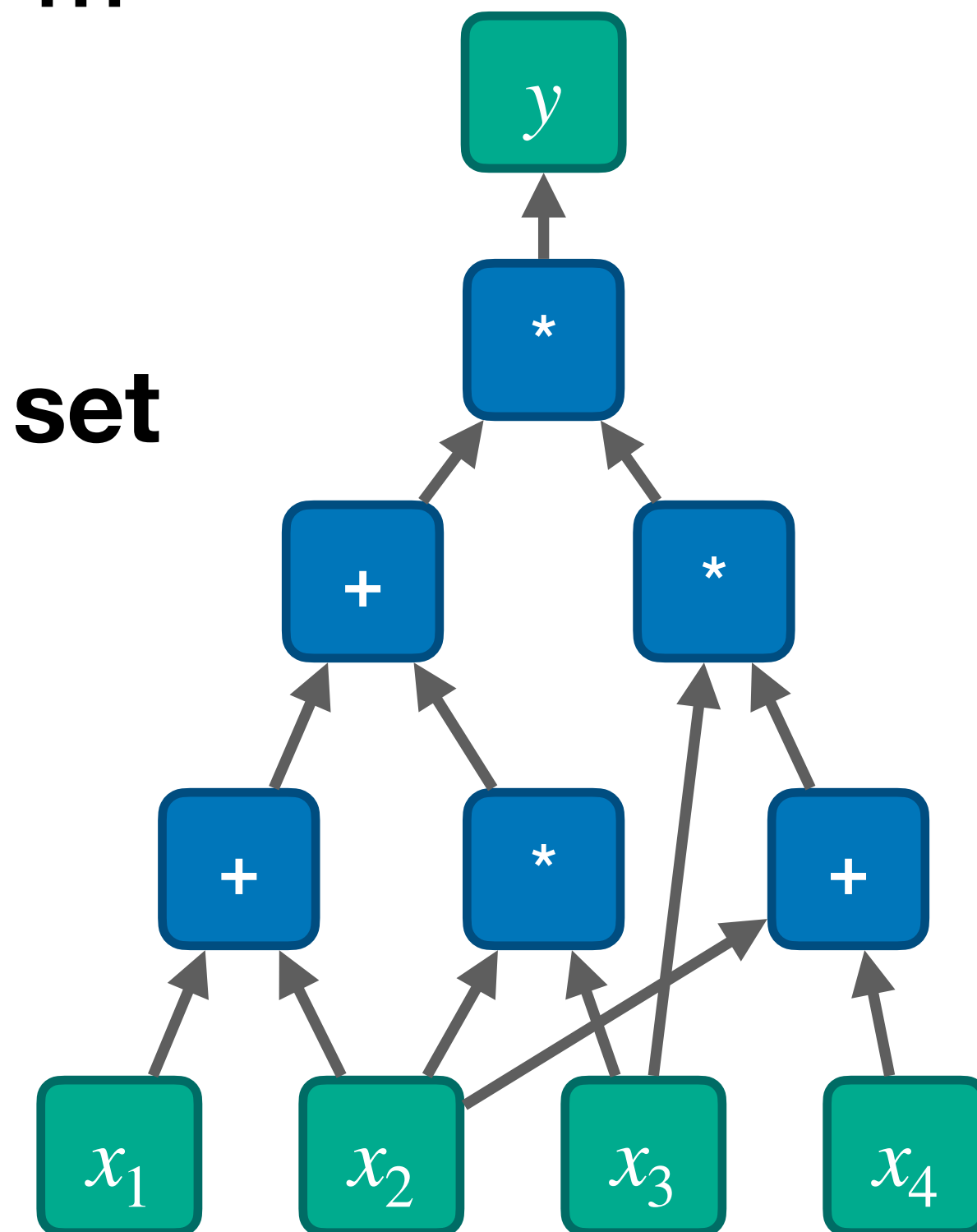
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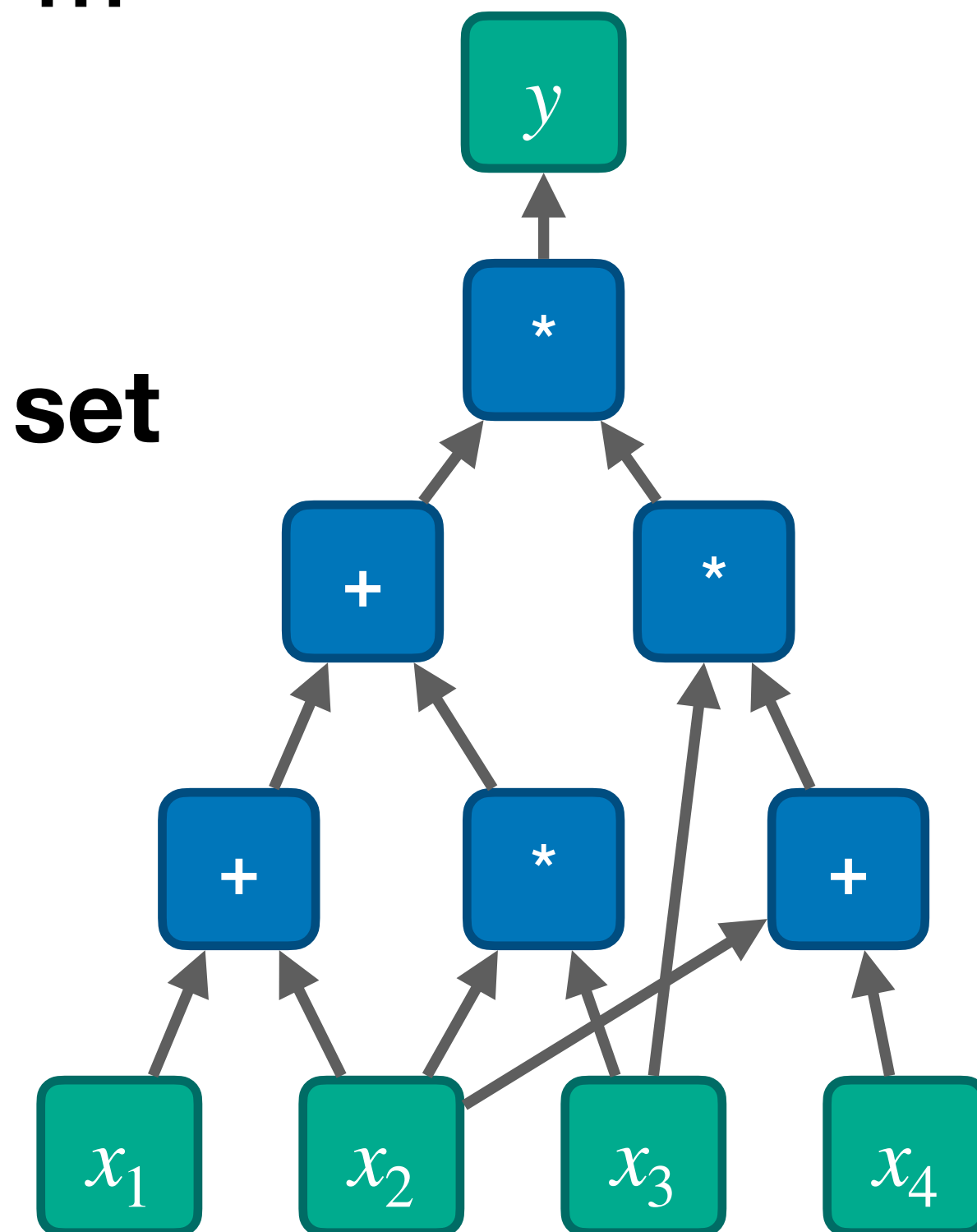
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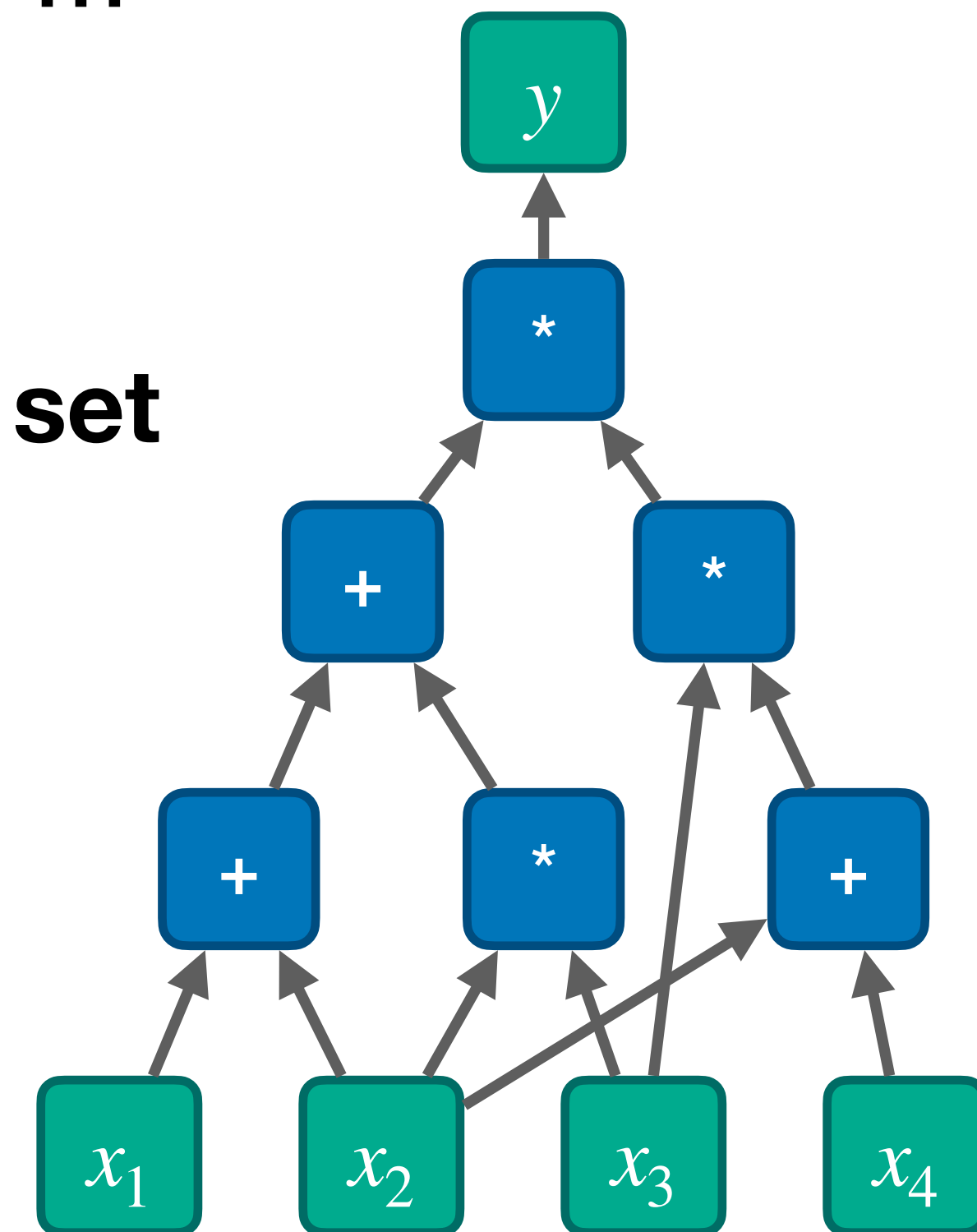
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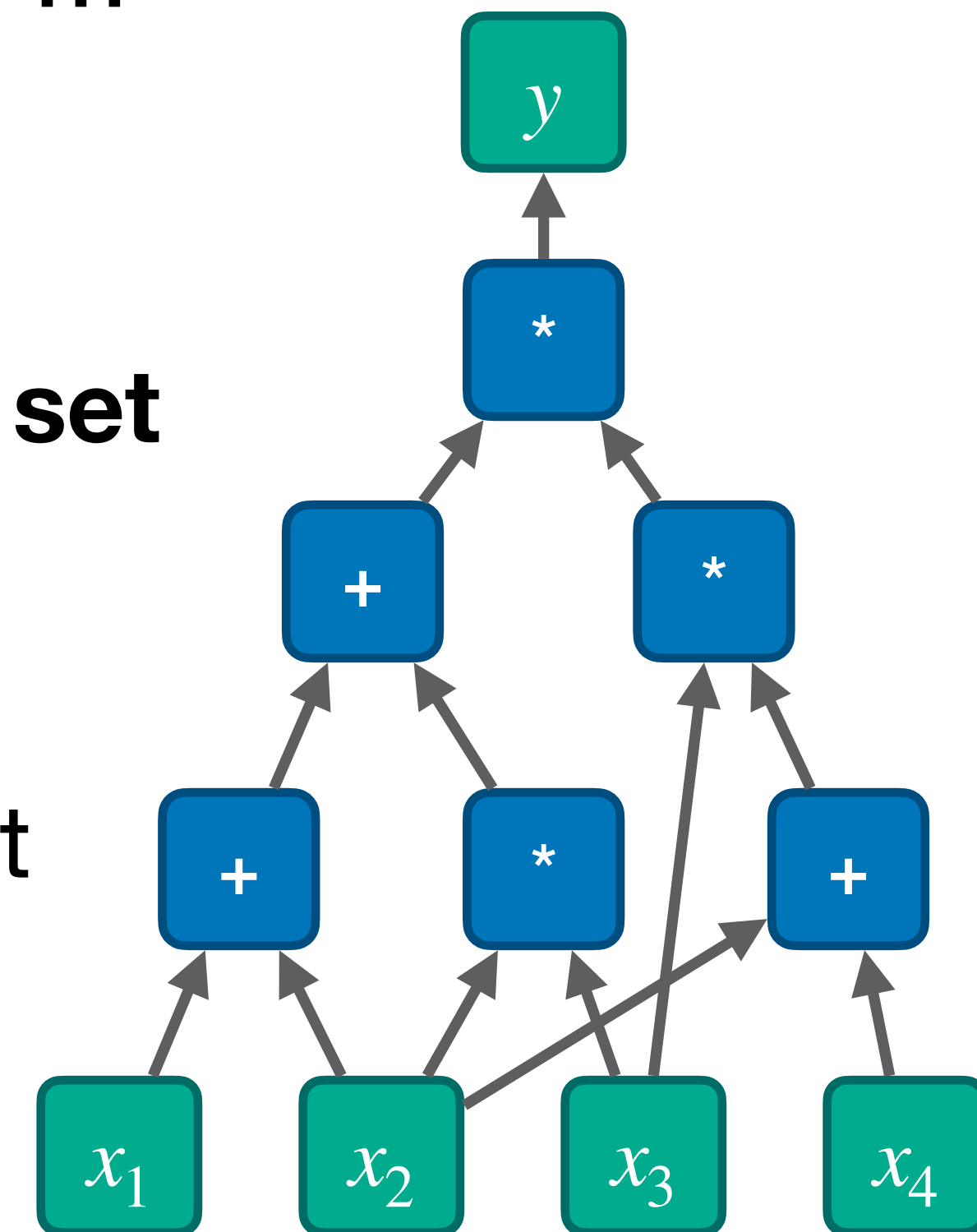
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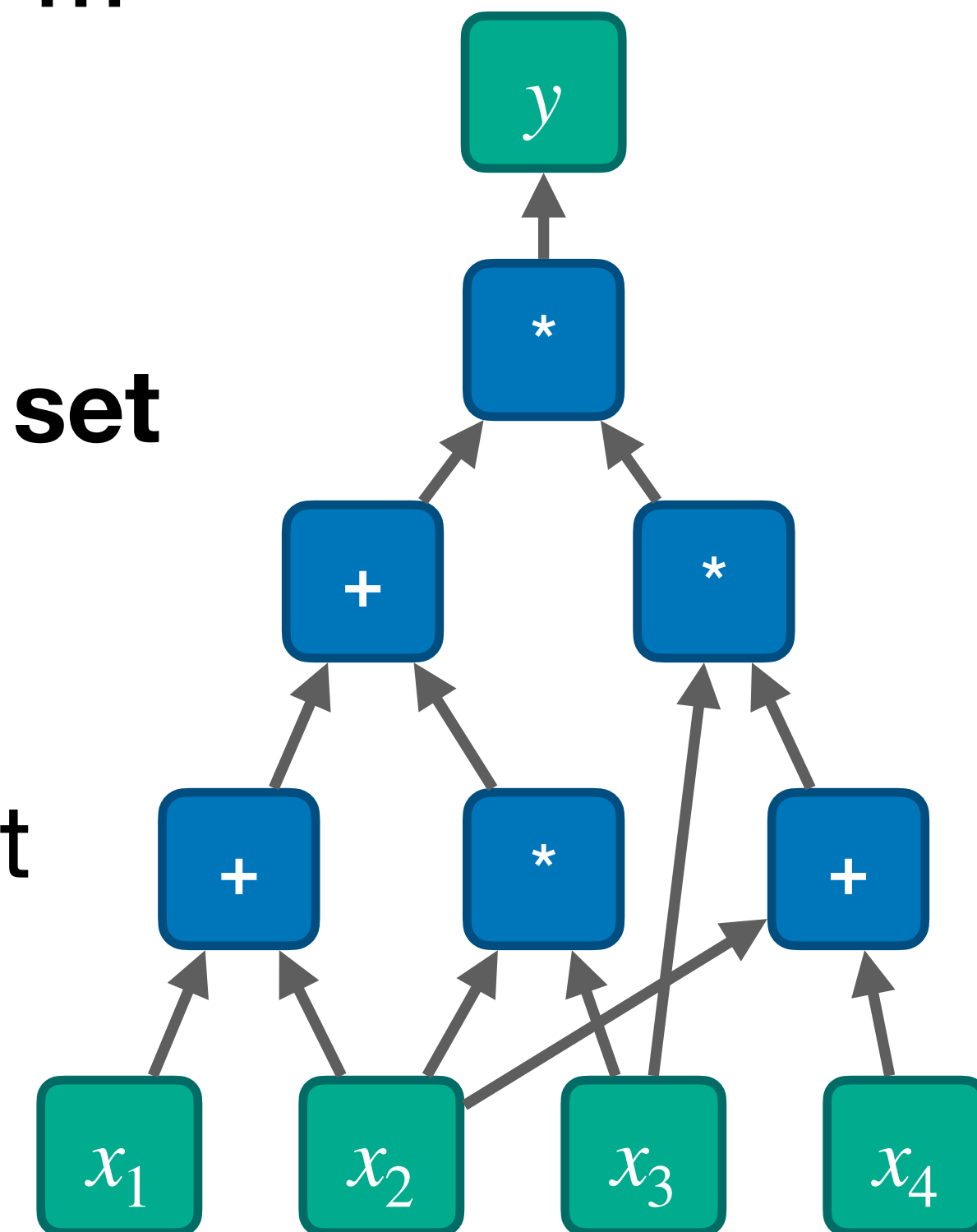
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- Collaboration questions:
- How to compile high-level code efficiently to ZK IRs?
- How to formally verify that compilation was correct?
- Design etc of DSLs

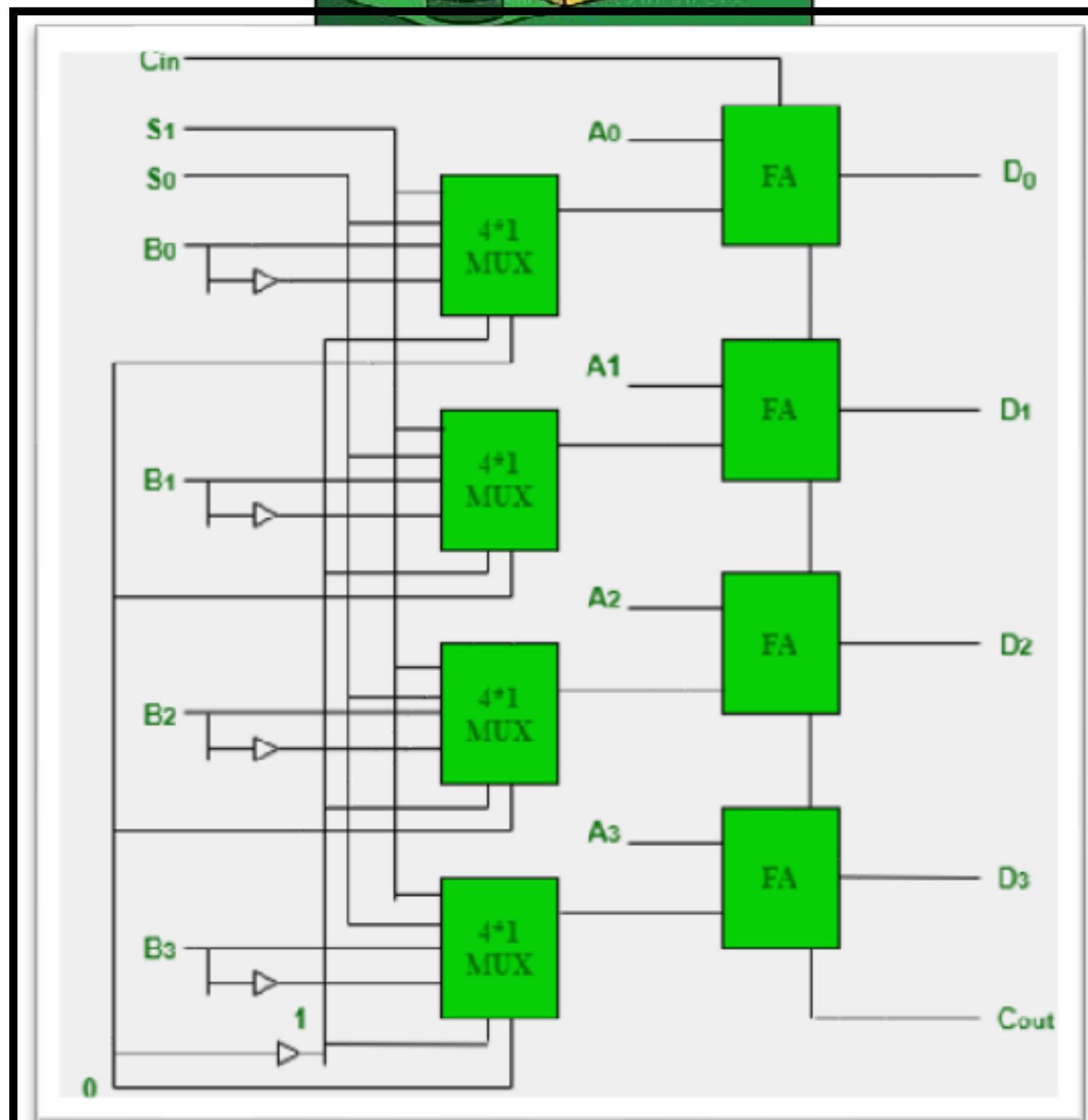
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(Polynomial) Interactive Oracle Proof

“Non-  
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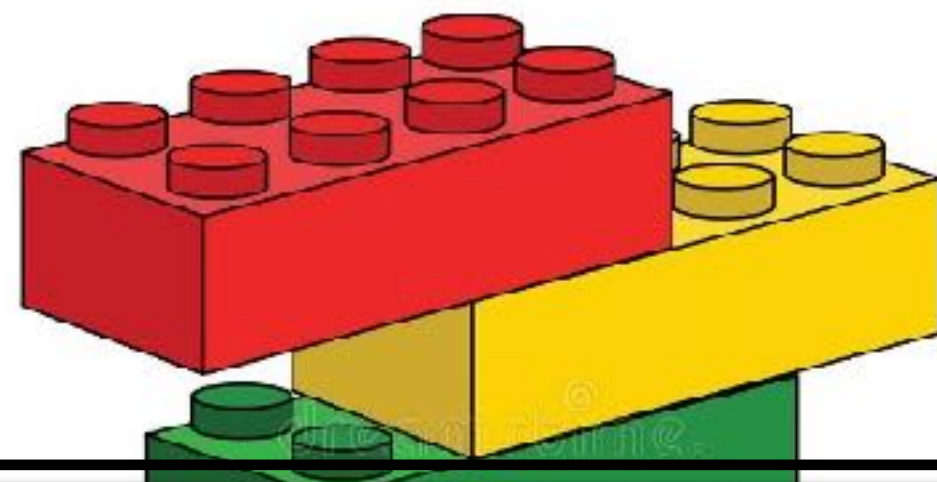
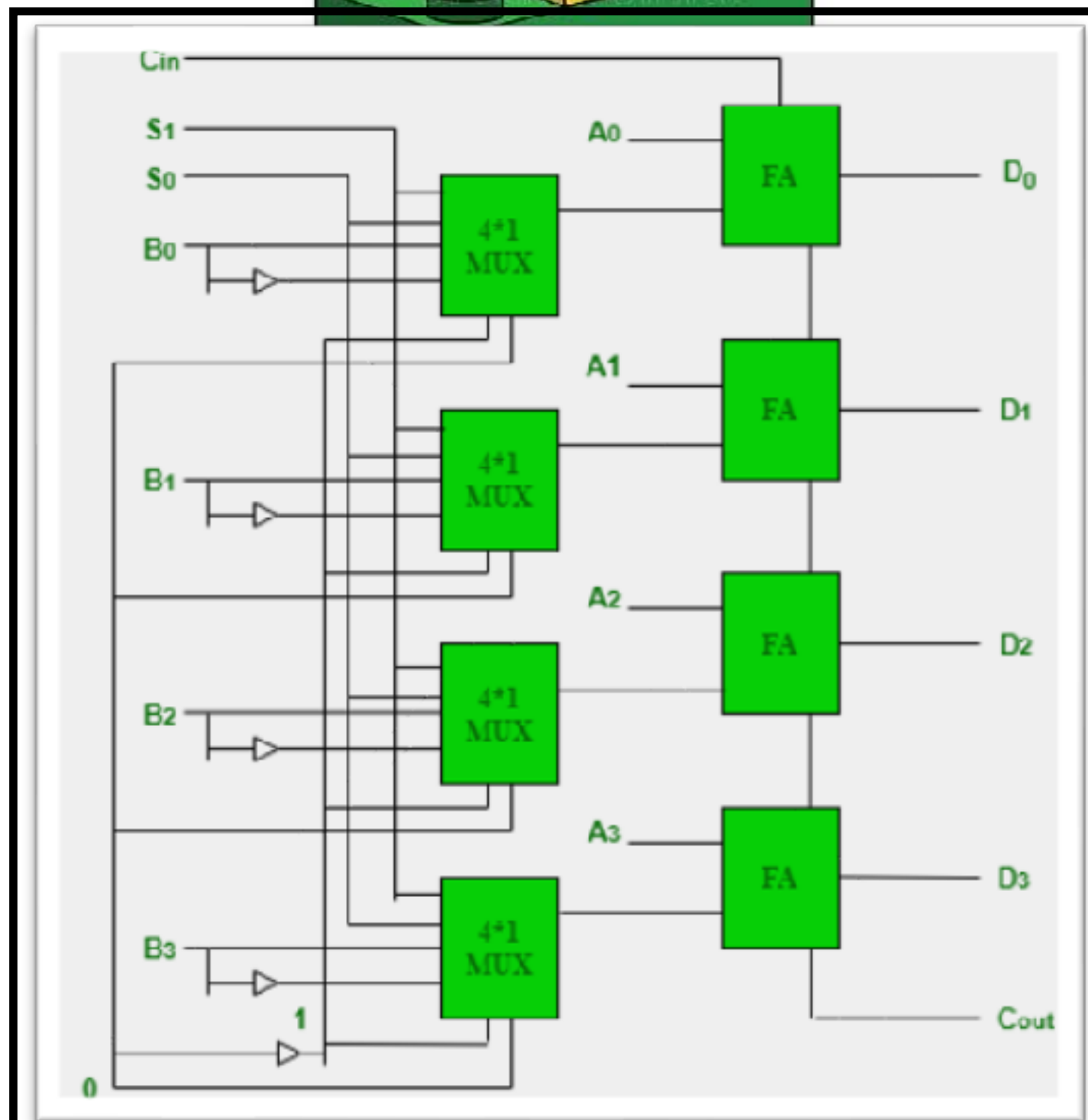
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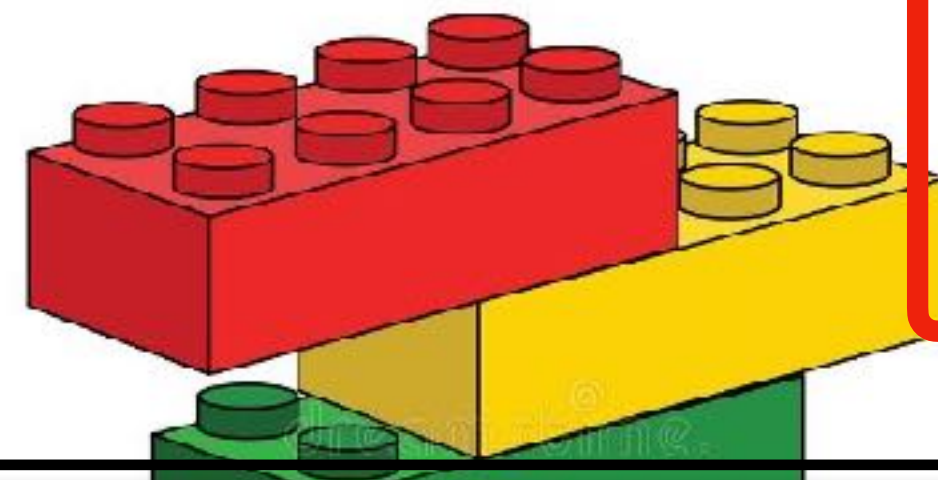
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(Polynomial) Interactive Oracle Proof

ZK-SNARKs

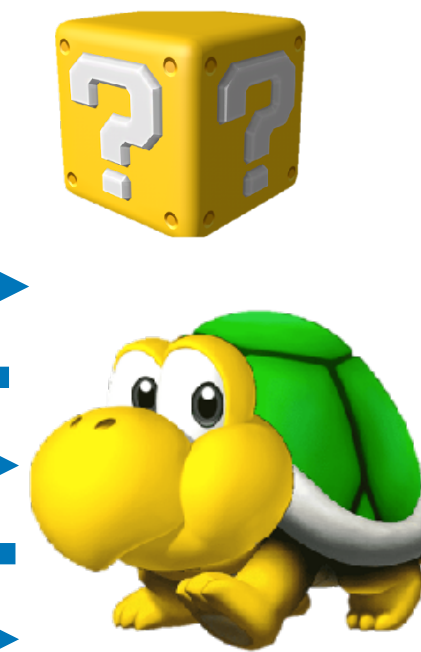
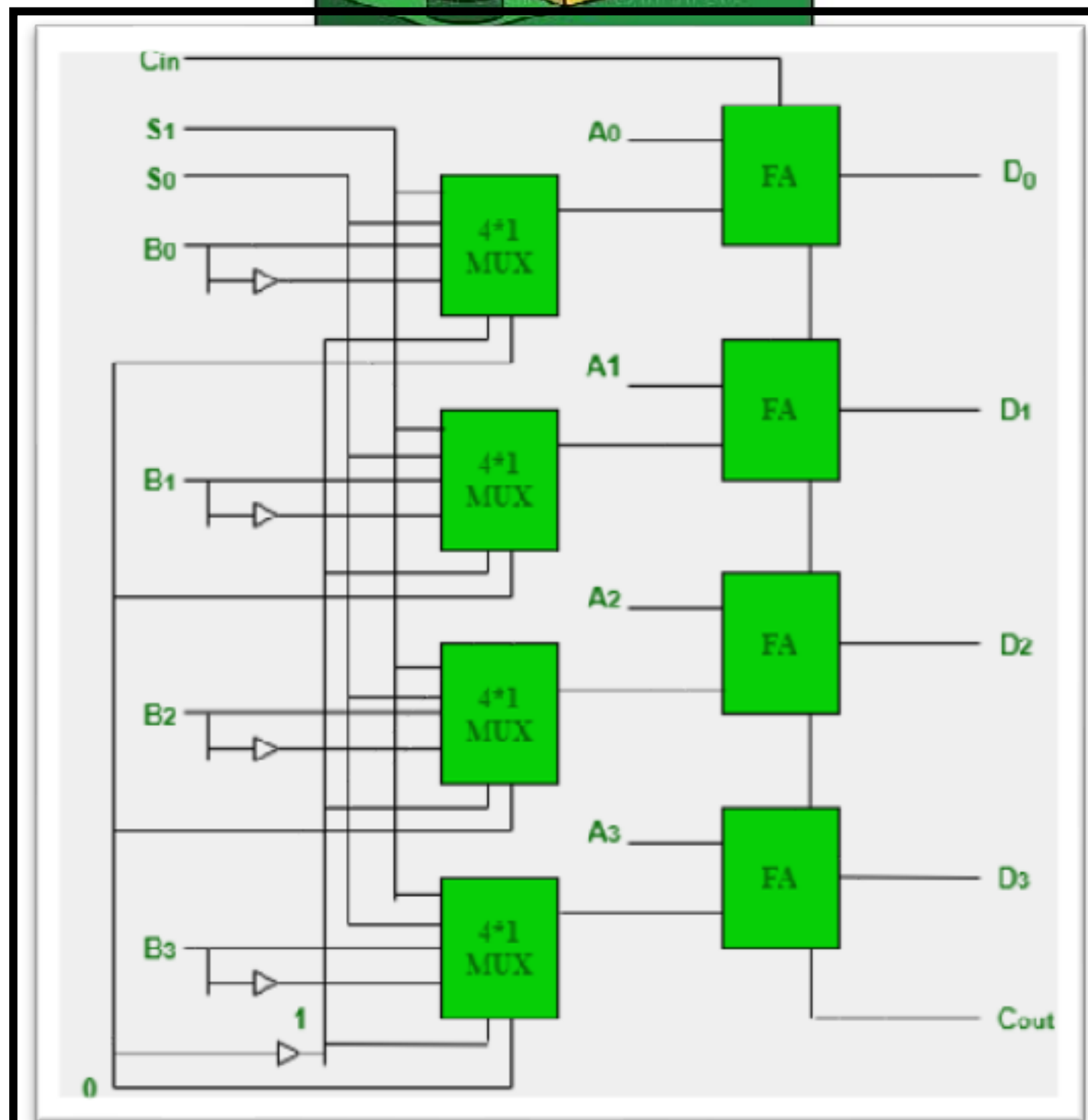
“Non-cryptographic” techniques



Cryptography



$$\begin{aligned} \star + \text{Toad} &= 16 \\ \star &= \text{Fire Flower} \\ \text{?} + \text{?} &= 2 \\ \text{Fire Flower} - \text{?} &= 2 \\ \text{Toad} &= ? \end{aligned}$$



# Usual Proof

$$x, w = a \in \mathbb{F}^n$$



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$$x, w = a \in \mathbb{F}^n$$

$$a \in \mathbb{F}^n$$

x



# Usual Proof

$$x, w = a \in \mathbb{F}^n$$



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Read every bit  
Accept/reject

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NP: class of languages that have proofs  $w$  which can be verified in polynomial time

Read every bit  
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# Usual Proof

$$x, w = a \in \mathbb{F}^n$$



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NP: class of languages that have proofs  $w$  which can be verified in polynomial time

Think of  $n = 2^{30}$   
Even prover time  $n^2 = 2^{60}$  is impenetrable  
We want verifier to be much faster than  $2^{30}$ !

Read every bit  
Accept/reject

# Probabilistically Checkable Proof (~1992)

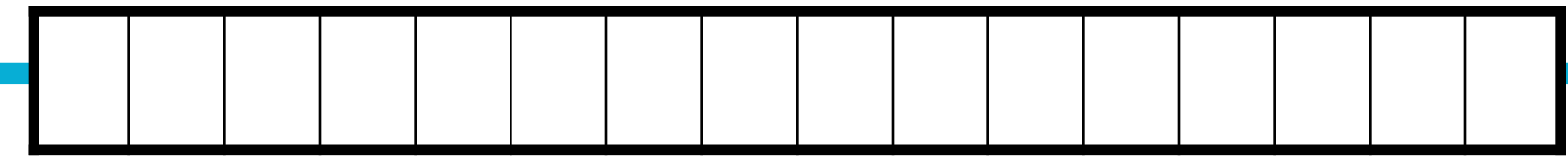
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# Probabilistically Checkable Proof (~1992)

$$x, w = a \in \mathbb{F}^n$$

$$b = \text{Enc}(a) \in \mathbb{F}^{\ell(n)}$$

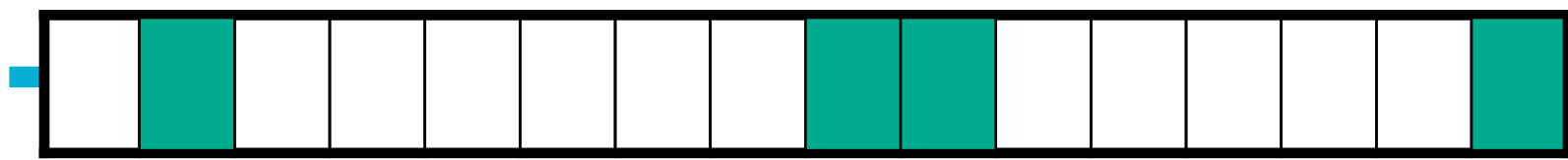


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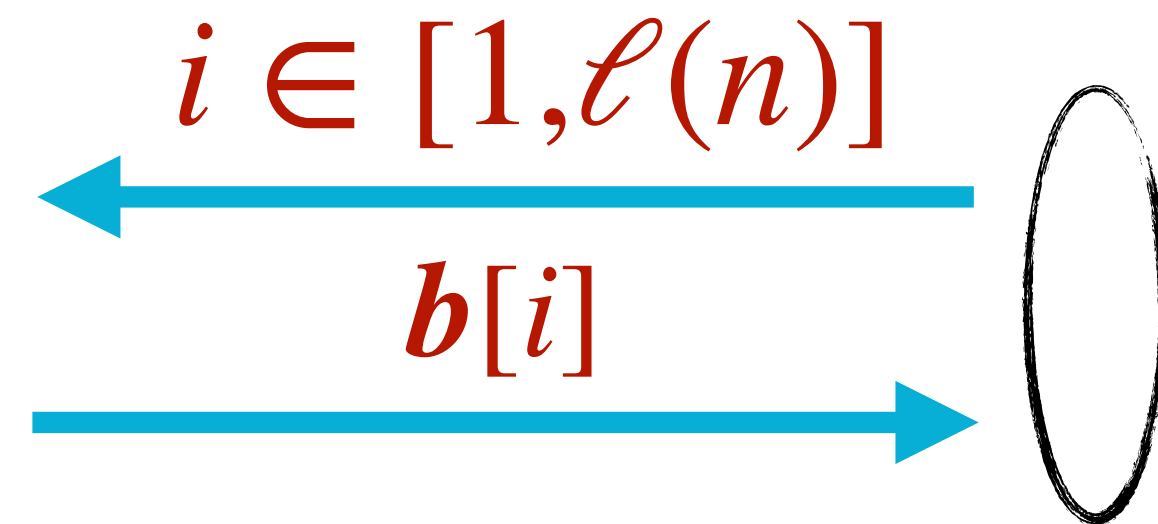
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V can toss random coins (not secure if V is deterministic)

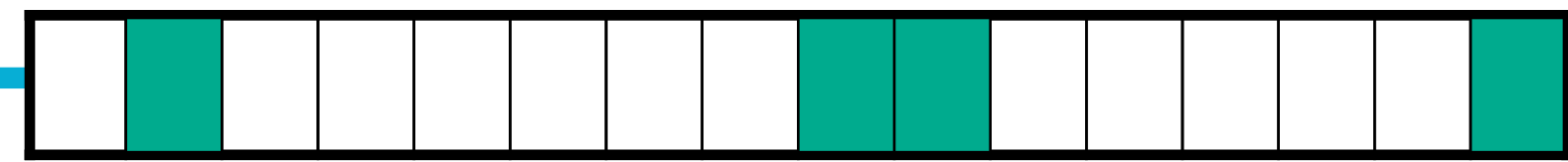


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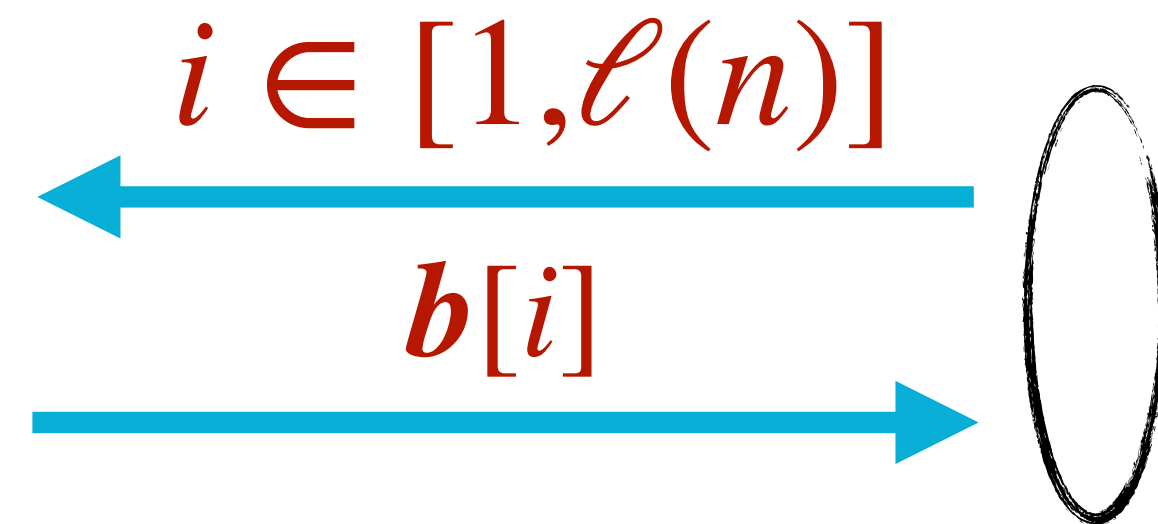
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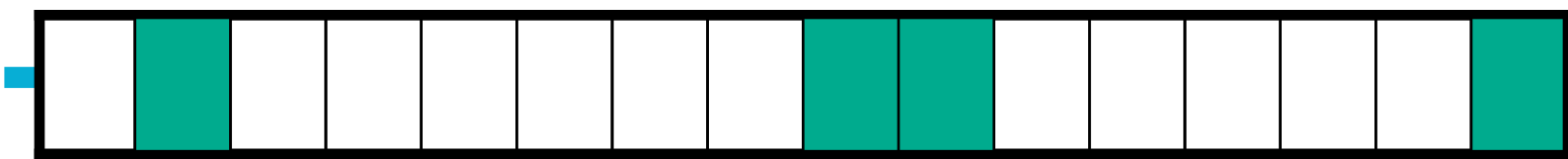
Accept/reject based on queried bits

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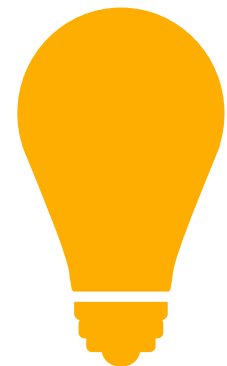
V can toss random coins (not secure if V is deterministic)

$$i \in [1, \ell(n)]$$

$$b[i]$$



Accept/reject based on queried bits



Key insight: allowing randomness makes it much more efficient to verify!

# PCP Theorem

$$x, w = a \in \mathbb{F}^n$$

$$b = \text{Enc}(a) \in \mathbb{F}^{\ell(n)}$$



PCP[ $r(n)$ ,  $q(n)$ ] - class of languages where proofs can be verified by using  $r(n)$  verifier's random bits and  $q(n)$  queries (not deterministic)

**PCP theorem:** PCP[ $O(\log n)$ ,  $O(1)$ ] = NP

- Celebrated as one of the most central theorems in complexity theory

Accept/reject based on queried bits

# PCP Theorem

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**PCP theorem:** PCP[ $O(\log n)$ ,  $O(1)$ ] = NP

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😭😭😭😭 Known PCPs are quite inefficient for the prover (proof length  $O(n \log^4 n)$ )

Accept/reject based on queried bits



# Interactive Oracle Proof (2016)

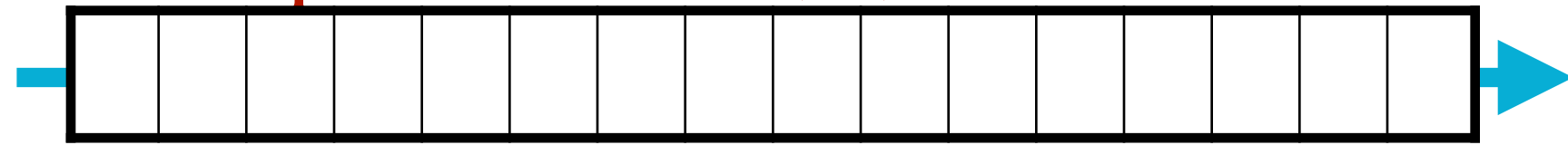
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# Interactive Oracle Proof (2016)

$$x, w = a \in \mathbb{F}^n$$

$$b_1 = \text{Enc}_1(a) \in \mathbb{F}^{\ell_1(n)}$$



Random, unpredictable, independent from previous messages

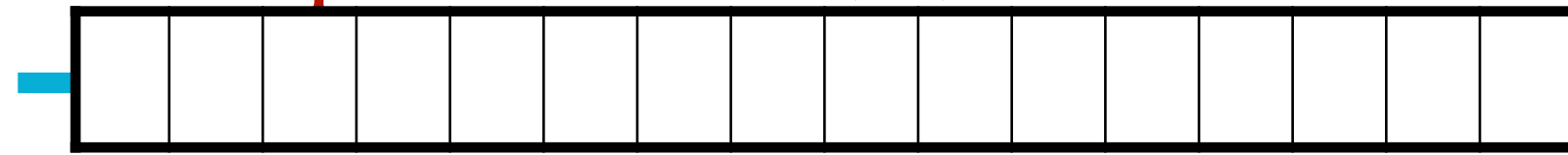
$$r_1 \in \mathbb{F}$$



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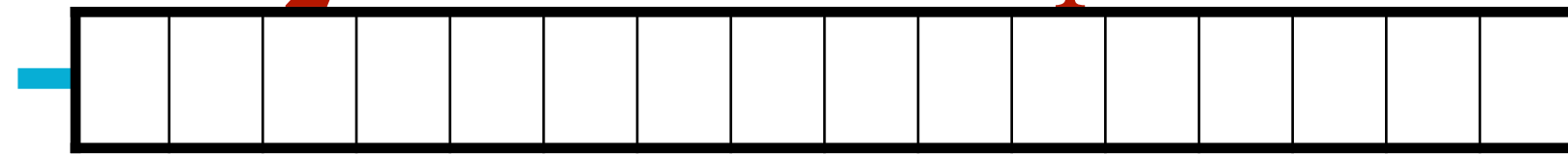
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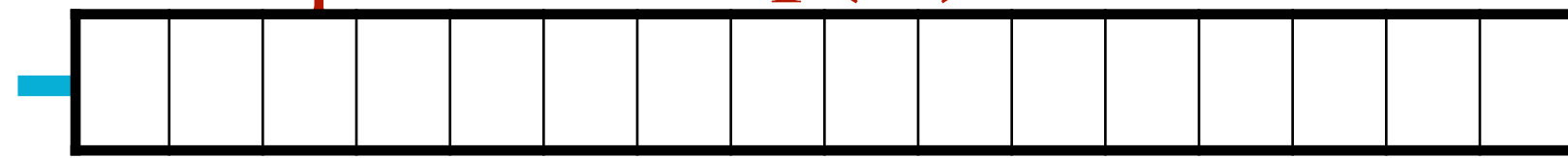
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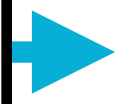
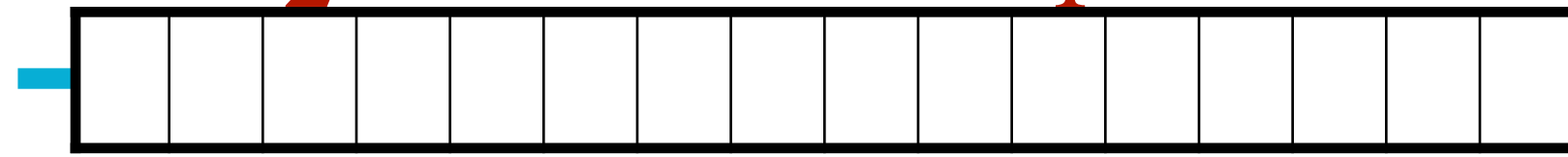
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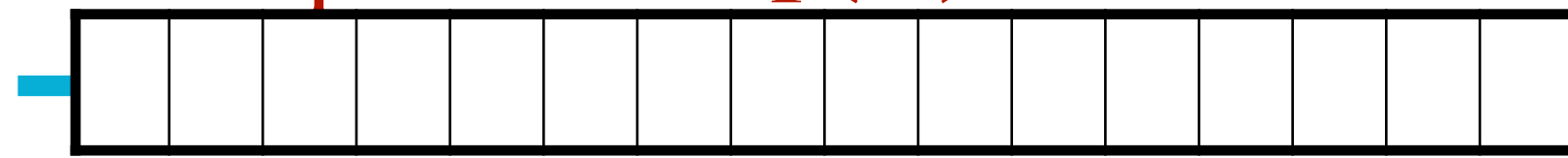
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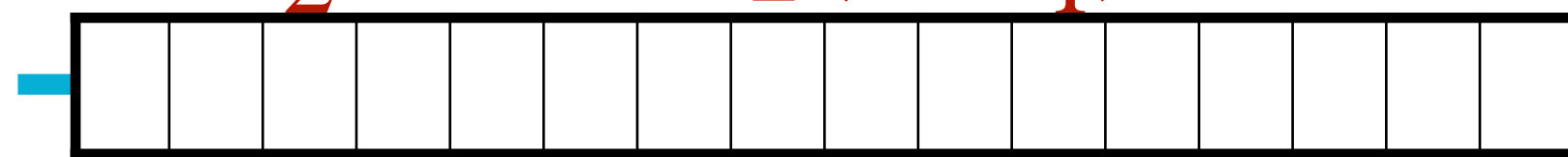
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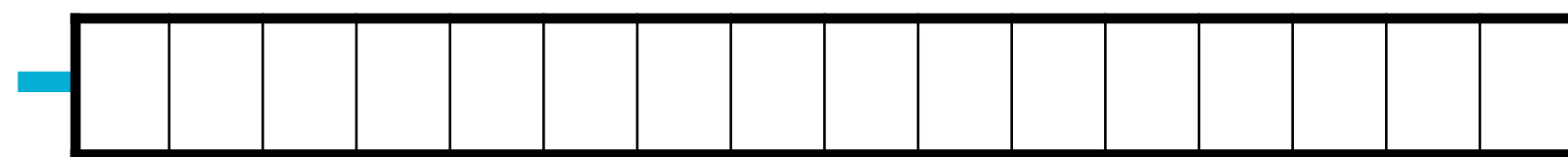
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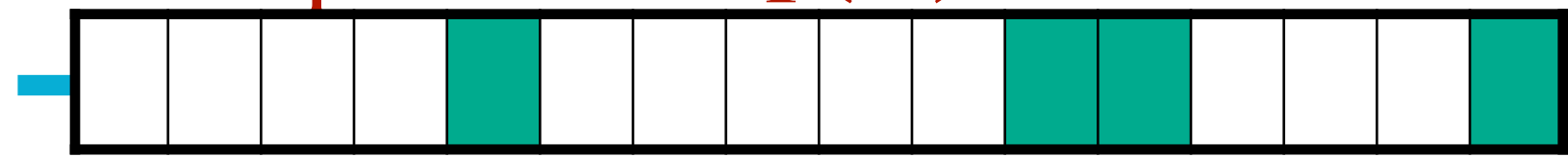
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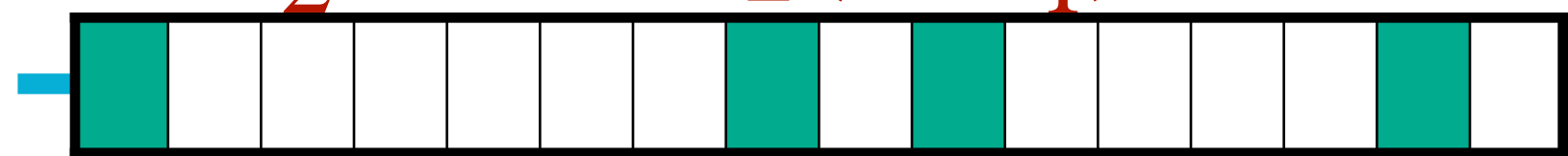
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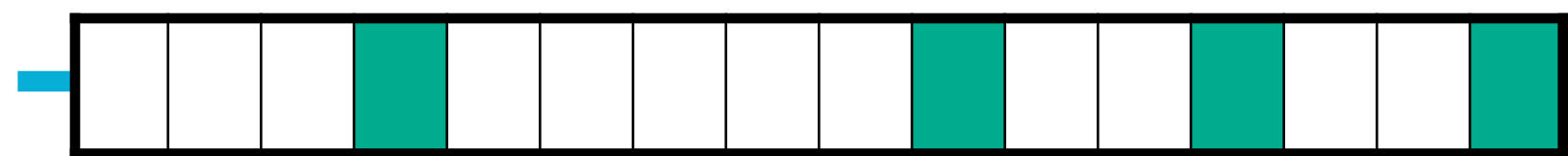
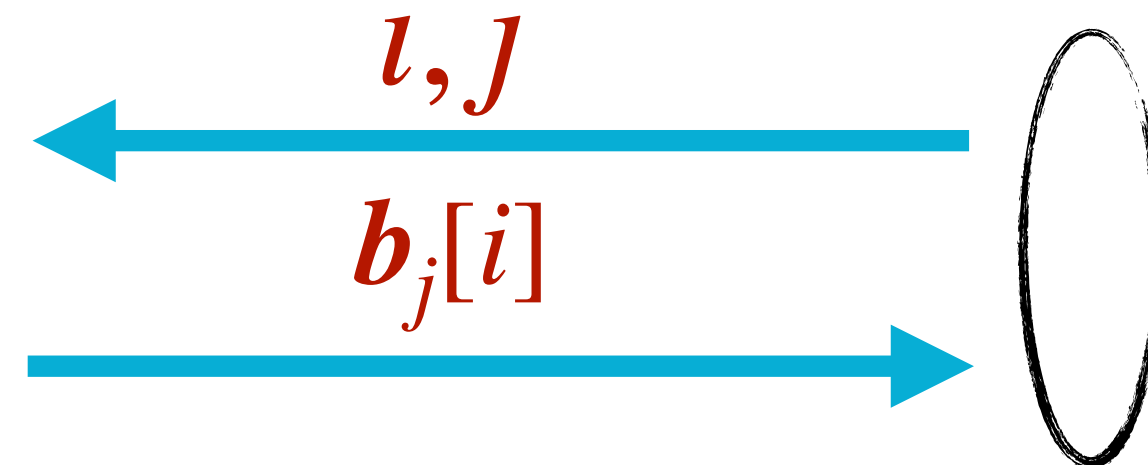
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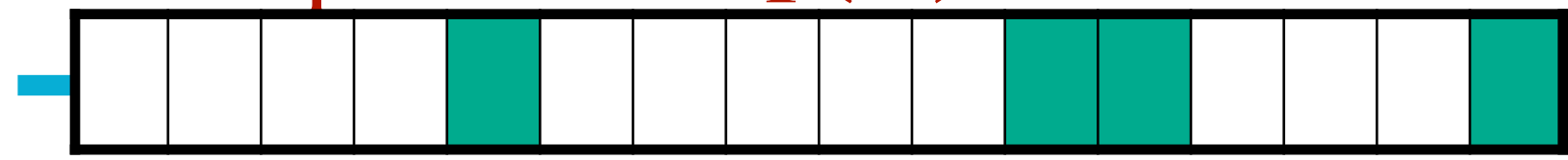
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$$i, j$$
$$b_j[i]$$


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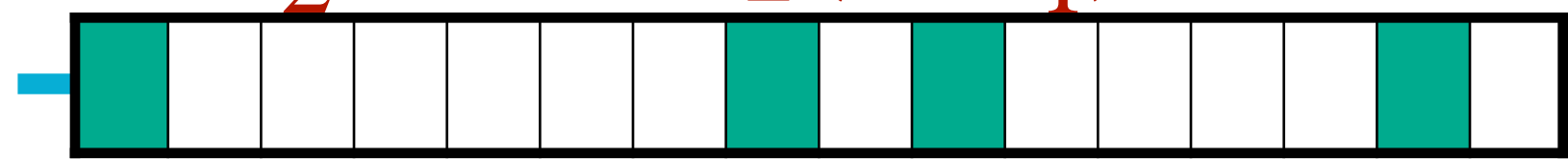
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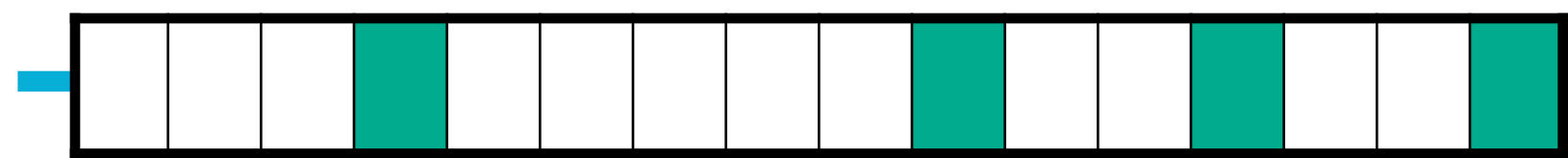
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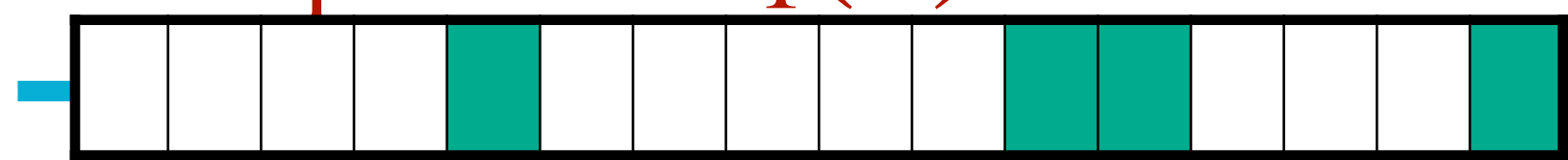
Accept/reject based on randomizers and queried bits



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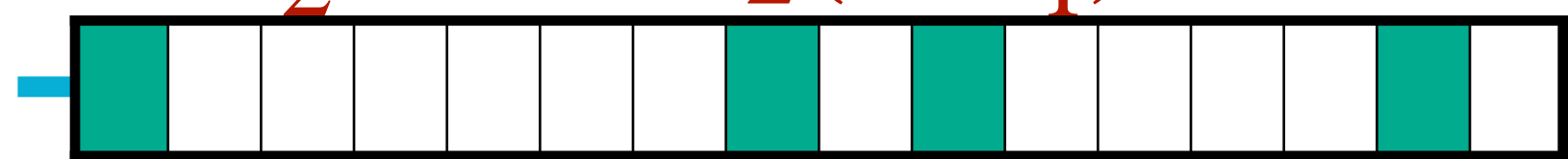
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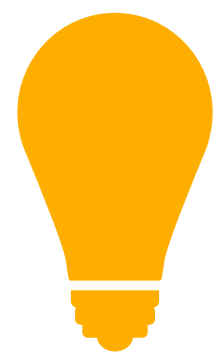
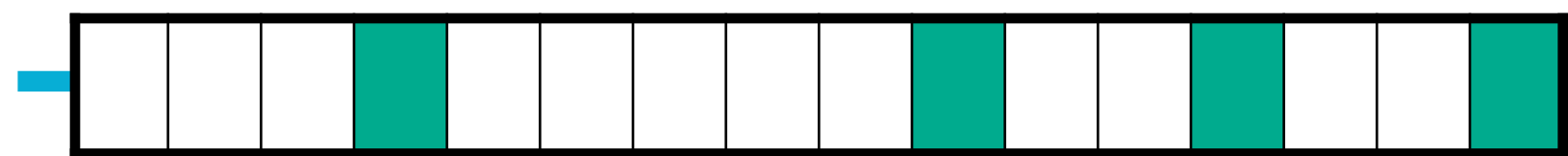
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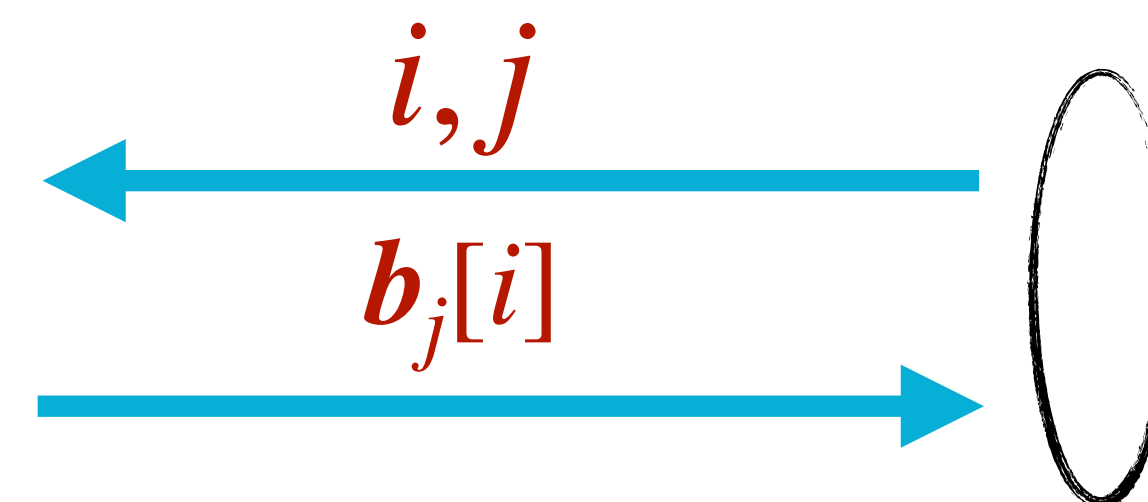


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Key insight (1980+): allowing interaction makes it much more efficient to prove and verify



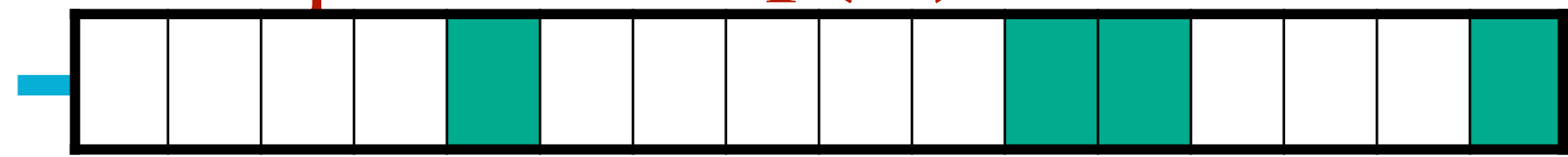
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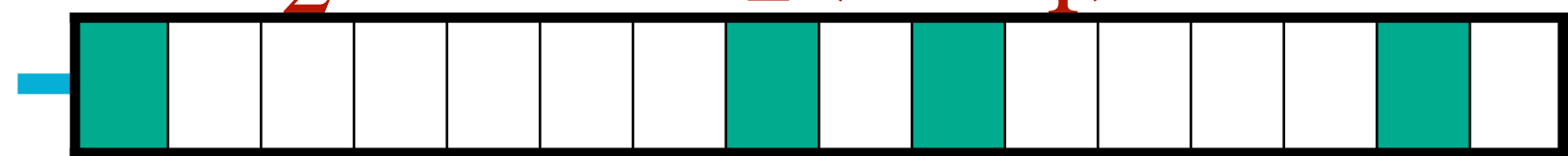
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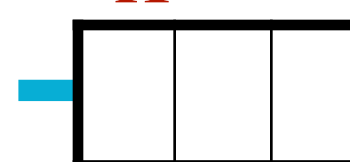
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Key ideas:

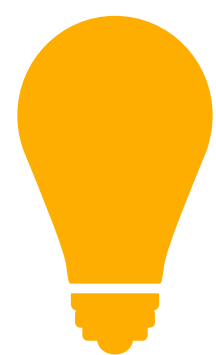
- V can spot-check certain coordinates of the encodings
- For efficiency, need a tool to “smear” errors
- => Use error-correcting codes

Key insight: interaction makes it much more efficient to prove and verify

$$b_j[i]$$



Accept/reject based on randomizers and queried bits

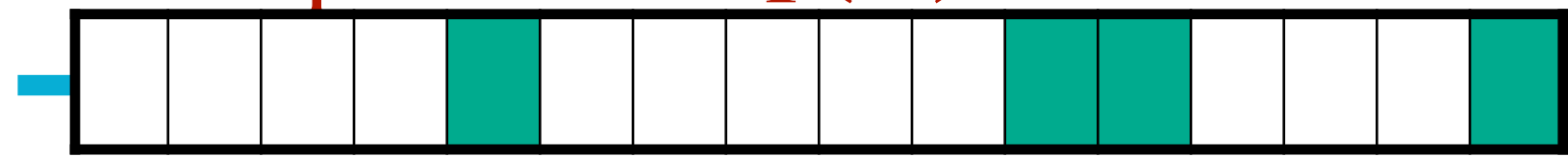


# Interactive Oracle Proof (IOP)

- Collaboration questions:
- Find good error-correcting codes
- Find out which codes are needed...
- Give input to cryptographers about known coding theory facts

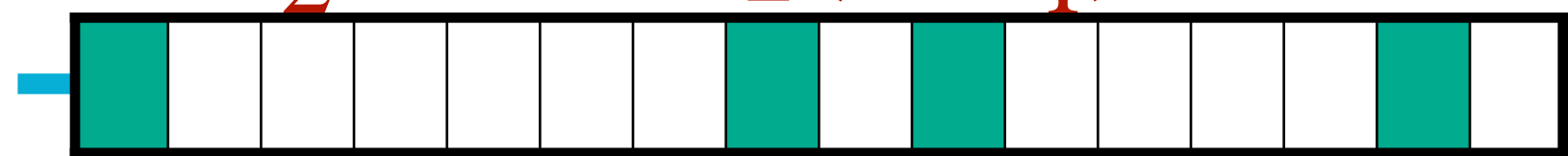
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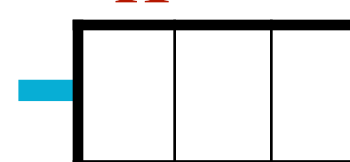
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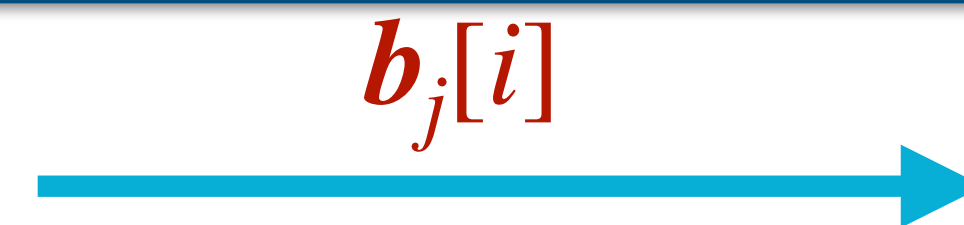


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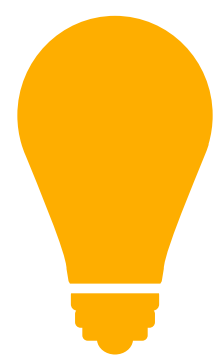
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Accept/reject based on randomizers and queried bits



# Polynomial Interactive Oracle Proof (2020)

$$x, w = a \in \mathbb{F}^n$$

$$f_1(X) = \text{Enc}_1(a) \in \mathbb{F}_{\leq n}[X]$$



Random, unpredictable, independent from previous messages

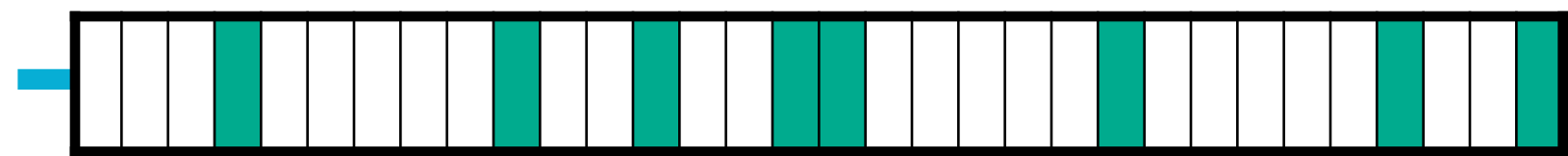
$$r_1 \in \mathbb{F}$$

$$f_2(X) = \text{Enc}_2(a, r_1) \in \mathbb{F}_{\leq n}[X]$$



$$r_2 \in \mathbb{F}$$

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$$i, j$$

$$f_j(i)$$

Accept/reject based on randomizers and queried evaluations



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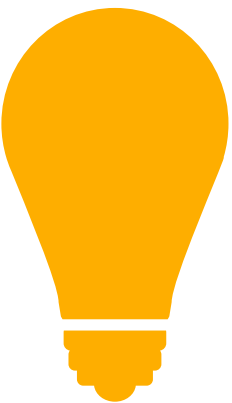
$|\mathbb{F}|$  possible queries  $i$  instead of just  $n$

$$i, j$$

$$f_j(i)$$

Accept/reject based on randomizers and queried evaluations

**Key insight (trade-off):** allowing a more powerful oracle makes IOP more efficient but implementing the oracle is more costly



# Polynomial Interactive Oracle Proof (2020)

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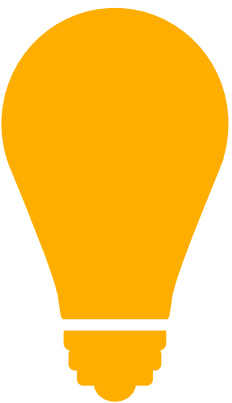
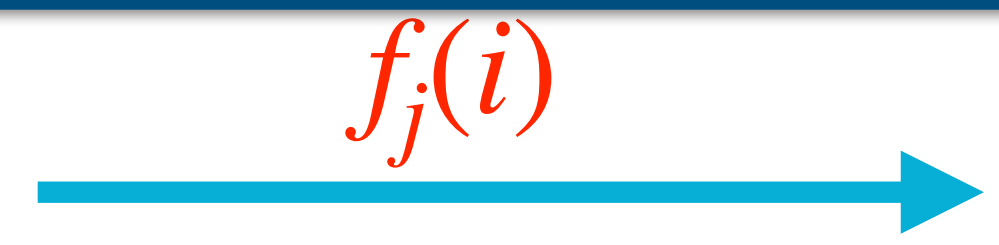
Key ideas:

- V can query polynomials at random points
- Since low-degree polynomials have few roots, random evaluation = 0  $\implies$  polynomial = 0 w.h.p

Key insight (trade-off):  
 more powerful but more expensive to implement  
 more efficient but implementing the oracle is more costly

at  $i$  instead of just  $n$

Accept/reject based on randomizers and queried evaluations



# Insufficiency

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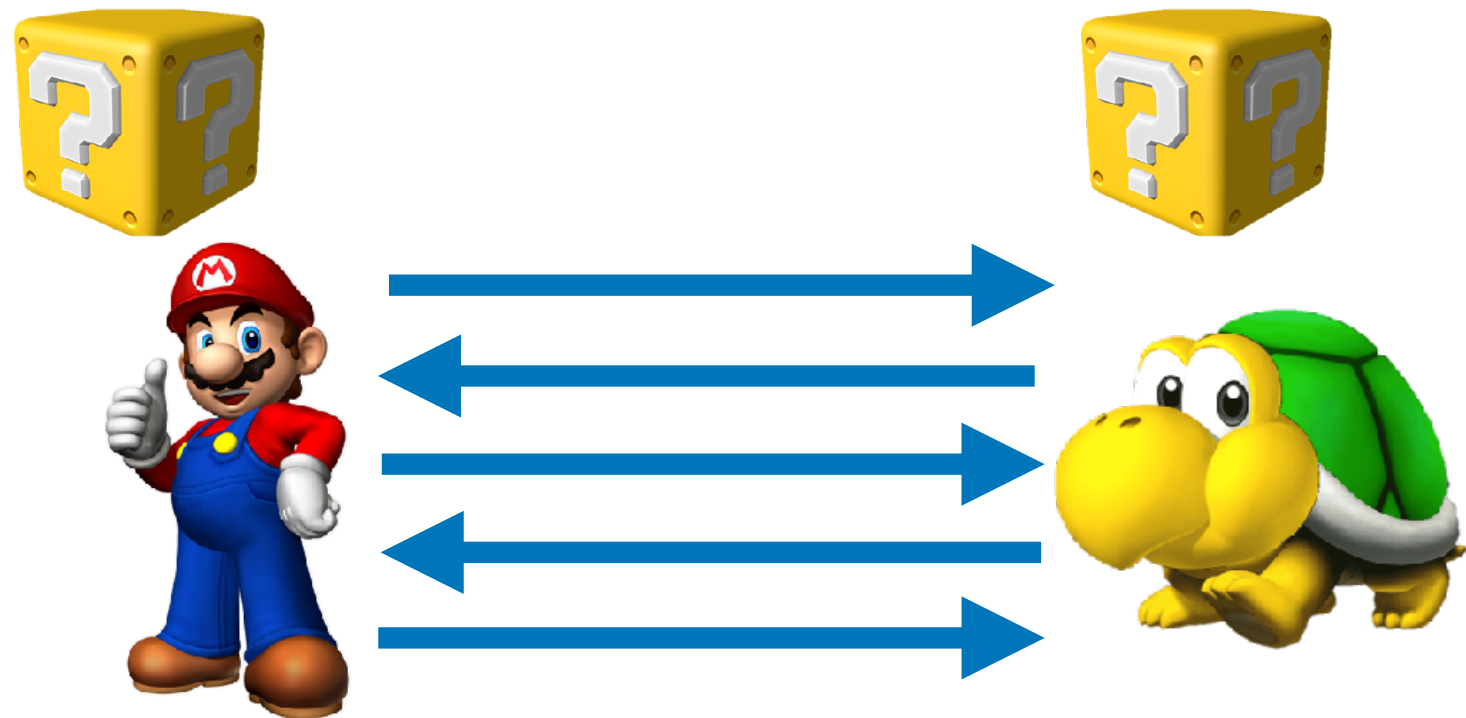
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# Insufficiency

- PIOPs are **idealized** protocols
- You need to **trust** that the oracles function correctly
- The next step in zk-SNARK design: **instantiating** the boxes
  - Instantiating makes protocols less efficient
  - ... and also **only** computationally secure

# Backend

(Polynomial) Interactive Oracle Proof



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(Polynomial) Interactive Oracle Proof

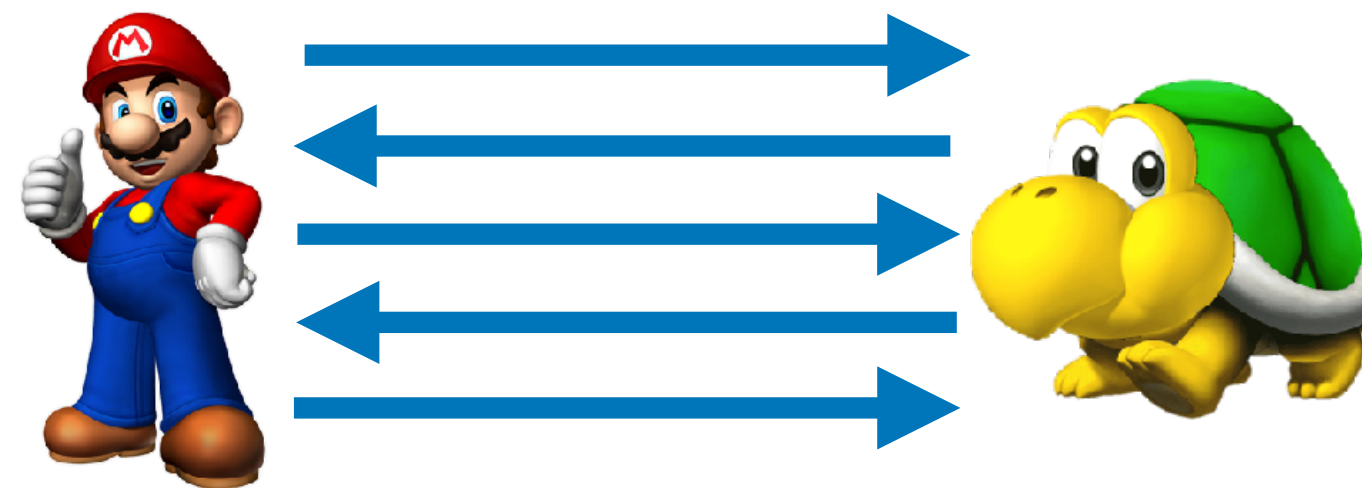
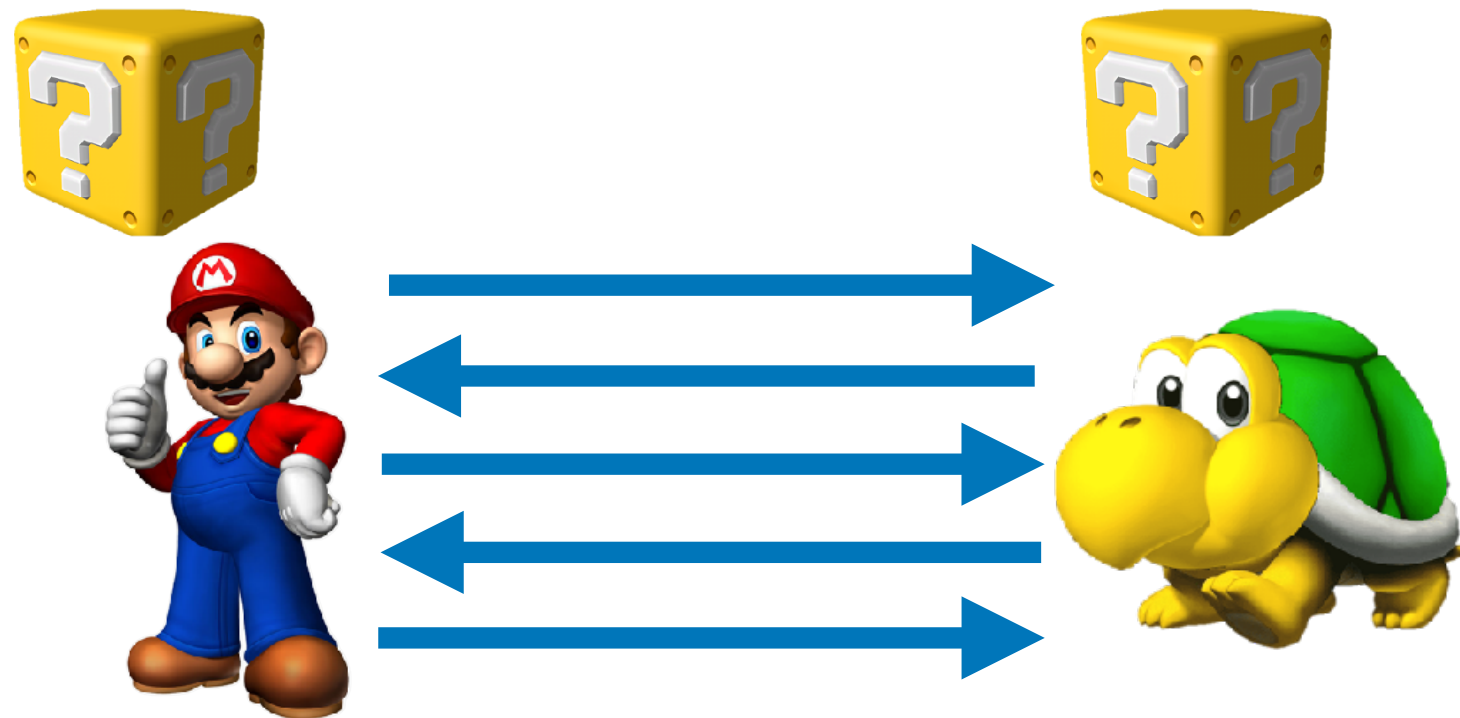
Interactive Protocols



Commitment



$$\begin{aligned} \text{Star} + \text{Toad} &= 16 \\ \text{Star} &= \text{Fire Flower} \\ \text{Question Block} + \text{Question Block} &= 2 \\ \text{Fire Flower} - \text{Question Block} &= 2 \\ \text{Toad} &= ? \end{aligned}$$

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(Polynomial) Interactive Oracle Proof

Interactive Protocols

ZK-SNARKs



Commitment

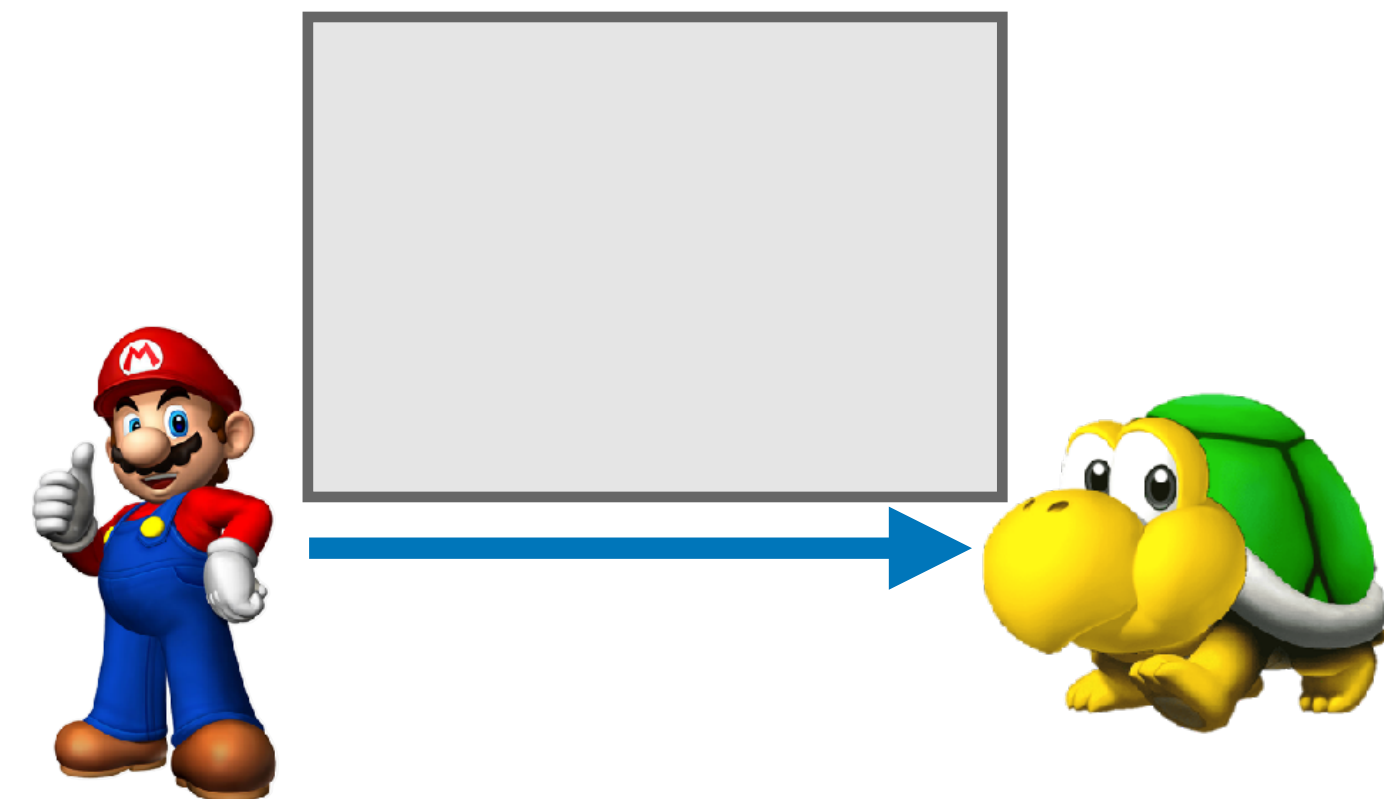
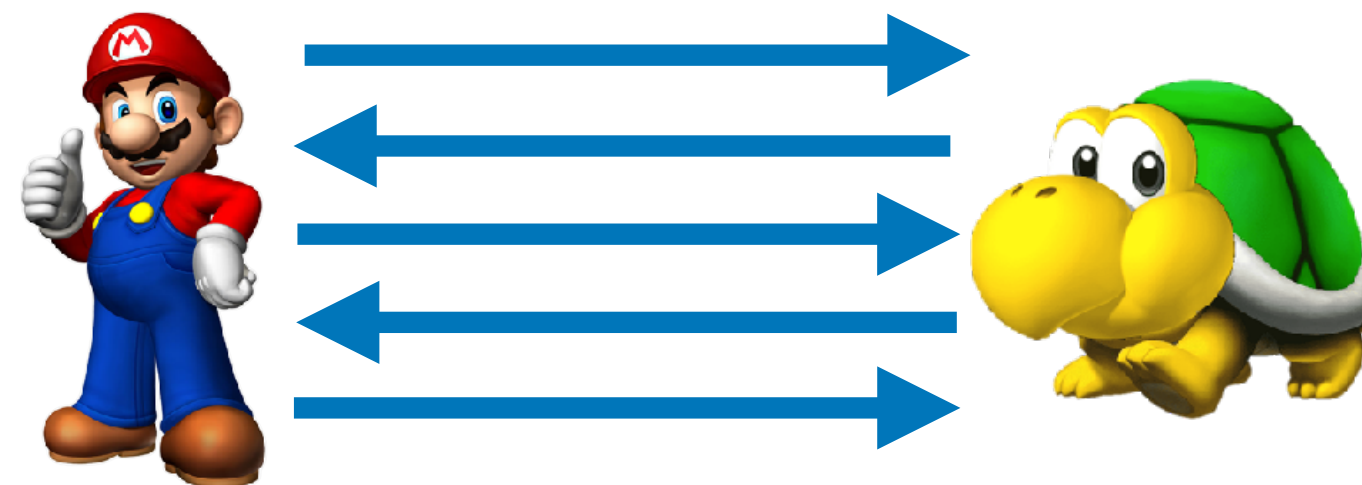
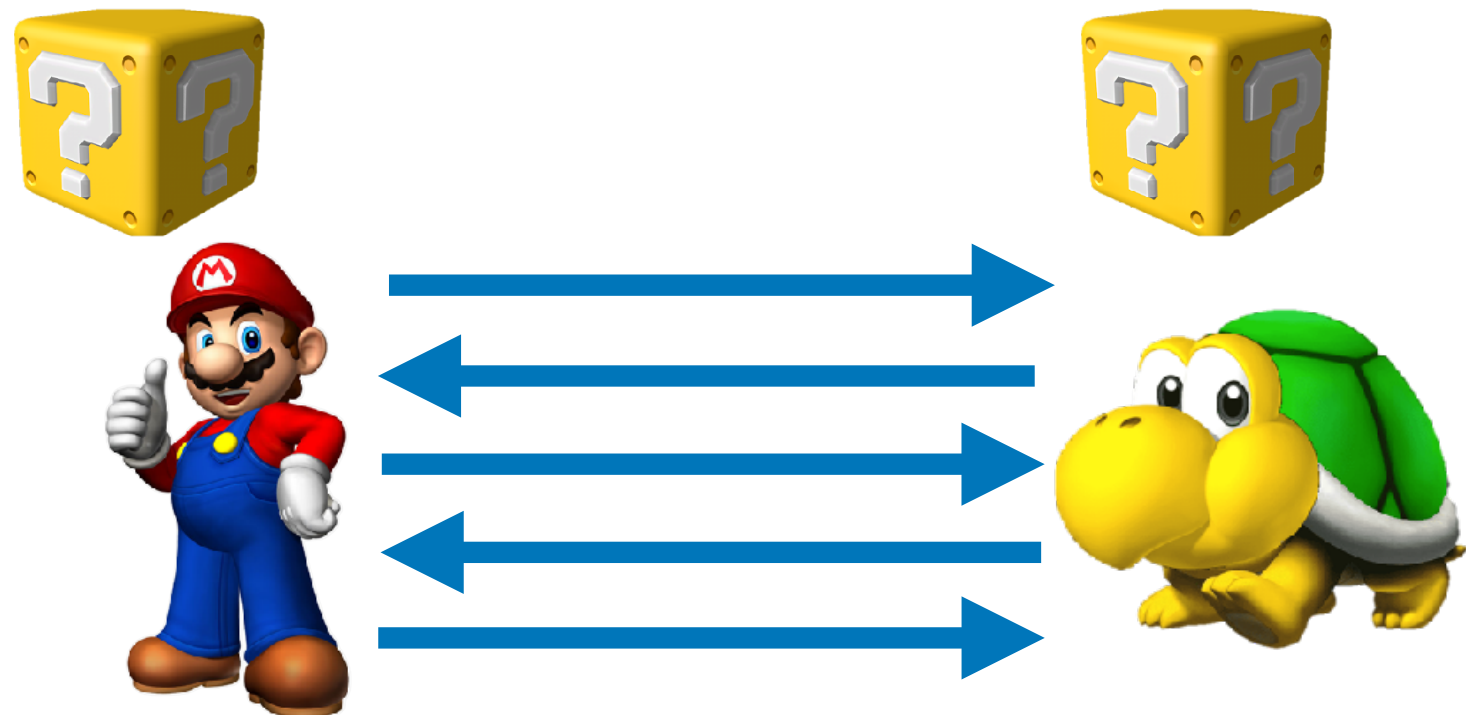


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Fiat-Shamir



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ZK-SNARKs



Commitment



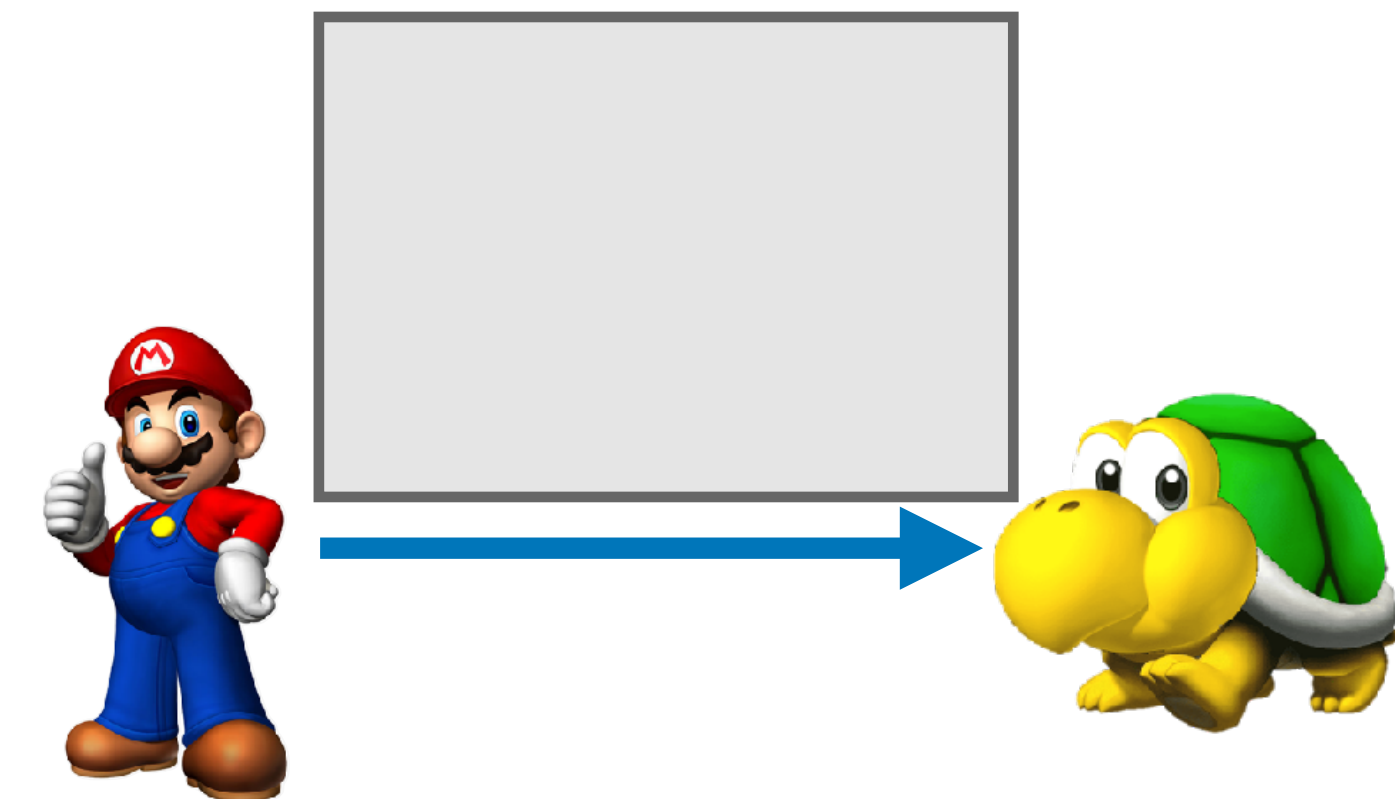
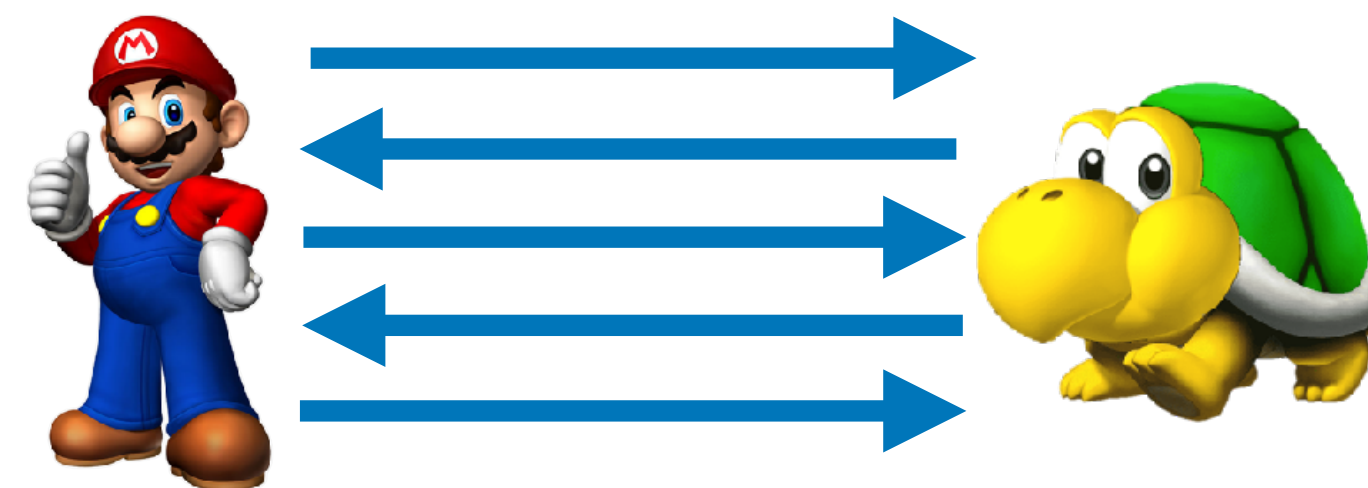
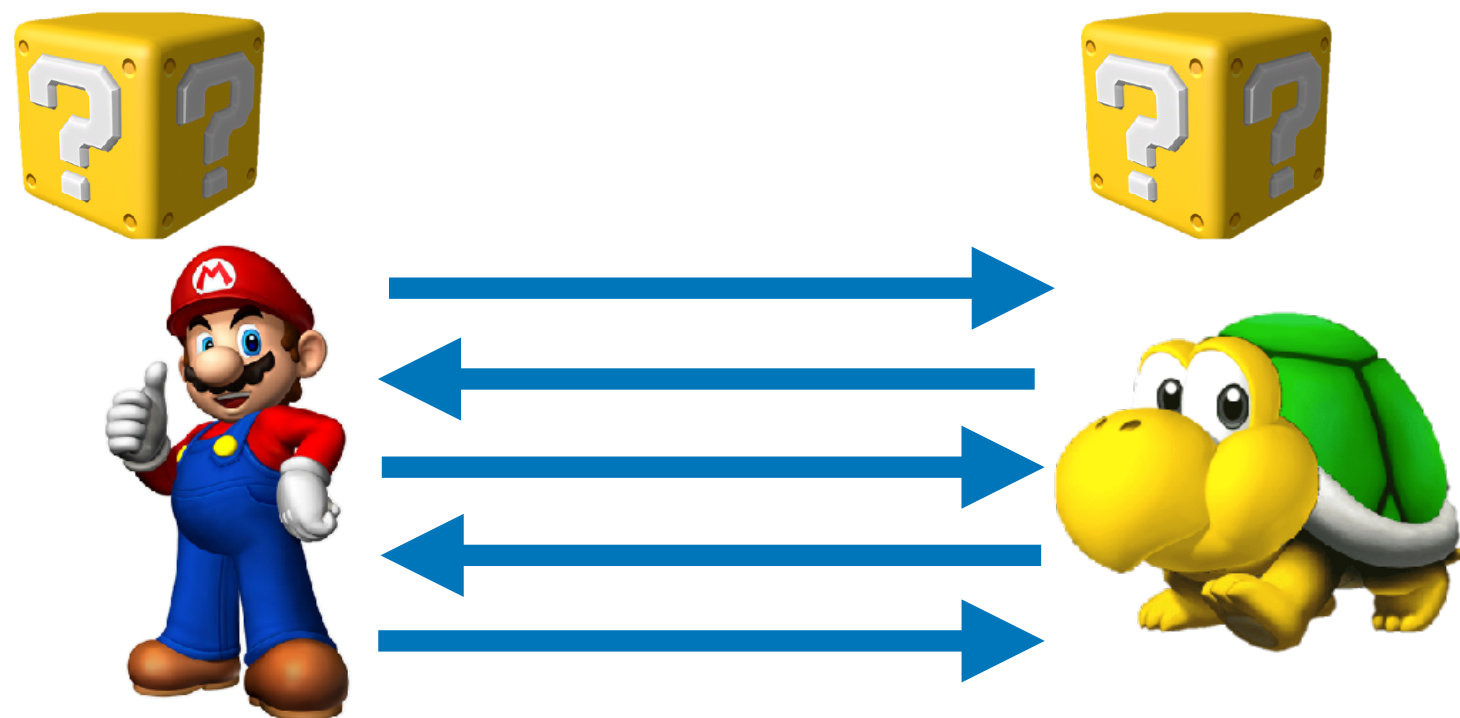
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We will not discuss FS today

Fiat-Shamir



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Security assumptions and trusted parameters depend only on the VCS/PCS

# Current Main "Cryptographic" Approaches

	Assumption	Post-Quantum	Trusted parameters	Prover speed	Verifier speed	Argument length	Examples
Pairing-based PCS + PIOP	Various pairing-based (elliptic curve) assumptions	No	Yes	$O(n \log n)$ heavy operations	Small <u>constant</u> number of heavy operations (a few milliseconds)	Very short (<600 B for any computation)	Plonk, Marlin, Groth16, Polymath, Lunar, Basilisk, Vampire, Spartan, HyperPlonk, BabySpartan, ....
Hash-based VCS + IOP	A secure hash function (CRHF)	Yes	Minimal	$O(n \log n)$ or even <u><math>O(n)</math> simple operations</u>	Large number of simple operations New schemes (WHIR) have an efficient verifier	Long (50 KB-500KB)	FRI, STIR, WHIR, Brakedown, Binius, Orion, Ligerio, BaseFold

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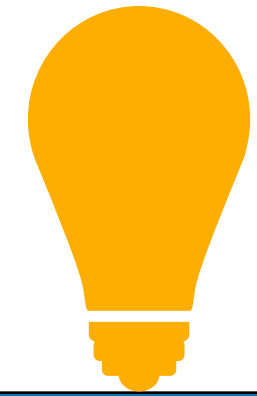
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# Important References

- (Usual proofs:) Euclid. *Elements* (~300 BC)
- PCP:
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  - Alessandro Chiesa, Yuncong Hu, Mary Maller, Pratyush Mishra, Noah Vesely, Nicholas Ward. **Marlin: Preprocessing zkSNARKs with Universal and Updatable SRS** (2020)
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